```
=> d que
               STR
L18
              15
                                       @19N+ @20P+ @21S+
              Αk
            14 C== 0
              $ 16
                         17
   12
                         0
              0 13
                                                 a zwitterion
                         \
8
Ak \sim C \sim O \sim CH2 - CH \sim CH2 - O \sim P \sim G1 \sim Ak \sim G2
1 2 3 4 5 6
                         9 10 11
                         0 18
REP G1 = (0-1) O
VAR G2=19/20/21
NODE ATTRIBUTES:
                 AT 18
CHARGE IS *-
CHARGE IS *+
CHARGE IS *+
CHARGE IS *+
                 AT 19
                 AT 20
                 AT 21
                 AT 19
NSPEC
        IS RC
                 AT 20
        IS RC
NSPEC
                 AT 21
        IS RC
NSPEC
CONNECT IS E1 RC AT
                     1
CONNECT IS E2 RC AT 10
CONNECT IS E1 RC AT
                     15
CONNECT IS E1 RC AT 17
CONNECT IS E1 RC AT 18
DEFAULT MLEVEL IS ATOM
GGCAT
        IS LIN AT 1
        IS SAT AT
                   10
GGCAT
        IS LIN AT 15
GGCAT
DEFAULT ECLEVEL IS LIMITED
GRAPH ATTRIBUTES:
RING(S) ARE ISOLATED OR EMBEDDED
NUMBER OF NODES IS 21
STEREO ATTRIBUTES: NONE
           2335 SEA FILE=REGISTRY SSS FUL L18
L19
L24
                STR
               9
                                                    @14 N + @15 P +
                                 Ak @10 O € C ~ Ak
              G1
                                          11 @12 13
               Ö 8
    < cation
    1 2 3 4 5 6 7
```

@16 S +

```
VAR G1=10/12
 VAR G3=14/16/15
 NODE ATTRIBUTES:
CHARGE IS *+ AT 14
CHARGE IS *+ AT 15
CHARGE IS *+ AT 16
NSPEC IS RC AT 14
NSPEC IS RC AT 15
NSPEC IS RC AT 16
 CONNECT IS E2 RC AT 6
 CONNECT IS E1 RC AT 10
 CONNECT IS E1 RC AT 13
 DEFAULT MLEVEL IS ATOM
 GGCAT IS SAT AT 6
GGCAT IS LIN AT 10
GGCAT IS LIN AT 13
 DEFAULT ECLEVEL IS LIMITED
GRAPH ATTRIBUTES:
RING(S) ARE ISOLATED OR EMBEDDED
 NUMBER OF NODES IS 16
STEREO ATTRIBUTES: NONE
 L26
                   STR
                   9
                                        Ak @10 O\equivC\simAk @14 N + @15 P +
                  G1
                                                   11 @12 13
                   0.8
     1 2 3 4 5
@16 S +
VAR G1=10/12
VAR G3=14/16/15
NODE ATTRIBUTES:
CHARGE IS *+ AT 14
CHARGE IS *+ AT 15
CHARGE IS *+ AT 16
NSPEC IS RC AT 14
NSPEC IS RC AT 15
NSPEC IS RC AT 15
NSPEC IS RC AT 16
CONNECT IS E1 RC AT 10
CONNECT IS E1 RC AT 13
DEFAULT MLEVEL IS ATOM
GGCAT IS LIN AT 10
GGCAT IS LIN AT 13
DEFAULT ECLEVEL IS LIMITED
GRAPH ATTRIBUTES:
RING(S) ARE ISOLATED OR EMBEDDED
```

NUMBER OF NODES IS 15

```
STEREO ATTRIBUTES: NONE
           568 SEA FILE=REGISTRY SSS FUL L26
T.31
               SCR 2040
            15 SEA FILE=REGISTRY SSS FUL L31 AND L24
L34
           583 SEA FILE=REGISTRY ABB=ON PLU=ON L28 OR L34
L35
           187 SEA FILE=HCAPLUS ABB=ON PLU=ON L19 AND L35
L38
        228509 SEA FILE=HCAPLUS ABB=ON PLU=ON ANTIGENS+OLD, NT/CT
L40
        301763 SEA FILE=HCAPLUS ABB=ON PLU=ON DNA+OLD,NT/CT
L41
        664086 SEA FILE=HCAPLUS ABB=ON PLU=ON NUCLEIC ACIDS+OLD, NT/CT
L42
        170540 SEA FILE=HCAPLUS ABB=ON PLU=ON DRUG DELIVERY SYSTEMS+OLD, NT/C
L43
         14921 SEA FILE=HCAPLUS ABB=ON PLU=ON POLYNUCLEOTIDES+OLD, NT/CT
L44
         39004 SEA FILE=HCAPLUS ABB=ON PLU=ON VACCINES+OLD, NT/CT
L45
          2940 SEA FILE=HCAPLUS ABB=ON PLU=ON "IMMUNIZATION (L) VACCINATION"
L47
                +OLD/CT
            13 SEA FILE=HCAPLUS ABB=ON PLU=ON L38 AND (L45 OR L47 OR
L49
               VACCIN? OR IMMUNIZ? OR IMMUNIS? OR DRUG DELIVERY SYSTEMS+OLD, NT
                /CT(L)(VACCIN? OR IMMUNI? OR ORAL?))
             55 SEA FILE=HCAPLUS ABB=ON PLU=ON L38 AND (L45 OR L47 OR
1.51
               VACCIN? OR IMMUNI? OR L43) AND (L40 OR L41 OR L42 OR L44)
             59 SEA FILE=HCAPLUS ABB=ON PLU=ON L51 OR L49
L52
```

## => d 152 ibib ab hitind hitstr 1-59

L52 ANSWER 1 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2004:466673 HCAPLUS

DOCUMENT NUMBER:

141:28640

TITLE:

Liposomal glucocorticoids for use as antiinflammatory

agents

INVENTOR(S):

Panzner, Steffen; Braeuer, Rolf; Kinne, Raimund W.;

Rauchhaus, Una

PATENT ASSIGNEE(S):

Novosom A.-G., Germany

SOURCE:

Ger. Offen., 8 pp.

CODEN: GWXXBX

DOCUMENT TYPE:

Patent

LANGUAGE:

German

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PAC	PATENT NO.			KIND DATE				APPLICATION NO. DATE									
	<b></b>											~ <del>-</del>					
DE	DE 10255106			A.	.1 20040609			DE 2002-10255106					106	20021124			
WO	2004047792		A2		20040610			WO 2003-DE3893 20031124									
	W:	ΑE,	AG,	AL,	AM,	ΑT,	AU,	ΑZ,	BA,	BB,	BG,	BR,	BW,	BY,	BZ,	CA,	CH,
		CN,	CO,	CR,	CU,	CZ,	DK,	DM,	DΖ,	EC,	EE,	EG,	ES,	FΙ,	GB,	GD,	GE,
		GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	KE,	KG,	ΚP,	KR,	KΖ,	LC,	LK,
		LR,	LS,	LT,	LU,	LV,	MA,	MD,	MG,	MK,	MN,	MW,	MX,	MZ,	NΙ,	NO,	NZ,
														SL,			
		TN,	TR,	TT,	TZ,	UA,	UG,	US,	UΖ,	VC,	VN,	YU,	ZA,	ZM,	ZW,	AM,	AZ,
		BY,	KG,	KΖ,	MD												
	RW:	BW,	GH,	GM,	KE,	LS,	MW,	ΜZ,	SD,	SL,	SZ,	ΤZ,	UG,	ZM,	ZW,	AT,	BE,
														HU,			
		MC,	NL,	PT,	RO,	SE,	SI,	SK,	TR,	BF,	ВJ,	CF,	CG,	CI,	CM,	GA,	GN,
		GQ,	GW,	ML,	MR,	ΝE,	SN,	TD,	TG								
PRIORITY	ORITY APPLN. INFO.:							]	DE 2	002-	1025	5106	A	2002	1124		

The invention concerns liposomal formulations that include in the inner aqueous phase water-soluble glucocorticoids and the liposomes do not contain amphiphilic polymers, e.g. polyethylene glycol-phosphatidyl ethanolamine;

liposomes are formed from dipalmitoyl phosphatidyl choline, distearoyl phosphatidyl choline, dipalmitoyl phosphatidyl glycerol, distearoyl phosphatidyl glycerol, distearoyl phosphatidyl serine and cholesterol. Phosphate esters, glucosides and sulfate esters of glucocorticoids are formulated for topical, systemic, oral and rectal administration. The formulations are used as antiinflammatory agents. Thus dexamethasone phosphate was prepared with liposomes composed of palmitoyoleoylphosphatidylcholine, 4-(2-aminoethyl)-morpholino-cholesterol hemisuccinate, cholesteryl hemisuccinate at a ratio of 60:20:20.

IC ICM A61K031-57

ICS A61K009-127

CC 63-6 (Pharmaceuticals)

IT Drug delivery systems

(oral; liposomal glucocorticoids for use as antiinflammatory agents)

TT 57-88-5, Cholesterol, biological studies 302-25-0, Prednisolone phosphate 312-93-6, Dexamethasone phosphate 360-63-4, Betamethasone phosphate 989-96-8 1510-21-0, Cholesterol hemisuccinate 2203-97-6, Hydrocortisone succinate 2644-64-6, Dipalmitoyl phosphatidyl choline 2920-86-7, Prednisolone succinate 2921-57-5, Methylprednisolone succinate 3863-59-0, Hydrocortisone phosphate 4537-77-3, Dipalmitoyl phosphatidyl glycerol 4537-78-4, Distearoyl phosphatidyl glycerol 4539-70-2, Distearoyl phosphatidyl choline 17140-01-1, Prednisolamate hydrochloride 22252-38-6 26662-91-9, Palmitoyloleoylphosphatidylcholine 51446-62-9, Distearoyl phosphatidyl serine 57099-40-8 113669-21-9 146103-60-8D, Carnosin, acyl derivative 325468-91-5 449791-79-1 452322-62-2 452323-21-6 RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(liposomal glucocorticoids for use as antiinflammatory agents)

2644-64-6, Dipalmitoyl phosphatidyl choline 4539-70-2, Distearoyl phosphatidyl choline 26662-91-9, Palmitoyloleoylphosphatidylcholine 113669-21-9 RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(liposomal glucocorticoids for use as antiinflammatory agents)

RN 2644-64-6 HCAPLUS

IT

CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 4539-70-2 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxooctadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 26662-91-9 HCAPLUS

CN 3,5,8-Trioxa-4-phosphahexacos-17-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-9-oxo-7-[[(1-oxohexadecyl)oxy]methyl]-, inner salt, 4-oxide, (17Z)- (9CI) (CA INDEX NAME)

Double bond geometry as shown.

RN 113669-21-9 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]- (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

PAGE 1-B

\_\_\_Me

L52 ANSWER 2 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER: 2004:442650 HCAPLUS

DOCUMENT NUMBER: 141:12281

TITLE: Methods for delivering compounds into a cell

INVENTOR(S): Unger, Evan C.; McCreery, Thomas PATENT ASSIGNEE(S): Imarx Pharmaceutical Corp., USA

SOURCE: U.S., 41 pp., Cont.-in-part U.S. Ser. No. 785,661,

abandoned.
CODEN: USXXAM

DOCUMENT TYPE: Patent
LANGUAGE: English

FAMILY ACC. NUM. COUNT: 21

PATENT INFORMATION:

PATENT NO.	KIN	DATE	APPLICATION NO	DATE		
US 6743779	B1	20040601	US 1997-841169	19970429		
US 5733572	Α	19980331	US 1994-346426	19941129		
CA 2252617	AA	19971106	CA 1997-2252617	19970430		
WO 9740679	A1	19971106	CA 1997-2252617 WO 1997-US7237	19970430		
W: AU,	BR, CA,	CN, HU, JP,	KR, MX, NO			
			FI, FR, GB, GR, IE, ]		NL, PT	, SE
			AU 1997-27490	19970430		
		20010726				
			EP 1997-921460			
		DE, DK, ES,	FR, GB, GR, IT, LI, I	U, NL, SE,	MC, PT	,
	FI					
JP 20015072	107 T2	20010605	JP 1997-539185	19970430		
HR 970328	A1	20010430	HR 1997-970328 US 2000-742938	19970616		
				20001221		
		20031028				
PRIORITY APPLN.	INFO.:					
			US 1996-640554 E			
			US 1997-785661 E			
			US 1989-455707 E			
			US 1990-569828 A			
			US 1991-716899 E			
			US 1991-717084 A			
			US 1993-76239 A			
•			US 1993-76250 A			
			US 1993-159674 E			
			US 1993-159687 A			
			US 1993-160232 A			
			US 1994-307305 A			
			US 1997-841169 A			
AD The average			WO 1997-US7237 W			

The present invention is directed, inter alia, to a method for delivering a compound, e.g., a nucleic acid sequence, into a cell comprising administering to the cell the compound to be delivered, an organic halide, and/or a carrier. Ultrasound may also be applied, if desired. For example, in a patient with Duchenne's muscular dystrophy plasmid DNA encoding the gene for dystrophin was injected at multiple sites into the muscles of the thighs and legs, with and without an organic halide. Ultrasound was then applied to the thighs and legs using silicone gel as couplant between the transducer and the patient's skin. The frequency was 200 kHz with a 10% duty cycle and a power level of 1 W. The transducer remained for about 2 to 3 min over any one location on the skin. Enhanced expression for the gene for dystrophin was attained resulting in increased

muscle strength, both with and without the organic halide. Also, the transfection efficiency of cationic lipids with and without an organic halide was evaluated in HeLa cells using lipids DMRIE-C, dioleoylglycero-3 phosphoethylcholine (DDDO) and dipalmitoylglycero-3 phosphocholine (DPDO), and perfluorohexane (PFC6). It was shown that perfluorocarbon was effective with a variety of lipids. The enhancement of expression was independent of the type of lipid used. DODO plus PFC6 resulted in about 8 to about 10 fold increase in expression, DMRIE-C resulted in about a 40% enhancement of expression, and DPDO resulted in about a 4-fold increase.

- IC ICM A61K031-70
- NCL 514044000; 435325000
- CC 63-6 (Pharmaceuticals)
  - Section cross-reference(s): 1, 3, 8
- IT Drug delivery systems

(carriers; organic halides, lipid carriers, and optionally ultrasound for intracellular delivery)

IT Drug delivery systems

(liposomes; organic halides, lipid carriers, and optionally ultrasound for intracellular delivery)

IT Drug delivery systems

(microspheres; organic halides, lipid carriers, and optionally ultrasound for intracellular delivery)

IT DNA

Dystrophin

Glycolipids

Perfluoro compounds

Perfluorocarbons

Phospholipids, biological studies

Proteins

Sphingolipids

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (organic halides, lipid carriers, and optionally ultrasound for intracellular delivery)

76-19-7, Perfluoropropane 307-45-9, Perfluorodecane 355-25-9, ITPerfluorobutane 355-42-0, n-Perfluorohexane 355-79-3, Perfluorotetrahydropyran 375-48-4, 1-Bromononafluorobutane 423-55-2, 1-Bromoperfluorooctane 678-26-2, Perfluoropentane 2462-63-7 2644-64-6, Dipalmitoylphosphatidylcholine 7782-41-4D, Fluorine, 9040-07-7, Chloramphenicol acetyl transferase Dipalmitoylphosphatidic acid 71546-79-7 127464-60-2, Vascular endothelial growth factor 145035-97-8, Dipalmitoylphosphatidylethanolami ne-PEG **189203-05-2**, DMRIE-C 214127-02-3 216165-62-7 694436-58-3

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (organic halides, lipid carriers, and optionally ultrasound for intracellular delivery)

IT 2644-64-6, Dipalmitoylphosphatidylcholine 189203-05-2,

DMRIE-C

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (organic halides, lipid carriers, and optionally ultrasound for intracellular delivery)

RN 2644-64-6 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 189203-05-2 HCAPLUS

CN Cholest-5-en-3-ol  $(3\beta)$ -, mixt. with N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis(tetradecyloxy)-1-propanaminium bromide (9CI) (CA INDEX NAME)

CM 1

CRN 153312-64-2

CMF C35 H74 N O3 . Br

● Br-

CM 2

CRN 57-88-5 CMF C27 H46 O

Absolute stereochemistry.

REFERENCE COUNT:

59 THERE ARE 59 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 3 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

Patent

ACCESSION NUMBER: 2004:20328 HCAPLUS

DOCUMENT NUMBER: 140:87674

TITLE: Oligonucleotides for silencing transcription by

methylation of cytosines in DNA and their uses,

including as antitumor agents
Hu, Ji-Fan; Bowersox, Scott

PATENT ASSIGNEE(S): GMR, USA

SOURCE: U.S. Pat. Appl. Publ., 34 pp., Cont.-in-part of U.S.

Ser. No. 643,128. CODEN: USXXCO

DOCUMENT TYPE:

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

INVENTOR (S):

PATENT NO. KIND DATE APPLICATION NO. DATE

US 2004006036 A1 20040108 US 2003-422466 20030422

PRIORITY APPLN. INFO:: US 2000-196749P P 20000626

US 2000-214148P P 20000626

US 2000-643128 A2 20000821

The invention provides methods and compns. related to oligonucleotides that silence target genes within a cell. The oligonucleotides include an oligonucleotide methylator segment that has a first strand and a second strand complementary to the first strand. The first strand can include at least one m5CG sequence which is paired with an unmethylated CG sequence on the second strand. Alternatively, the first strand can include at least one m5CN1G sequence paired with an unmethylated CN2G sequence on the second strand, wherein N1 is any nucleotide, and N2 is a nucleotide that pairs with N1. The oligonucleotides also include a single-stranded DNA binding segment that is complementary to a nucleotide sequence in the target gene. The DNA binding segment includes at least one m5CG sequence m5CG or at least one 5CN3G sequence, wherein N3 is any nucleotide. The methylator segment and DNA binding segment are operably linked such that the oligonucleotide is capable of inducing methylation at the target nucleotide sequence, thereby silencing the target gene. The putative mechanism is that after binding to the target sequence, the silencing compound forms a semi-methylated hairpin complex in the local chromatin foci. This structure mimics the DNA replication fork structure formed during DNA replication and activates DNA methyltransferase 1 (Dnmt1). Dnmt1 adds a Me group at the 5'-position of cytosine of CpG dinucleotide in the target sequence as it usually does at the replication fork site. DNA methylation spreads, so that the whole DNA region is hypermethylated and the target gene becomes silenced. The examples of the invention show reduced levels of human gene Igf2 mRNA after Hep3B tumor cells were treated with a methylated 22-mer and ability of the same 22-mer to prolong survival in mice that were implanted with Hep3B cells. Oligonucleotides targeted to a CpG island sequence in the Bcl-2 gene inhibited Bcl-2 mRNA and protein production in MCF-7 cells. Oligonucleotide silencing compds. directed against other human genes were also investigated.

IC ICM A61K048-00

CC

ICS C07H021-04

NCL 514044000; 536023100

Section cross-reference(s): 3, 10, 11, 14, 63

IT Drug delivery systems

1-6 (Pharmacology)

(liposomes; oligonucleotides for silencing transcription by methylation of cytosines in DNA and their use as antitumor agents)

IT DNA

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (methylation; oligonucleotides for silencing transcription by methylation of cytosines in DNA and their use as antitumor agents)

IT DNA

RL: PRP (Properties); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(methylcytosine-containing; oligonucleotides for silencing transcription by methylation of cytosines in DNA and their use as antitumor agents)

IT DNA

RL: BUU (Biological use, unclassified); PRP (Properties); BIOL (Biological study); USES (Uses)

(thiophosphate-linked; oligonucleotides for silencing transcription by methylation of cytosines in DNA and their use as antitumor agents)

IT 2462-63-7, Dioleoyl phosphatidylethanolamine 68737-67-7, Dioleoyl phosphatidylcholine 104162-48-3, N-[1-(2,3-

Dioleyloxy)propyl]-n,n,n-trimethylammonium chloride

RL: BUU (Biological use, unclassified); BIOL (Biological study); USES (Uses)

(carrier; oligonucleotides for silencing transcription by methylation of cytosines in DNA and their use as antitumor agents)

IT 68737-67-7, Dioleoyl phosphatidylcholine 104162-48-3, N-[1-(2,3-Dioleyloxy)propyl]-n,n,n-trimethylammonium chloride

RL: BUU (Biological use, unclassified); BIOL (Biological study); USES (Uses)

(carrier; oligonucleotides for silencing transcription by methylation of cytosines in DNA and their use as antitumor agents)

RN 68737-67-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (18Z)-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-B

\_\_\_Me

RN 104162-48-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_8$  O  $(CH_2)_8$  Z  $(CH_2)_7$  Me  $(CH_2)_7$  Me

● Cl -

L52 ANSWER 4 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2003:532140 HCAPLUS

DOCUMENT NUMBER:

139:106450

TITLE:

Targeted multivalent macromolecules

INVENTOR (S):

Wartchow, Charles Aaron; Dechene, Neal Edward; Pease, John S.; Shen, Zhimin; Trulson, Julie; Bednarski, Mark David; Danthi, S. Narasimhan; Zhang, Michael; Choi,

Hoyul Steven

PATENT ASSIGNEE(S):

Targesome, Inc., USA

SOURCE:

U.S. Pat. Appl. Publ., 71 pp., Cont.-in-part of U.S.

Ser. No. 976,254.

CODEN: USXXCO

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE		APPLICATION NO	DATE	
	<del>-</del>					
US 2003129223	A1	20030710		US 2002-15877	7	20020530
US 2002071843	A1	20020613		US 2001-976254	1	20011011
PRIORITY APPLN. INFO.	:		US	2000-239684P	Р	20001011
			US	2001-294309P	P	20010530
			US	2001-309104P	P	20010731
			US	2001-312435P	P	20010815
			US	2001-976254	A2	20011011

AB Targeted therapeutic agents, comprising a linking carrier, a therapeutic entity associated with the linking carrier, and at least one targeting entity are provided, as well as methods for their preparation and use. A targeted therapeutic agent is selected from matrix metalloprotease inhibitors, analgesics, aggrecanase inhibitors, alkylating agents, topoisomerase inhibitors, estrogens, androgens, interferons, intercalating agents, kinase modulators, etc. The linking carrier comprises a phosphatidylcholine and is selected from liposomes and a polymerized vesicle. A targeting entity targets a lipid construct to a target selected from a cell surface target, an intracellular target, and an extracellular matrix component. The targeting entity has, e.g., a vascular or tumor cell target selected from chemokine receptors, matrix metalloproteases, integrins, or prostate-specific membrane antigens. For example, integrin-targeted 90Y-labeled peptidomimetic vesicle complexes (IA-NP-Y90)

at 5  $\mu$ Ci/g reduced tumor growth in a melanoma mouse model with average normalized tumor volume less than half the volume in the buffer-treated animals. In addition, the average tumor volume quadrupling time (TVQT) for tumor treated with IA-NP-Y90 was 15.0 days compared to 6.4 days for tumors treated with buffer. ICM A61K039-395 ICS A61K009-127 NCL424450000; 424146100; 424178100 63-6 (Pharmaceuticals) Section cross-reference(s): 1, 8, 15 ITDrug delivery systems (liposomes; preparation of targeted multivalent macromols. for therapy, imaging and diagnosis of cancer) ΙT Drug delivery systems (nanoparticles; preparation of targeted multivalent macromols. for therapy, imaging and diagnosis of cancer) IT Drug delivery systems (particles; preparation of targeted multivalent macromols. for therapy, imaging and diagnosis of cancer) ΙT Endoglins Epidermal growth factor receptors Fibroblast growth factor receptors Integrins Platelet-derived growth factor receptors Pleiotrophins Prostate-specific antigen RL: BSU (Biological study, unclassified); BIOL (Biological study) (targeting of; preparation of targeted multivalent macromols. for therapy, imaging and diagnosis of cancer) IT Integrins RL: BSU (Biological study, unclassified); BIOL (Biological study) ( $\alpha v \beta 5$ , targeting of; preparation of targeted multivalent macromols. for therapy, imaging and diagnosis of cancer) 75898-25-8DP, polymer containing 477274-37-6DP, polymer containing IT 477274-38-7DP, polymer containing 477274-39-8DP, polymer containing RL: SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (paramagnetic nanoparticles containing; preparation of targeted multivalent macromols. for therapy, imaging and diagnosis of cancer) 107-35-7, Taurine 108-30-5, Succinic anhydride, reactions IT 929-59-9, 1,8-Diamino-3,6-dioxaoctane 6066-82-6, N-Hydroxysuccinimide 25322-68-3, Polyethylene glycol 66990-30-5, 10,12-Tricosadiynoic acid 66990-32-7, 10,12-Pentacosadiynoic acid 77087-60-6 164919-52-2 174665-28-2 477274-38-7 **477274-39-8** RL: RCT (Reactant); RACT (Reactant or reagent) (preparation of targeted multivalent macromols. for therapy, imaging and diagnosis of cancer) 75898-25-8 ITRL: RCT (Reactant); THU (Therapeutic use); BIOL (Biological study); RACT (Reactant or reagent); USES (Uses)

(preparation of targeted multivalent macromols. for therapy, imaging and diagnosis of cancer)

57-88-5, Cholesterol, biological studies 120-73-0D, Purine, analogs IT289-95-2D, Pyrimidine, analogs **2644-64-6**, DPPC 7689-03-4, 15663-27-1, Cisplatinum 18656-38-7, DMPC Camptothecin 20830-81-3, Daunorubicin 56420-45-2, Epirubicin 58957-92-88848-80-0 114977-28-5, Docetaxel 123948-87-8, Topotecan 58957-92-9, Idarubicin RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(preparation of targeted multivalent macromols. for therapy, imaging and diagnosis of cancer)

TT 75898-25-8DP, polymer containing 477274-39-8DP, polymer

containing

CN

RL: SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(paramagnetic nanoparticles containing; preparation of targeted multivalent macromols. for therapy, imaging and diagnosis of cancer)

RN 75898-25-8 HCAPLUS

3,5,9-Trioxa-4-phosphatetratriaconta-19,21-diyn-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxo-10,12-pentacosadiynyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

PAGE 1-A

PAGE 1-B

$$\equiv$$
 C- C  $\equiv$  C- (CH<sub>2</sub>)<sub>11</sub>-Me

RN 477274-39-8 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(1-oxo-9-octadecenyl)oxy]-, chloride (9CI) (CA INDEX NAME)

PAGE 1-A

● cl -

- (CH<sub>2</sub>)<sub>7</sub>-Me

## IT 477274-39-8

RL: RCT (Reactant); RACT (Reactant or reagent)

(preparation of targeted multivalent macromols. for therapy, imaging and diagnosis of cancer)

RN 477274-39-8 HCAPLUS

PAGE 1-A

• cl -

PAGE 1-B

- (CH<sub>2</sub>)<sub>7</sub>-Me

## IT 75898-25-8

RL: RCT (Reactant); THU (Therapeutic use); BIOL (Biological study); RACT (Reactant or reagent); USES (Uses)

(preparation of targeted multivalent macromols. for therapy, imaging and diagnosis of cancer)

RN 75898-25-8 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatetratriaconta-19,21-diyn-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxo-10,12-pentacosadiynyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

PAGE 1-A

 $\equiv$  C = C

IT 2644-64-6, DPPC 18656-38-7, DMPC

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (preparation of targeted multivalent macromols. for therapy, imaging and diagnosis of cancer)

RN 2644-64-6 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 18656-38-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatricosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxotetradecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

L52 ANSWER 5 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2003:395099 HCAPLUS

DOCUMENT NUMBER:

139:64049

TITLE:

Three-dimensional imaging of lipid gene-carriers:

Membrane charge density controls universal transfection behavior in lamellar cationic

liposome-DNA complexes

AUTHOR(S):

SOURCE:

Lin, Alison J.; Slack, Nelle L.; Ahmad, Ayesha;

George, Cyril X.; Samuel, Charles E.; Safinya, Cyrus

CORPORATE SOURCE:

Materials Department, Physics Department, University of California, Santa Barbara, CA, 93106, USA

Biophysical Journal (2003), 84(5), 3307-3316

CODEN: BIOJAU; ISSN: 0006-3495

Biophysical Society

PUBLISHER:

Journal

DOCUMENT TYPE: LANGUAGE: English

Cationic liposomes (CLs) are used worldwide as gene vectors (carriers) in nonviral clin. applications of gene delivery, albeit with unacceptably low transfection efficiencies (TE). The authors present three-dimensional laser scanning confocal microscopy studies revealing distinct interactions between CL-DNA complexes, for both lamellar LCa and inverted hexagonal HCII nanostructures, and mouse fibroblast cells. Confocal images of  $LC\alpha$  complexes in cells identified two regimes. For low membrane charge d. (GM), DNA remained trapped in CL-vectors. By contrast, for high oM, released DNA was observed in the cytoplasm, indicative of escape from endosomes through fusion. Remarkably, firefly luciferase reporter gene studies in the highly complex LCa-mammalian cell system revealed an unexpected simplicity where, at a constant cationic to anionic charge ratio, TE data for univalent and multivalent cationic lipids merged into a single curve as a function of  $\sigma M$ , identifying it as a key universal parameter. The universal curve for transfection by  $LC\alpha$  complexes climbs exponentially over  $\approx$  four decades with increasing  $\sigma M$  below an optimal charge d.  $(\sigma * M)$ , and sats. for  $\sigma M > \sigma^* M$  at a value rivaling the high transfection efficiency of HCII complexes. In contrast, the transfection efficiency of HCII complexes is independent of  $\sigma M$ . The exponential dependence of TE on  $\sigma M$  for LC $\alpha$  complexes, suggests the existence of a kinetic barrier against endosomal fusion, where an increase in  $\sigma M$  lowers the barrier. In the saturated TE regime, for both  $LC\alpha$  complexes and HCII, confocal microscopy reveals the dissociation of lipid and DNA. However, the lipid-released DNA is observed to be in a condensed state, most likely with oppositely charged macro-ion condensing agents from the cytoplasm, which remain to be identified. Much of the observed bulk of condensed DNA may be transcriptionally inactive and may determine the current limiting factor to transfection by cationic lipid gene vectors.

3-2 (Biochemical Genetics) CC

Section cross-reference(s): 1

IT

RL: BSU (Biological study, unclassified); PRP (Properties); BIOL (Biological study)

(complexes; three-dimensional laser scanning confocal microscopy shows membrane charge d. controls transfection behavior in lamellar cationic liposome-DNA complexes)

ΙT Drug delivery systems

> (liposomes, cationic; three-dimensional laser scanning confocal microscopy shows membrane charge d. controls transfection behavior in lamellar cationic liposome-DNA complexes)

153312-64-2, DMRIE 282533-23-7, DOSPA IT

> RL: BSU (Biological study, unclassified); PRP (Properties); BIOL (Biological study)

(cationic liposomes containing DOPC and DOTAP; three-dimensional laser scanning confocal microscopy shows membrane charge d. controls transfection behavior in lamellar cationic liposome-DNA complexes)

IT 144189-73-1, DOTAP

RL: BSU (Biological study, unclassified); PRP (Properties); BIOL

(Biological study)

(cationic liposomes containing DOPC or DOPE and DOTAP; three-dimensional laser scanning confocal microscopy shows membrane charge d. controls transfection behavior in lamellar cationic liposome-DNA complexes)

IT 4235-95-4, DOPC

RL: BSU (Biological study, unclassified); PRP (Properties); BIOL (Biological study)

(cationic liposomes containing DOTAP, DMRIE, or DOSPA and; three-dimensional laser scanning confocal microscopy shows membrane charge d. controls transfection behavior in lamellar cationic liposome-DNA complexes)

IT 153312-64-2, DMRIE 282533-23-7, DOSPA

RL: BSU (Biological study, unclassified); PRP (Properties); BIOL (Biological study)

(cationic liposomes containing DOPC and DOTAP; three-dimensional laser scanning confocal microscopy shows membrane charge d. controls transfection behavior in lamellar cationic liposome-DNA complexes)

RN 153312-64-2 HCAPLUS

1 1-Propanaminium, N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis(tetradecyloxy)-,
bromide (9CI) (CA INDEX NAME)

● Br-

RN 282533-23-7 HCAPLUS

CN 1-Propanaminium, N-[2-[[2,5-bis[(3-aminopropyl)amino]-1-oxopentyl]amino]ethyl]-N,N-dimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride, tetrahydrochloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

$$(CH_2)_3$$
 $(CH_2)_3$ 
 $(CH_2)_3$ 

Cl-

●4 HCl

PAGE 1-B

**144189-73-1**, DOTAP IT

RL: BSU (Biological study, unclassified); PRP (Properties); BIOL

(Biological study)

(cationic liposomes containing DOPC or DOPE and DOTAP; three-dimensional laser scanning confocal microscopy shows membrane charge d. controls transfection behavior in lamellar cationic liposome-DNA complexes)

RN144189-73-1 HCAPLUS

1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, CN methyl sulfate (9CI) (CA INDEX NAME)

CM1

CRN 113669-21-9

CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N O (CH $_2$ ) 7 Z (CH $_2$ ) 7 O (CH $_2$ ) 7 Z (CH $_2$ ) 7

PAGE 1-B

\_\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

IT 4235-95-4, DOPC

RL: BSU (Biological study, unclassified); PRP (Properties); BIOL (Biological study)

(cationic liposomes containing DOTAP, DMRIE, or DOSPA and; three-dimensional laser scanning confocal microscopy shows membrane charge d. controls transfection behavior in lamellar cationic liposome-DNA complexes)

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A

Me $_3$ +N

O

O

O

(CH2) 7

Z

(CH2) 7

O

(CH2) 7

Z

(CH2) 7

\_\_\_ Me

```
REFERENCE COUNT:
                                 40
                                          THERE ARE 40 CITED REFERENCES AVAILABLE FOR THIS
                                          RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
L52 ANSWER 6 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN
                           2003:376681 HCAPLUS
ACCESSION NUMBER:
DOCUMENT NUMBER:
                                138:384141
TITLE:
                                Liposome-encapsulated immunostimulatory sequences as
                                mucosal adjuvants
                                Semple, Sean; Klimuk, Sandra; Yuan, Zuan-Ning
INVENTOR(S):
                              Inex Pharmaceuticals Corporation, Can.
PATENT ASSIGNEE(S):
SOURCE:
                                 PCT Int. Appl., 71 pp.
                                  CODEN: PIXXD2
DOCUMENT TYPE:
                                  Patent
LANGUAGE:
                                  English
FAMILY ACC. NUM. COUNT: 8
PATENT INFORMATION:
      PATENT NO. KIND DATE APPLICATION NO. DATE
                                                                                 ______
      WO 2003039595 A2 20030515
WO 2003039595 A3 20030918
                                                        WO 2002-CA1717 20021107
            W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
                 CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
                 GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU,
            RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AT, BE, BG,
                 CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
                                                  US 2003-437258
US 2003-437258
      US 2004009943 A1
US 2004013649 A1
                                      20040115
                                                                                  20030512
                                      20040122
                                                                                  20030512
                                                      US 2003-437258 20030512
US 2001-337522P P 20011107
US 2002-379343P P 20020510
US 1999-151211P P 19990827
US 2000-176406P P 20000113
US 2000-649527 A 20000828
US 2003-454298P P 20030312
US 2003-460646P P 20030404
PRIORITY APPLN. INFO.:
```

AB The authors disclose an enhancement of mucosal immune responses to antigens using to lipid-nucleic acids (LNA) formulations. In one example, the local (lung) and distant (vaginal) mucosal IgA response to nasal immunization with target antigen was enhanced by liposome-encapsulated immunostimulatory sequences.

IC ICM A61K039-39

```
ICS A61P037-04
    15-2 (Immunochemistry)
CC
    Section cross-reference(s): 63
ST
    liposome CpG oligodeoxynucleotide mucosal vaccine
     Phosphorothioate oligodeoxyribonucleotides
IT
    RL: BSU (Biological study, unclassified); THU (Therapeutic use); BIOL
     (Biological study); USES (Uses)
        (CpG-containing; liposome-encapsulated immunostimulatory sequences as
        mucosal adjuvants for mucosal immunization)
IT
     Immunostimulants
        (adjuvants; liposome-encapsulated immunostimulatory sequences as
        mucosal adjuvants for mucosal immunization)
IT
    RL: BSU (Biological study, unclassified); THU (Therapeutic use); BIOL
     (Biological study); USES (Uses)
        (conjugates; to liposomes of encapsulated immunostimulatory sequences)
IT
     RL: BSU (Biological study, unclassified); THU (Therapeutic use); BIOL
     (Biological study); USES (Uses)
        (lipidated; for capture by liposomes of encapsulated immunostimulatory
        sequences)
IT
    Drug delivery systems
        (liposomes; for immunostimulatory sequences as mucosal adjuvants)
IT
     Immunity
        (mucosal; liposome-encapsulated immunostimulatory sequences as mucosal
        adjuvants for enhancement of)
IT
        (nasal; liposome-encapsulated immunostimulatory sequences as mucosal
        adjuvants for)
ΙT
    DNA
    RL: BSU (Biological study, unclassified); THU (Therapeutic use); BIOL
     (Biological study); USES (Uses)
        (thiophosphate-linked, CpG-containing; liposome-encapsulated
        immunostimulatory sequences as mucosal adjuvants for mucosal
        immunization)
     57-88-5, Cholesterol, biological studies 816-94-4, DSPC
IT
     3700-67-2, DDAB 4004-05-1, DOPE 7212-69-3, DODAC 26853-31-6,
          104162-59-6, DODMA 124050-77-7, DOGS 127512-29-2, DODAP
     132172-61-3, DOTAP chloride 137056-72-5, DC-chol
     153312-64-2, DMRIE 160743-62-4 168479-03-6, DOSPA
     RL: BSU (Biological study, unclassified); THU (Therapeutic use); BIOL
     (Biological study); USES (Uses)
        (of liposomes encapsulating immunostimulatory sequences)
     816-94-4, DSPC 26853-31-6, POPC 132172-61-3,
IT
     DOTAP chloride 153312-64-2, DMRIE 168479-03-6, DOSPA
     RL: BSU (Biological study, unclassified); THU (Therapeutic use); BIOL
     (Biological study); USES (Uses)
        (of liposomes encapsulating immunostimulatory sequences)
RN
     816-94-4 HCAPLUS
     3,5,9-Trioxa-4-phosphaheptacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-
CN
```

Absolute stereochemistry.

NAME)

oxo-7-[(1-oxooctadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX

RN 26853-31-6 HCAPLUS

CN 3,5,8-Trioxa-4-phosphahexacos-17-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-9-oxo-7-[[(1-oxohexadecyl)oxy]methyl]-, inner salt, 4-oxide, (7R,17Z)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

Me 
$$(CH_2)_{14}$$
 O O O  $(CH_2)_{7}$  Z  $(CH_2)_{7}$  Me  $(CH_2)_{7}$   $($ 

RN 132172-61-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

• C1 -

\_\_\_ Me

RN 153312-64-2 HCAPLUS

CN 1-Propanaminium, N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis(tetradecyloxy)-, bromide (9CI) (CA INDEX NAME)

• Br-

RN 168479-03-6 HCAPLUS

CN 1-Propanaminium, N-[2-[[2,5-bis[(3-aminopropyl)amino]-1-oxopentyl]amino]ethyl]-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, salt with trifluoroacetic acid (1:1) (9CI) (CA INDEX NAME)

CM 1

CRN 168479-02-5 CMF C54 H107 N6 O5

Double bond geometry as shown.

PAGE 1-A

$$(CH_2)_3$$
 $(CH_2)_3$ 
 $(CH_2)_3$ 

$$Z (CH2) 7$$
 Me

CM

CRN 14477-72-6 CMF C2 F3 O2

$$F - C - CO_2 - \bigcup_{F}$$

L52 ANSWER 7 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

DOCUMENT NUMBER:

TITLE:

138:78447

A method for preparation of vesicles loaded with biological material and different uses thereof

INVENTOR(S):

PATENT ASSIGNEE(S):

Barenholz, Yechezkel; Kedar, Eliezer Yissum Research Development Company of the Hebrew

University of Jerusalem, Israel PCT Int. Appl., 44 pp.

SOURCE:

CODEN: PIXXD2

2003:5751 HCAPLUS

DOCUMENT TYPE:

LANGUAGE:

Patent English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND			DATE			APPLICATION NO.				ο.	DATE			
WO 2003000227 A2			20030		WO 2002-IL506					20020625				
WO 20030002	27	A3	2003											
W: AE,	AG, A	AL, AM,	AT,	AU,	ΑZ,	ΒA,	BB,	BG,	BR,	BY,	BZ,	CA,	CH,	CN,
CO,	CR, C	CU, CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	ES,	FI,	GB,	GD,	GE,	GH,
GM,	HR, H	HU, ID,	IL,	IN,	IS,	JP,	KE,	KG,	ΚP,	KR,	KΖ,	LC,	LK,	LR,
LS,	LT, L	JU, LV,	MA,	MD,	MG,	MK,	MN,	MW,	MX,	MΖ,	NO,	NZ,	OM,	PH,
PL,	PT, R	RO, RU,	SD,	SE,	SG,	SI,	SK,	SL,	ТJ,	TM,	TN,	TR,	TT,	TZ,
UA,	UG, U	JS, UZ,	VN,	YU,	ZA,	ZM,	ZW,	AM,	ΑZ,	BY,	KG,	KΖ,	MD,	RU,
TJ,	TM													

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RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AT, BE, CH,
             CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR,
             BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
     EP 1404298
                            20040407
                                           EP 2002-738605
                                                             20020625
                       Α2
         R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
             IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
                                        US 2001-300065P P 20010625
PRIORITY APPLN. INFO.:
                                        WO 2002-IL506
                                                         W 20020625
     The present invention discloses a method for an efficient entrapment of
AR
     active biol. material in liposomes. The method is based on the steps of
     drying a suspension of liposome-forming lipids and then hydrating the dry
     composition obtained with an aqueous solution containing a biol. active
material to be
     entrapped in high yield in the liposomes thus formed. The invention also
     concerns liposomal formulations produced by the method of the invention
     and their uses.
     ICM A61K009-00
IC
     63-6 (Pharmaceuticals)
CC
     Section cross-reference(s): 15
IΤ
     RNA
     RL: PEP (Physical, engineering or chemical process); PYP (Physical
     process); THU (Therapeutic use); BIOL (Biological study); PROC (Process);
     USES (Uses)
        (interfering; method for preparation of liposomal vesicles loaded with biol.
        material and different uses thereof)
IT
     Drug delivery systems
        (liposomes; method for preparation of liposomal vesicles loaded with biol.
        material and different uses thereof)
IT
     Cell membrane
     Freeze drying
     Mitochondria
     Physiological saline solutions
     Polar solvents
     Ribosome
       Vaccines
     Viral vectors
        (method for preparation of liposomal vesicles loaded with biol. material and
        different uses thereof)
TТ
    Antibodies and Immunoglobulins
       Antigens
       Antisense DNA
       Antisense RNA
     Cytokines
      DNA
     Enzymes, biological studies
     Growth factors, animal
     Nucleotides, biological studies
     Phosphatidylinositols
     Phosphatidylserines
     Phospholipids, biological studies
     Sphingolipids
     Sphingomyelins
     Sphingomyelins
     Zymogens
      mRNA
       rRNA
       tRNA
     RL: PEP (Physical, engineering or chemical process); PYP (Physical
     process); THU (Therapeutic use); BIOL (Biological study); PROC (Process);
```

USES (Uses)

(method for preparation of liposomal vesicles loaded with biol. material and different uses thereof)

IT 6254-89-3, N-Palmitoylsphingomyelin 18656-38-7, Dimyristoyl phosphatidylcholine 25322-68-3D, Polyethyleneglycol, lipid conjugates 58909-84-5, N-Stearoylsphingomyelin 60037-60-7 61361-72-6, Dimyristoyl phosphatidylglycerol 94359-13-4 108392-10-5 144189-73-1, Dotap 168479-02-5 173666-09-6, DSTAP 197974-74-6

RL: PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(method for preparation of liposomal vesicles loaded with biol. material and different uses thereof)

IT 18656-38-7, Dimyristoyl phosphatidylcholine 144189-73-1,
Dotap 168479-02-5 173666-09-6, DSTAP
197974-74-6

RL: PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(method for preparation of liposomal vesicles loaded with biol. material and different uses thereof)

RN 18656-38-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatricosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxotetradecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-,
 methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$^{(CH_2)}$$
 7  $^{(CH_2)}$  7  $^{(CH_2)}$  7  $^{(CH_2)}$  7  $^{(CH_2)}$  7  $^{(CH_2)}$  7  $^{(CH_2)}$  7

\_\_\_Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me---0 503-

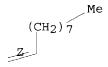
RN 168479-02-5 HCAPLUS

CN 1-Propanaminium, N-[2-[[2,5-bis[(3-aminopropyl)amino]-1-oxopentyl]amino]ethyl]-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

$$(CH_2)_3$$
 $(CH_2)_3$ 
 $(CH_2)_3$ 



$$\frac{}{Z}$$
 (CH<sub>2</sub>) $\frac{}{7}$  Me

RN173666-09-6 HCAPLUS

CN1-Propanaminium, N,N,N-trimethyl-2,3-bis[(1-oxooctadecyl)oxy]- (9CI) (CA INDEX NAME)

RN197974-74-6 HCAPLUS

CN1-Propanaminium, N,N,N-trimethyl-2,3-bis[(1-oxotetradecyl)oxy]-, chloride (9CI) (CA INDEX NAME)

● cl-

L52 ANSWER 8 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2002:945750 HCAPLUS

DOCUMENT NUMBER:

139:202221

TITLE:

A Lipid-based Delivery System for Antisense

Oligonucleotides Derived from a Hydrophobic Complex

AUTHOR (S):

Wong, F. M. P.; MacAdam, S. A.; Kim, A.; Oja, C.;

Ramsay, E. C.; Bally, M. B.

CORPORATE SOURCE:

Cancer Agency, Department of Advanced Therapeutics, Vancouver, BC, V5Z 1L3, Can.

SOURCE:

Journal of Drug Targeting (2002), 10(8), 615-623

CODEN: JDTAEH; ISSN: 1061-186X

PUBLISHER:

Taylor & Francis Ltd.

DOCUMENT TYPE:

Journal

LANGUAGE:

English

Antisense oligodeoxynucleotides (ASOs) prevent expression of proteins by

binding to specific regions of mRNA. This report investigates a potential lipid-based delivery system for ASO. A hydrophobic complex was recovered following addition of cationic lipids to ASOs in a Bligh and Dyer monophase [chloroform/methanol/water (1:2.1:1, volume/volume/v)]. The addition of monovalent cationic lipids (dioleyldimethylammonium chloride, dimethyldioctadecylammonium bromide, dioleoyltrimethylammonium propane), resulted in >95 recovery of the ASOs from the organic phase when ASO phosphate charge was neutralized. Cholesteryldimethylaminoethylcarbamate mediated efficient extraction at a charge ratio (+/-) >5.2. ASOs could not be extracted into the organic phase by the polyvalent lipids,

dioctadecylamidoglycyl

spermine and 2,3-dioleyloxy-N-[2(sperminecarboxamido)ethyl]-N,N-dimethyl-1-propaminium trifluoroacetate, even at a charge ratio (+/-) >5. Dioleoylphosphatidylethanolamine, but not dioleoylphosphatidylcholine, prevented formation and destabilized the hydrophobic complexes. The characterization of the hydrophobic complex led to the development of lipid-ASO particles containing dioleyldimethylammonium chloride, dioleoylphosphatidylethanolamine and poly(ethylene glycol)-conjugated phosphatidylethanolamine (LAPs). When FITC-labeled ASOs in LAPs were added to B-cell lymphoma cells (DoHH2) in vitro, cell-associated ASO decreased as poly(ethylene glycol)-conjugated phosphatidylethanolamine incorporation increased. Western Blot anal. demonstrated that no significant downregulation of Bcl-2 protein was observed when using LAPs. The results suggest that the use of stabilized PEG-conjugated lipids may be detrimental for cationic lipid-based ASO delivery.

CC 63-5 (Pharmaceuticals)

Section cross-reference(s): 3

IT Drug delivery systems

(lipid-based delivery system for antisense oligonucleotides)

IT Antisense oligonucleotides

RL: PKT (Pharmacokinetics); THU (Therapeutic use); BIOL (Biological study): USES (Uses)

(lipid-based delivery system for antisense oligonucleotides)

2462-63-7, Dope 3700-67-2, Dimethyldioctadecylammonium bromide

**4235-95-4**, Dopc 7212-69-3, N,N-Dioleyl-N,N-dimethylammonium chloride 124050-77-7, Transfectam 137056-72-5, DC-Chol

**144189-73-1**, Dotap 145035-96-7, Dspe-PEG **158571-62-1**,

Lipofectamine 211567-66-7, Dmpe-PEG

RL: PEP (Physical, engineering or chemical process); PRP (Properties); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(lipid-based delivery system for antisense oligonucleotides)

IT 4235-95-4, Dopc 144189-73-1, Dotap 158571-62-1

, Lipofectamine

ΙT

RL: PEP (Physical, engineering or chemical process); PRP (Properties); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(lipid-based delivery system for antisense oligonucleotides)

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A

PAGE 1-B

\_\_\_ Me

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9

CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N O (CH $_2$ ) 7 Z (CH $_2$ ) 7 O (CH $_2$ ) 7 Z (CH $_2$ ) 7

PAGE 1-B

\_\_\_Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

RN 158571-62-1 HCAPLUS

CN 1-Propanaminium, N-[3-[[3-[[4-[(3-aminopropyl)amino]butyl]amino]propyl]amino]-3-oxopropyl]-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, salt with trifluoroacetic acid (1:1), mixt. with 1-[[[(2-aminoethoxy)hydroxyphosphinyl]oxy]methyl]-1,2-ethanediyl di-(9Z)-9-octadecenoate (9CI) (CA INDEX NAME)

CM 1

CRN 2462-63-7 CMF C41 H78 N O8 P

Double bond geometry as shown.

PAGE 1-A  $(CH_2)_7$  Z  $(CH_2)_7$  Z  $(CH_2)_7$  Z  $(CH_2)_7$ 

PAGE 1-B

\_\_\_Me

CM 2

CRN 185097-43-2 CMF C54 H106 N5 O5 . C2 F3 O2

CM 3

CRN 181508-68-9 CMF C54 H106 N5 O5

Double bond geometry as shown.

PAGE 1-A

PAGE 1-B

CM 4

CRN 14477-72-6 CMF C2 F3 O2

REFERENCE COUNT:

51 THERE ARE 51 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 9 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2002:929722 HCAPLUS

DOCUMENT NUMBER:

139:169107

TITLE:

Immersion delivery of plasmid DNA I. A study of the potentials of a liposomal delivery system in rainbow

trout (Oncorhynchus mykiss) fry

AUTHOR(S):

Romoren, Kristine; Thu, Beate J.; Smistad, Gro;

Evensen, Oystein

CORPORATE SOURCE:

School of Pharmacy, Department of Pharmaceutics,

University of Oslo, Oslo, N-0316, Norway

SOURCE:

Journal of Controlled Release (2002), 85(1-3), 203-213

CODEN: JCREEC; ISSN: 0168-3659

PUBLISHER: Elsevier Science Ltd.
DOCUMENT TYPE: Journal
LANGUAGE: English

AB A successful regime for i.m. injection of naked DNA is developed in fish, but the exploration of other ways of administration has not yet been studied in any detail. Immersion is a delivery route offering many advantages compared to conventional ways of administration. Applying cationic liposomes as a delivery system for DNA by this route, however, is met with severe toxicity problems. In this report, the underlying mechanisms of the acute toxicity were investigated in vivo and in vitro. The most critical factor was found to be the charge of the liposomes. Cationic liposomes above a certain threshold concentration had a lethal effect

in

rainbow trout fry. In contrast, similar concns. of neutral or anionic liposomes were not toxic. Furthermore, large liposome-mucin complexes were formed upon addition of mucin to cationic liposomes. This was not observed

with neutral or anionic liposomes. Lipoplexes were less toxic and interacted less with mucin compared to cationic liposomes. Hence, the mechanism of the acute toxicity in rainbow trout fry is suggested to be an interaction between the cationic liposomes and anionic components of gill mucin. The consequence is hypoxia and this is most likely the cause of acute toxicity observed in rainbow trout fry.

CC 63-5 (Pharmaceuticals)

Section cross-reference(s): 3

ST rainbow trout plasmid DNA vaccine delivery liposome

IT DNA

RL: PKT (Pharmacokinetics); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(complexes; immersion delivery of DNA via liposomes in rainbow trout)

IT Adhesion, biological

Oncorhynchus mykiss

Particle size

Plasmid vectors

## Vaccines

Zeta potential

(immersion delivery of DNA via liposomes in rainbow trout)

IT Drug delivery systems

(liposomes; immersion delivery of DNA via liposomes in rainbow trout)

IT **816-94-4**, DSPC 4004-05-1, DOPE **144189-73-1**, DOTAP 217939-97-4, DSPG

RL: PEP (Physical, engineering or chemical process); PRP (Properties); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(immersion delivery of DNA via liposomes in rainbow trout)

IT 816-94-4, DSPC 144189-73-1, DOTAP

RL: PEP (Physical, engineering or chemical process); PRP (Properties); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(immersion delivery of DNA via liposomes in rainbow trout)

RN 816-94-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxooctadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

PAGE 1-B

\_\_\_Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me -- 0-- SO3-

REFERENCE COUNT:

THERE ARE 26 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

```
L52 ANSWER 10 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN
ACCESSION NUMBER: 2002:857754 HCAPLUS
DOCUMENT NUMBER: 139:73849
TITLE: A new approach for the study of cationic lipid-DNA
complexes by energy dispersive X-ray diffraction
```

complexes by energy dispersive X-ray diffraction AUTHOR(S): Caracciolo, G.; Caminiti, R.; Natali, F.; Congiu

Castellano, A.

CORPORATE SOURCE: Dipartimento di Fisica and INFM, Universita 'La

Sapienza', Rome, IT-00185, Italy

SOURCE: Chemical Physics Letters (2002), 366(3,4), 200-204

CODEN: CHPLBC; ISSN: 0009-2614

PUBLISHER: Elsevier Science B.V.

DOCUMENT TYPE: Journal LANGUAGE: English

AB X-ray diffraction (XRD) studies on the cationic liposome (CL)-DNA complexes currently used in gene delivery have provided detailed structural informations on these compact, ordered self-assemblies sheding light on the poorly understood structure-activity relationship. Among these, the expts. carried out by using a synchrotron radiation source have showed an exptl. resolution remarkably better than that achieved one by traditional in house apparatuses. Here we show a new exptl. approach for the study of CL-DNA complexes, based on the employment of silicon wafers as substrates, which allows obtaining high-resolution structural informations by energy dispersive X-ray diffraction (EDXD).

CC 63-5 (Pharmaceuticals)

IT DNA

RL: PRP (Properties); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(complexes; study of cationic lipid-DNA complexes by energy dispersive X-ray diffraction)

IT Drug delivery systems

(liposomes; study of cationic lipid-DNA complexes by energy dispersive X-ray diffraction)

IT 68737-67-7, Dioleoyl phosphatidylcholine 144189-73-1, Dotap

RL: PRP (Properties); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(study of cationic lipid-DNA complexes by energy dispersive X-ray diffraction)

IT 68737-67-7, Dioleoyl phosphatidylcholine 144189-73-1,

Dotap

RL: PRP (Properties); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(study of cationic lipid-DNA complexes by energy dispersive X-ray diffraction)

RN 68737-67-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (18Z)-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

PAGE 1-B

\_\_\_Me

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9

CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$^{(CH_2)}$$
  $^{7}$   $^{2}$   $^{(CH_2)}$   $^{7}$   $^{7}$   $^{7}$   $^{7}$   $^{7}$   $^{7}$   $^{7}$   $^{7}$   $^{7}$   $^{7}$   $^{7}$   $^{7}$   $^{7}$   $^{7}$   $^{7}$ 

PAGE 1-B

\_\_\_ Me

CM 2

07/29/2004

Nguyen 10/089,312

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

REFERENCE COUNT:

THERE ARE 18 CITED REFERENCES AVAILABLE FOR THIS 18 RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 11 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2002:849401 HCAPLUS

DOCUMENT NUMBER:

137:342088

TITLE:

Lipid-based formulations for gene transfer

INVENTOR(S):

MacLachlan, Ian

PATENT ASSIGNEE(S):

Protiva Biotherapeutics Inc., Can.

SOURCE:

PCT Int. Appl., 55 pp. CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

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KIND DATE
                                       APPLICATION NO. DATE
    PATENT NO.
    _____
                                        ______
                    A1 20021107
                                       WO 2002-CA669 20020430
    WO 2002087541
        W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
            CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
            GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
            LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH,
            PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ,
            UA, UG, US, UZ, VN, YU, ZA, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU,
            TJ, TM
        RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AT, BE, CH,
            CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR,
            BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
                                       US 2002-136707 20020430
                    A1 20030424
    US 2003077829
                                     US 2001-287796P P 20010430
PRIORITY APPLN. INFO.:
    The present invention provides lipid-based formulations for delivering
    nucleic acids to a cell, and assays for optimizing the transfection
    efficiency of such lipid-based formulations.
IC
    ICM A61K009-127
    ICS A61K048-00; A61K047-48
CC
    63-5 (Pharmaceuticals)
    Section cross-reference(s): 3
```

Drug delivery systems IT

(carriers; lipid-based formulations for gene transfer)

Nucleic acids ΤT

RL: BSU (Biological study, unclassified); PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(lipid-based formulations for gene transfer)

#### Antisense oligonucleotides IT

DNA

Ribozymes

RL: PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(lipid-based formulations for gene transfer)

IT Drug delivery systems

(liposomes; lipid-based formulations for gene transfer)

IT 2462-63-7, Dope 7212-69-3, Dodac **26662-91-9**, POPC 104162-47-2 **104162-48-3**, Dotma **144189-73-1**, Dotap

RL: BSU (Biological study, unclassified); PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(lipid-based formulations for gene transfer)

TT 26662-91-9, POPC 104162-48-3, Dotma 144189-73-1

Dotap

RL: BSU (Biological study, unclassified); PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(lipid-based formulations for gene transfer)

RN 26662-91-9 HCAPLUS

CN 3,5,8-Trioxa-4-phosphahexacos-17-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-9-oxo-7-[[(1-oxohexadecyl)oxy]methyl]-, inner salt, 4-oxide, (17Z)- (9CI) (CA INDEX NAME)

Double bond geometry as shown.

RN 104162-48-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_8$  O  $(CH_2)_8$  Z  $(CH_2)_7$  Me  $(CH_2)_8$  Ne  $(CH_2)_7$  Me

● Cl -

RN 144189-73-1 HCAPLUS

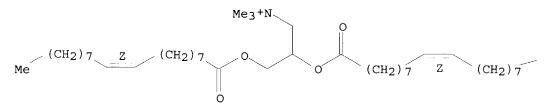
CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A



PAGE 1-B

\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

REFERENCE COUNT:

THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 12 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2002:789682 HCAPLUS

DOCUMENT NUMBER:

137:273730

TITLE:

Efficient synthesis and cell-transfection properties of a new multivalent cationic lipid for nonviral gene

delivery

AUTHOR (S):

Ewert, Kai; Ahmad, Ayesha; Evans, Heather M.; Schmidt,

Hans-Werner; Safinya, Cyrus R.

CORPORATE SOURCE:

Department of Materials, University of California,

Santa Barbara, CA, 93106, USA

SOURCE:

Journal of Medicinal Chemistry (2002), 45(23),

5023-5029

CODEN: JMCMAR; ISSN: 0022-2623

PUBLISHER:

American Chemical Society

DOCUMENT TYPE:

Journal English

LANGUAGE:

Lipid-mediated delivery of DNA into cells holds great promise both for

gene therapy and basic research applications. This paper describes the efficient and facile synthesis and the characterization of a new multivalent cationic lipid with a double-branched headgroup structure for gene delivery applications. The synthetic scheme can be extended to give cationic lipids of different charge, spacer, or lipid chain length. The chemical and phys. properties of self-assembled complexes of the cationic liposomes (CLs) with DNA give indications of why multivalent cationic lipids possess superior transfection properties. The lipid bears a headgroup with five charges in the fully protonated state, which is attached to an unsatd. double-chain hydrophobic moiety based on 3,4-dihydroxybenzoic acid. Liposomes consisting of the new multivalent lipid and the neutral lipid 1,2-dioleoyl-sn-glycerophosphatidylcholine (DOPC) were used to prepare complexes with DNA. Investigations of the structures of these complexes by optical microscopy and small-angle X-ray scattering reveal a lamellar L $\alpha$ C phase of CL-DNA complexes with the DNA mols. sandwiched between bilayers of the lipids. Expts. using plasmid DNA containing the firefly luciferase reporter gene show that these complexes efficiently transfect mammalian cells. When compared to the monovalent cationic lipid 2,3-dioleyloxypropyltrimethylammonium chloride (DOTAP), the higher charge d. of the membranes of CL-DNA complexes achievable with the new multivalent lipid greatly increases transfection efficiency in the regime of small molar ratios of cationic to neutral lipid. This is

CC 3-2 (Biochemical Genetics)

Section cross-reference(s): 1, 21

IT DNA

RL: BSU (Biological study, unclassified); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

desired to minimize the known toxicity effects of cationic lipids.

(complexes, CL-DNA complexes; efficient synthesis and cell-transfection properties of a new multivalent cationic lipid for nonviral gene delivery)

IT Drug delivery systems

(liposomes, cationic, with DNA; efficient synthesis and cell-transfection properties of a new multivalent cationic lipid for nonviral gene delivery)

IT 4235-95-4, DOPC

RL: ARG (Analytical reagent use); BUU (Biological use, unclassified); ANST (Analytical study); BIOL (Biological study); USES (Uses) (efficient synthesis and cell-transfection properties of a new multivalent cationic lipid for nonviral gene delivery)

IT 99-50-3, 3,4-Dihydroxybenzoic acid **144189-73-1**, DOTAP

RL: BSU (Biological study, unclassified); BIOL (Biological study) (efficient synthesis and cell-transfection properties of a new multivalent cationic lipid for nonviral gene delivery)

IT 4235-95-4, DOPC

RL: ARG (Analytical reagent use); BUU (Biological use, unclassified); ANST (Analytical study); BIOL (Biological study); USES (Uses) (efficient synthesis and cell-transfection properties of a new multivalent cationic lipid for nonviral gene delivery)

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A

PAGE 1-B

\_\_\_ Me

IT 144189-73-1, DOTAP

RL: BSU (Biological study, unclassified); BIOL (Biological study) (efficient synthesis and cell-transfection properties of a new multivalent cationic lipid for nonviral gene delivery)

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

PAGE 1-B

\_\_\_ Me

CM

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

REFERENCE COUNT:

THERE ARE 35 CITED REFERENCES AVAILABLE FOR THIS 35 RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 13 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2002:681698 HCAPLUS

DOCUMENT NUMBER:

138:343566

TITLE:

Liposome (Lipodine) - mediated DNA vaccination

by the oral route

AUTHOR(S):

Perrie, Yvonne; Obrenovic, Mia; McCarthy, David;

Gregoriadis, Gregory

CORPORATE SOURCE:

Pharmaceutical Sciences Research Institute, Aston

University, Birmingham, UK

SOURCE:

Journal of Liposome Research (2002), 12(1 & 2),

185-197

CODEN: JLREE7; ISSN: 0898-2104

Marcel Dekker, Inc.

PUBLISHER: DOCUMENT TYPE:

Journal

LANGUAGE: English

Plasmid DNA pRc/CMV HBS encoding the S (small) region of hepatitis B surface antigen (HBsAg) was incorporated by the dehydration-rehydration method into Lipodine liposomes composed of 16  $\mu moles$ phosphatidylcholine (PC) or distearoyl phosphatidylcholine (DSPC), 8 µmoles of (dioleoyl phosphatidylethanolamine (DOPE) or cholesterol and 4 μmoles of the cationic lipid 1,2-dioleoyl-3-(trimethylammonium propane (DOTAP) (molar ratios 1:0.5:0.25). Incorporation efficiency was high (89-93% of the amount of DNA used) in all four formulations tested and incorporated DNA was shown to be resistant to displacement in the presence of the competing anionic sodium dodecyl sulfate mols. This is consistent with the notion that most of the DNA is incorporated within the multilamellar vesicles structure rather than being vesicle surface-complexed. Stability studies performed in simulated intestinal media also demonstrated that dehydration rehydration vesicles (DRV) incorporating DNA (DRV(DNA)) were able to retain significantly more of their DNA content compared to DNA complexed with preformed small unilamellar vesicles (SUV-DNA) of the same composition Moreover, after 4h incubation in the media, DNA loss for DSPC DRV(DNA) was only minimal, suggesting this to be the most stable formulation. Oral (intragastric) liposome-mediated DNA immunization studies employing a variety of DRV(DNA) formulations as well as naked DNA revealed that secreted IqA responses against the encoded HBsAg were (as early as three weeks after the first dose) substantially higher after dosing with 100 µg liposome-entrapped DNA compared to naked DNA. Throughout the fourteen week investigation, IgA responses in mice were consistently higher with the DSPC DRV(DNA) liposomes compared to naked DNA and correlated well with their improved DNA retention when exposed to model intestinal fluids. To investigate gene expression after oral (intragastric) administration, mice were given 100 µg of naked or DSPC DRV liposome-entrapped plasmid DNA

expressing the enhanced green fluorescent protein (pCMV.EGFP). Expression of the gene, in terms of fluorescence intensity in the draining mesenteric lymph nodes, was much greater in mice dosed with liposomal DNA than in animals dosed with the naked DNA. These results suggest that DSPC DRV liposomes containing DNA (Lipodine) may be a useful system for the oral delivery of DNA vaccines.

CC 63-3 (Pharmaceuticals)

ST liposome DNA vaccine oral

IT Vaccines

Zeta potential

(liposome-mediated DNA vaccination by oral route)

IT DNA

RL: PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(liposome-mediated DNA vaccination by oral route)

IT Phosphatidylcholines, biological studies

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(liposome-mediated DNA vaccination by oral route)

IT Drug delivery systems

(liposomes; liposome-mediated DNA vaccination by oral route)

IT Gastric juice

(stability in gastric and intestinal media of liposome-DNA

vaccines)

IT Intestinal juice

Stability

(stability in intestinal media of liposome-DNA vaccines)

TT 57-88-5, Cholesterol, biological studies 2462-63-7, Dioleoyl phosphatidylethanolamine 4539-70-2, Distearoyl phosphatidylcholine 144189-73-1, Dotap

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(liposome-mediated DNA vaccination by oral route)

IT 4539-70-2, Distearoyl phosphatidylcholine 144189-73-1,
Dotap

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(liposome-mediated DNA vaccination by oral route)

RN 4539-70-2 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxooctadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 144189-73-1 HCAPLUS

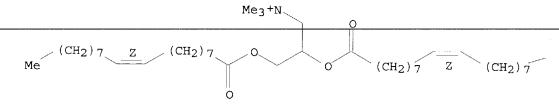
CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A



PAGE 1-B

\_\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

REFERENCE COUNT:

17 THERE ARE 17 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 14 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2002:657932 HCAPLUS

DOCUMENT NUMBER:

INVENTOR(S):

137:190751

TITLE:

Amphoteric liposomes and their usage for the

encapsulation of drugs and their transport into cells Panzner, Steffen; Fankhaenel, Stefan; Essler, Frank;

Panzner, Cornelia

PATENT ASSIGNEE(S):

SOURCE:

Novosom A.-G., Germany PCT Int. Appl., 38 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent German

LANGUAGE:

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.

KIND DATE

APPLICATION NO. DATE

\_\_\_\_\_\_ -----

```
20020829
                                           WO 2002-EP1880
                                                            20020221
    WO 2002066012
                      A2
                           20021219
    WO 2002066012
                      Α3
        W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
            CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
            GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
            LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH,
             PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ,
            UA, UG, US, UZ, VN, YU, ZA, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU,
            TJ, TM
        RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AT, BE, CH,
             CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR,
             BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
    DE 10109897
                           20021107
                                         DE 2001-10109897 20010221
                     A1
                                          US 2002-81617
    US 2003099697
                      Α1
                            20030529
                                          EP 2002-701290
                           20031126
                                                            20020221
    EP 1363601
                      A2
        R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
             IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
                            20040330
                                          BR 2002-7775
                                                            20020221
    BR 2002007775
                     Α
PRIORITY APPLN. INFO.:
                                        DE 2001-10109897 A 20010221
                                        WO 2002-EP1880 W 20020221
    The invention relates to amphoteric liposomes comprising pos. and neg.
AB
    membrane-permeable or membrane-forming charge-carriers and to the use of
    the liposomes for the encapsulation of proteins, peptides, and nucleic
    acids. The liposomes are used to transport active substances into cells
    and to release them. Thus amphoteric liposomes with permanent neg. charge
    carriers and pos. chargable carriers were prepared from 5 mg histaminyl
    cholesterol hemisuccinate, 7.8 mg POPC and 2 mg DPPG. A lipid film of the
     liposomes was prepared and dissolved in a pH 7 buffer; 1 mM serum was added.
    The mixture was stirred for 15 min at 37°C; the mixture became
    uniformly turbid but no flocculation occurred.
IC
    ICM A61K009-127
     63-6 (Pharmaceuticals)
CC
ΙT
    Blood serum
      Drug delivery systems
    Electric charge
    Encapsulation
     Isoelectric point
     Particle size
    Peritoneum
     Zeta potential
        (amphoteric liposomes and usage for encapsulation of drugs and
       transport into cells)
    Lipids, biological studies
      Nucleic acids
     Peptides, biological studies
     Proteins
     RL: PEP (Physical, engineering or chemical process); PYP (Physical
    process); THU (Therapeutic use); BIOL (Biological study); PROC (Process);
     USES (Uses)
        (amphoteric liposomes and usage for encapsulation of drugs and
        transport into cells)
    Ceramides
    Diglycerides
     Phosphatidylcholines, biological studies
     Phosphatidylethanolamines, biological studies
     Sphingolipids
```

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (amphoteric liposomes and usage for encapsulation of drugs and transport into cells)

IT Drug delivery systems

(injections, i.v.; amphoteric liposomes and usage for encapsulation of drugs and transport into cells)

IT Drug delivery systems

(liposomes; amphoteric liposomes and usage for encapsulation of drugs and transport into cells)

IT 4537-77-3, DPPG 26662-91-9, 1-Palmitoyl-2-oleoyl-

phosphatidylcholine 449791-79-1

RL: PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(amphoteric liposomes and usage for encapsulation of drugs and transport into cells)

IT 57-88-5, Cholesterol, biological studies 1510-21-0, Cholesterol hemisuccinate 144189-73-1, DOTAP

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (amphoteric liposomes and usage for encapsulation of drugs and transport into cells)

IT 26662-91-9, 1-Palmitoyl-2-oleoyl-phosphatidylcholine
 RL: PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(amphoteric liposomes and usage for encapsulation of drugs and transport into cells)

RN 26662-91-9 HCAPLUS

CN 3,5,8-Trioxa-4-phosphahexacos-17-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-9-oxo-7-[[(1-oxohexadecyl)oxy]methyl]-, inner salt, 4-oxide, (17Z)- (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_{14}$$
 O O O  $(CH_2)_{7}$  Z  $(CH_2)_{7}$  Me  $(CH_2)_{7}$   $(CH_2)_{7}$ 

IT **144189-73-1**, DOTAP

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (amphoteric liposomes and usage for encapsulation of drugs and transport into cells)

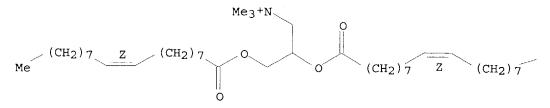
RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4 Double bond geometry as shown.

PAGE 1-A



PAGE 1-B

\_\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

L52 ANSWER 15 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER: 2002:476451 HCAPLUS

DOCUMENT NUMBER:

138:243090

TITLE:

Lipoplex-induced hemagglutination: potential

involvement in intravenous gene delivery

AUTHOR(S): CORPORATE SOURCE: Eliyahu, H.; Servel, N.; Domb, A. J.; Barenholz, Y. Department of Biochemistry, Hebrew University-Hadassah

Medical School, Jerusalem, Israel

.

Gene Therapy (2002), 9(13), 850-858

CODEN: GETHEC; ISSN: 0969-7128

PUBLISHER:

SOURCE:

Nature Publishing Group

DOCUMENT TYPE:

Journal

LANGUAGE:

English

AB We report a study aiming to characterize the interaction of blood and blood components with lipoplexes under conditions relevant to in vivo i.v. transfection. In this study we focus on the interaction of lipoplexes with red blood cells (RBC). It was found that no significant hemolysis occurred during several hours' incubation using lipoplex compns. and lipoplex/red blood cell ratios in the range commonly used for in vivo transfection. However, the interaction of RBC with lipoplexes resulted in massive agglutination, which occurs irresp. of the type of cationic lipid or helper lipid. Agglutination was also induced by polyplexes (such as dendrimer/DNA complexes) and lipoplexes in the presence of spermidine or

protamine sulfate (the latter induced hemagglutination by itself). DSPE-PEG2000 inserted into the lipoplexes inhibits hemagglutination somewhat. In order to understand the effect of serum on the agglutination better, plasma was separated into its high mol. weight components (HMWC, >14

kDa)

and its low mol. weight components (LMWC, ≤14 kDa). These fractions were characterized for their level of proteins, primary amino groups, osmotic pressure, and elec. conductivity, and compared with saline (0.15 M NaCl).

It was found that both LMWC and HMWC inhibit agglutination by themselves, although whole serum demonstrates better hemagglutination inhibition than each fraction sep. The inhibitory effect of the serum (or plasma) is explained by its effect on the electrostatics of the lipoplexes, reducing their pos. charge, as was demonstrated using fluorescein-phosphatidylethanolamine-labeled lipoplexes. The effect of LMWC was related to ionic strength and was equal to the effect of 0.15 M NaCl. The level of agglutination was reduced with increasing lipoplex DNA-/cationic lipid+ (DNA-/L+) ratio. However, at the low DNA-/L+ ratio needed to achieve significant in vivo transfection after i.v. administration, massive agglutination occurred. These data suggest that i.v. administration of lipoplexes and polyplexes may lead to RBC agglutination, and the agglutinates formed may explain the localization of lipoplexes and expression of their transgenes in the lungs.

CC 63-6 (Pharmaceuticals)

IT DNA

RL: BSU (Biological study, unclassified); PRP (Properties); BIOL (Biological study)

(lipoplex-induced hemagglutination and potential involvement in i.v. gene delivery)

IT Drug delivery systems

(lipoplexes; lipoplex-induced hemagglutination and potential involvement in i.v. gene delivery)

RL: DEV (Device component use); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(lipoplex-induced hemagglutination and potential involvement in i.v. gene delivery)

IT 4235-95-4, 1,2-Dioleoyl-sn-glycero-3-phosphatidylcholine
113669-21-9 168479-03-6

RL: DEV (Device component use); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(lipoplex-induced hemagglutination and potential involvement in i.v. gene delivery)

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-B

\_\_\_Me

RN 113669-21-9 HCAPLUS CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)$$
 7  $Z$   $(CH_2)$  7  $Z$   $(CH_2)$  7  $Z$   $(CH_2)$  7  $Z$   $(CH_2)$  7

PAGE 1-B

\_\_\_Me

1

CM

RN 168479-03-6 HCAPLUS

CN 1-Propanaminium, N-[2-[[2,5-bis[(3-aminopropyl)amino]-1-oxopentyl]amino]ethyl]-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, salt with trifluoroacetic acid (1:1) (9CI) (CA INDEX NAME)

CRN 168479-02-5 CMF C54 H107 N6 O5

Double bond geometry as shown.

PAGE 1-A

$$H_2N$$
 $(CH_2)_3$ 
 $H_2N$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 

PAGE 1-B

$$\overline{Z}$$
 (CH<sub>2</sub>)  $\overline{7}$  Me

CM 2

CRN 14477-72-6 CMF C2 F3 O2

REFERENCE COUNT:

49 THERE ARE 49 CITED REFERENCES AVAILABLE FOR THIS

# RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

```
L52 ANSWER 16 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN
ACCESSION NUMBER: 2002:332010 HCAPLUS
DOCUMENT NUMBER:
                        136:345764
                        Lipid formulations for targeted delivery
TITLE:
                        Cullis, Pieter R.; MacLachlan, Ian; Fenske, David B.
INVENTOR(S):
                        The University of British Columbia, Can.; Inex
PATENT ASSIGNEE(S):
                        Pharmaceuticals Corporation
SOURCE:
                         PCT Int. Appl., 65 pp.
                         CODEN: PIXXD2
DOCUMENT TYPE:
                         Patent
                         English
LANGUAGE:
FAMILY ACC. NUM. COUNT:
PATENT INFORMATION:
                    KIND DATE
                                        APPLICATION NO. DATE
     PATENT NO.
     _____
                                         -----
    WO 2002034236 A2 20020502
WO 2002034236 A3 20030109
                                        WO 2001-CA1513 20011025
         W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
            CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
            GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
            LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL,
            PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG,
            US, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
         RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY,
            DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF,
            BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
    AU 2002014854 A5 20020506 AU 2002-14854 20011025 EP 1328254 A2 20030723 EP 2001-983342 20011025
     EP 1328254
        R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
             IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
     JP 2004511572 T2 20040415
                                          JP 2002-537290
                                       US 2000-243185P P 20001025
PRIORITY APPLN. INFO.:
                                       WO 2001-CA1513 W 20011025
     The present invention provides lipid-based systemic delivery vehicles and
AΒ
     method for selectively targeting an active agent to a specific tissue
     site. The methods include designing a lipid-based systemic delivery
     vehicle having a plurality of constituent parts, and thereafter varying
     the amts. of each of the plurality of constituent parts to impart tissue
     selectivity. After tissue selectivity is imparted it is possible to
     selectively target an active agent to a specific tissue site.
     ICM A61K009-127
IC
     63-5 (Pharmaceuticals)
CC
     Section cross-reference(s): 1
IT
    Antitumor agents
      Drug delivery systems
     Gene therapy
     Molecular weight distribution
     Mus
     Plasmid vectors
     Stabilizing agents
     Transformation, genetic
        (lipid formulations for targeted delivery)
     Antisense oligonucleotides
      Ribozymes
     RL: PEP (Physical, engineering or chemical process); PRP (Properties); PYP
     (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC
```

(Process); USES (Uses)

(lipid formulations for targeted delivery)

IT Ceramides

## Nucleic acids

Phosphatidylcholines, biological studies

Polyoxyalkylenes, biological studies

RL: PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(lipid formulations for targeted delivery)

# Drug delivery systems

(liposomes; lipid formulations for targeted delivery)

# IT Drug delivery systems

(targeted; lipid formulations for targeted delivery)

IT 2462-63-7, Dope 3700-67-2, DDAB 7212-69-3, Dodac 25322-68-3,
Polyethylene glycol 68737-67-7, Dioleoyl phosphatidyl choline
104162-48-3, Dotma 144189-73-1, Dotap
RL: PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process);

(lipid formulations for targeted delivery)

IT 68737-67-7, Dioleoyl phosphatidyl choline 104162-48-3,

Dotma 144189-73-1, Dotap

RL: PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(lipid formulations for targeted delivery)

RN 68737-67-7 HCAPLUS

USES (Uses)

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (18Z)-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$_3$$
+N  $_{-0}$  O  $_{0}$  O  $_{-0}$  O  $_{0}$  (CH<sub>2</sub>)  $_{7}$   $_{2}$  (CH<sub>2</sub>)  $_{7}$   $_{2}$  (CH<sub>2</sub>)  $_{7}$ 

PAGE 1-B

\_\_ Me

RN 104162-48-3 HCAPLUS

07/29/2004

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_8$  O  $(CH_2)_8$  Z  $(CH_2)_7$  Me  $(CH_2)_8$  Z

• c1-

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

PAGE 1-B

\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

 $Me^- O^- SO_3^-$ 

L52 ANSWER 17 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2002:314746 HCAPLUS

DOCUMENT NUMBER:

136:330564

TITLE: INVENTOR(S): Lipid-protein-sugar microparticles for drug delivery Kohane, Daniel S.; Lipp, Michael M.; Langer, Robert S.

PATENT ASSIGNEE(S):

Massachusetts Institute of Technology, USA

SOURCE:

PCT Int. Appl., 84 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
	<del>-</del>			
WO 2002032398	A2	20020425	WO 2001-US32378	20011016
WO 2002032398	A3	20030109		

W: CA, JP

RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR

US 2002150621 A1 20021017 US 2001-981020 20011016 PRIORITY APPLN. INFO.: US 2000-240636P P 20001016

Lipid-protein-sugar microparticles (LPSPs) are provided as a vehicle for drug delivery. Any therapeutic, diagnostic, or prophylactic agent may be encapsulated in a lipid-protein-sugar matrix to form microparticles. Preferably the diameter of the LPSP ranges from 50 to 10  $\mu m\,.$  The particles may be prepared by using any known lipid (e.g., DPPC), protein (e.g., albumin), or sugar (e.g., lactose). Methods of preparing and administering the particles are also provided. Methods of providing a nerve block are also provided by administering LPSPs with a local anesthetic (e.g., bupivacaine) within the vicinity of a nerve. Title microparticles (DPPC-albumin-lactose) were prepared containing bupivacaine.

The

drug release from the particles was complete within 24 h.

ICM A61K009-00 IC

63-6 (Pharmaceuticals) CC

Section cross-reference(s): 1

TΤ Anticonvulsants

Biocompatibility

#### Drug delivery systems

Emulsifying agents

Human

Nerve

Particle size distribution

Solubilization

Surfactants

Vasodilators

(lipid-protein-sugar microparticles for drug delivery)

Albumins, biological studies

Antibodies and Immunoglobulins

# Antigens

Carbohydrates, biological studies

Cardiolipins

Cerebrosides

Enzymes, biological studies

Fatty acids, biological studies

Glycerides, biological studies

Glycerophospholipids

IT

TΤ

IT

ΙT

RN

CN

```
Glycosaminoglycans, biological studies
Lecithins
Lipids, biological studies
Lysophosphatidylcholines
Phosphatidic acids
Phosphatidylcholines, biological studies
Phosphatidylethanolamines, biological studies
Phosphatidylinositols
Phosphatidylserines
Phospholipids, biological studies
Polymers, biological studies
Polyoxyalkylenes, biological studies
Proteins
Sialic acids
Sphingomyelins
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
   (lipid-protein-sugar microparticles for drug delivery)
Drug delivery systems
   (microparticles, controlled-release; lipid-protein-sugar microparticles
   for drug delivery)
Drug delivery systems
   (microparticles; lipid-protein-sugar microparticles for drug delivery)
50-99-7, Glucose, biological studies 57-10-3, Palmitic acid, biological
         57-48-7, Fructose, biological studies
                                                 57-88-5, Cholesterol,
biological studies 57-88-5D, Cholesterol, esters 58-86-6, Xylose,
biological studies 59-23-4, Galactose, biological studies
                                                69-79-4, Maltose
Procaine
          63-42-3, Lactose 69-65-8, Mannitol
85-79-0, Dibucaine 94-24-6, Tetracaine 96-88-8, Mepivacaine
110-27-0, Isopropyl myristate 112-80-1, Oleic acid, biological studies
124-22-1, Dodecylamine 124-30-1, Stearylamine 137-58-6, Lidocaine
143-27-1, Hexadecylamine 512-69-6, Raffinose 1190-63-2, Hexadecyl
          1323-38-2, Glyceryl ricinoleate 2197-63-9, Dicetyl phosphate
2462-63-7, DOPE 2644-64-6, DPPC 2763-96-4, Muscimol
                                             9002-89-5, Poly(vinyl
4537-77-3, DPPG 9001-37-0, Glucose oxidase
alcohol) 9002-92-0, Polyethylene glycol lauryl ether 9004-10-8,
Insulin, biological studies 9004-32-4, Carboxymethyl cellulose
9004-34-6, Cellulose, biological studies 9004-53-9, Dextrin
Dextran, biological studies 9004-54-0D, Dextran, derivs.
                                                           9004-61-9,
Hyaluronic acid 9004-67-5, Methyl Cellulose
                                               9005-25-8, Starch,
biological studies
                   9007-28-7, Chondroitin sulfate
                                                    9012-76-4, Chitosan
18010-40-7, Bupivacaine hydrochloride 21829-25-4, Nifedipine
23964-58-1, Articaine 24730-31-2, Surfactin 25301-02-4, Tyloxapol
25322-68-3, Polyethylene glycol 25322-68-3D, Polyethylene glycol,
phosphatidylethanolamine conjugates
                                   26266-58-0, Span 85
             64044-51-5, Lactose monohydrate 68737-67-7, DOPC
Hexadecanol
104162-48-3, DOTMA 106392-12-5, Poloxamer
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
   (lipid-protein-sugar microparticles for drug delivery)
2644-64-6, DPPC 68737-67-7, DOPC 104162-48-3,
DOTMA
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
   (lipid-protein-sugar microparticles for drug delivery)
2644-64-6 HCAPLUS
3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-
oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)
```

RN 68737-67-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (18Z)-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N

O
O
O
O
O
(CH $_2$ ) 7

Z
(CH $_2$ ) 7

O
O
O
(CH $_2$ ) 7

Z
(CH $_2$ ) 7

PAGE 1-B

\_\_\_Me

RN 104162-48-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
  $Z$   $(CH_2)_8$   $Z$   $(CH_2)_7$  Me  $Z$   $(CH_2)_7$   $Z$ 

● Cl -

L52 ANSWER 18 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2002:314744 HCAPLUS

DOCUMENT NUMBER:

136:330527

TITLE:

Lipid-protein-sugar particles for delivery of nucleic

acids

INVENTOR(S):

Kohane, Daniel S.; Anderson, Daniel G.; Langer, Robert

**A**1

PATENT ASSIGNEE(S):

Massachusetts Institute of Technology, USA

SOURCE:

PCT Int. Appl., 82 pp.

DOCUMENT TYPE:

Patent

CODEN: PIXXD2

20021017

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE		
WO 2002032396	A2	20020425	WO 2001-US32210	20011016		
WO 2002032396	A3	20030206				
WO 2002032396	C2	20030717				

W: CA, JP

RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR

US 2002150626 PRIORITY APPLN. INFO.:

US 2001-981460 20011016 US 2000-240698P P 20001016

Lipid-protein-sugar particles (LPSPs) are provided as a vehicle for the delivery of nucleic acids. Any polynucleotide (e.g., DNA, RNA) may be encapsulated in a lipid-protein-sugar matrix to form microparticles. Preferably the diameter of the LPSP ranges from 50 nm to 10 µm. The particles may be prepared using any known lipid (e.g., DPPC), protein (e.g., albumin), or sugar (e.g., lactose). Methods of preparing the particles and administering the particles for gene therapy are also provided. Preferably the methods of preparing the LPSPs do not significantly damage the polynucleotide to be delivered.

ICM A61K009-00 IC

63-5 (Pharmaceuticals) CC

Section cross-reference(s): 15

ITAntigens

RL: PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(bacterial; lipid-protein-sugar particles for delivery of nucleic acids)

ITDrug delivery systems

(inhalants; lipid-protein-sugar particles for delivery of nucleic

ITDrug delivery systems

(injections; lipid-protein-sugar particles for delivery of nucleic acids)

IT Drug delivery systems

Emulsifying agents Gene therapy

Genetic vectors

Hematopoietic precursor cell

Particle size Plasmid vectors Surfactants

```
(lipid-protein-sugar particles for delivery of nucleic acids)
     Albumins, biological studies
     Antibodies and Immunoglobulins
     Carbohydrates, biological studies
     Cardiolipins
     Cardiolipins
     Cerebrosides
       DNA
     Diglycerides
     Enzymes, biological studies
     Fatty acids, biological studies
     Glycosaminoglycans, biological studies
     Lecithins
     Lipids, biological studies
     Lysophosphatidylcholines
     Phosphatidic acids
     Phosphatidylcholines, biological studies
     Phosphatidylethanolamines, biological studies
     Phosphatidylinositols
     Phosphatidylserines
     Phospholipids, biological studies
     Polymers, biological studies
       Polynucleotides
     Polyoxyalkylenes, biological studies
     Proteins
       RNA
     Sialic acids
     Sphingomyelins
     RL: PEP (Physical, engineering or chemical process); PYP (Physical
     process); THU (Therapeutic use); BIOL (Biological study); PROC (Process);
     USES (Uses)
        (lipid-protein-sugar particles for delivery of nucleic acids)
TT
    Drug delivery systems
        (microparticles; lipid-protein-sugar particles for delivery of nucleic
        acids)
     57-10-3, Palmitic acid, biological studies
TT
                                                57-48-7, Fructose, biological
     studies 57-88-5, Cholesterol, biological studies 57-88-5D,
     Cholesterol, esters
                         58-86-6, Xylose, biological studies
                                                                 63 - 42 - 3,
                                 69-79-4, Maltose 110-15-6D, Succinic acid,
     Lactose 69-65-8, Mannitol
     glycerides 110-27-0, Isopropyl myristate 112-80-1, Oleic acid,
    biological studies 124-22-1, Dodecylamine 124-30-1, Stearylamine
     143-27-1, Hexadecylamine
                              475-31-0
                                           512-69-6, Raffinose
                                                                 629-70-9,
                       1190-63-2, Hexadecyl stearate 2462-63-7, Dope 2644-64-6,
     Palmityl Acetate
                                                        2197-63-9,
     Dicetylphosphate
                                      4537-77-3, Dipalmitoylphosphatidylglycero
    Dipalmitoylphosphatidylcholine
         9000-11-7, Carboxymethyl cellulose
                                              9001-37-0, Glucose oxidase
                 9004-34-6, Cellulose, biological studies
     9002-92-0
                                                           9004-53-9, Dextrin
     9004-54-0, Dextran, biological studies
                                             9004-61-9, Hyaluronic acid
     9004-67-5, Methyl cellulose 9005-25-8, Starch, biological studies
     9007-28-7, Chondroitin sulfate 9012-76-4, Chitosan
                                                            24730-31-2,
                25301-02-4, Tyloxapol
     Surfactin
                                         25322-68-3, Polyethylene glycol
     26266-58-0, Span 85
                         51260-59-4, Hexadecanol
                                                     58561-47-0
     68737-67-7, Dioleoylphosphatidylcholine 104162-48-3,
    RL: PEP (Physical, engineering or chemical process); PYP (Physical
    process); THU (Therapeutic use); BIOL (Biological study); PROC (Process);
    USES (Uses)
        (lipid-protein-sugar particles for delivery of nucleic acids)
```

2644-64-6, Dipalmitoylphosphatidylcholine 68737-67-7,

IT

Dioleoylphosphatidylcholine 104162-48-3, Dotma

RL: PEP (Physical, engineering or chemical process); PYP (Physical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(lipid-protein-sugar particles for delivery of nucleic acids)

RN 2644-64-6 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 68737-67-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (18Z)-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N

O
O
O
O
(CH $_2$ ) 7

Z
(CH $_2$ ) 7
O
O
(CH $_2$ ) 7
Z
(CH $_2$ ) 7

PAGE 1-B

\_\_\_Me

RN 104162-48-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_8$  O  $(CH_2)_8$  Z  $(CH_2)_7$  Me  $(CH_2)_8$  N  $(CH_2)_7$  Me

C1 :

L52 ANSWER 19 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2002:275821 HCAPLUS

DOCUMENT NUMBER:

136:308524

TITLE:

Non-pathogenic bacterium-derived Kyberdrug as auto-

vaccines with immune-regulating effects

INVENTOR(S):

Paradies, H. Henrich; Rusch, Volker; Zimmermann, Kurt

PATENT ASSIGNEE(S):

Germany

SOURCE:

PCT Int. Appl., 149 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

]	PAT	TENT NO. 			KI	ND	DATE		APPLICATION NO.						DATE				
Ţ	 WO				A2 20020411				WO 2001-IB2284 20011005										
1	WO	2002	0284	24	Α	A3		1107											
7	OW	2002	0284	24	C	2	2003	0912											
		W:	AE,	AG,	AL,	AM,	AT,	AU,	AZ,	BA,	BB,	BG,	BR,	BY	BZ,	CA,	CH,	CN,	
			co,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	ES,	FI	GB,	GD,	GE,	GH,	
						-	-	-		-			,		KZ,			•	
			•	•	•	•	•	•		•	•	•	•		NO,	•	•	•	
						•		•	-	-				-	TT,			-	
							•	•	•			•			TJ,		,	,	
		RW:	•					•							AT,		CH,	CY.	
												•	,		PT,			•	
				,	,	•	•	•		•		•			SN,		•	,	
;	ΙΙΔ	2002		•	•	•	•	•			•	•	•		•				
									AU 2002-23970 20011005 US 2001-971557 20011005										
										EP 2001-986266 20011005									
-															NL,		MC.	PT.	
						,		RO,			•		,	,	,	,	,	,	
	ΤP	2004											3224	8	2001	1005			
PRIOR																			
										US 2					2001				
										WO 2				_	2001				
AB '	The	nre	sent.	inv	enti	on i	s di	recte									rmac	eutica	

AB The present invention is directed to a "Kyberdrug" and to a pharmaceutical composition containing an effective amount of the Kyberdrug and a pharmaceutical

carrier therefor, and its medicinal use as an immune modulating drug exhibiting auto-vaccine-like activities. The kyberdrugs are free of enterotoxin, endotoxin, and verotoxin and are purified from non-pathogenic bacterium such as enterobacteriaceae, especially Escherichia coli, found in mammals including humans with acute or chronic infections

of bacterial or viral origin. These kyberdrugs are useful for treating bacterial or viral infections in humans.

IC ICM A61K039-02

CC 15-2 (Immunochemistry)

Section cross-reference(s): 9, 10, 63

kyberdrug nonpathogenic bacterium enterobacteriaceae mammal human; vaccine kyberdrug enterobacteriaceae bacterial viral infection

Vaccines IT

> (auto-; non-pathogenic bacterium or Enterobacteriaceae-derived kyberdrugs as autovaccines with immune-regulating effects)

56-87-1, L-Lysine, biological 50-99-7, Glucose, biological studies TТ studies 59-23-4, Galactose, biological studies 546-46-3, Zinc citrate 1961-72-4, 3-Hydroxytetradecanoic acid 3329-30-4, N-Methylglucosamine 4468-02-4, Zinc gluconate 7439-95-4D, Magnesium, salts 7440-66-6D, 7440-70-2D, Calcium, salts 7646-85-7, Zinc chloride, Zinc, salts biological studies 14000-31-8, Pyrophosphate 16283-36-6, Zinc salicylate 25104-18-1, Poly-L-lysine 38000-06-5, Poly-L-lysine 51822-75-4 68737-67-7, Dioleoylphosphatidylcholine

149265-27-0 408512-46-9 144189-73-1

RL: BSU (Biological study, unclassified); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(non-pathogenic bacterium or Enterobacteriaceae-derived kyberdrugs as autovaccines with immune-regulating effects)

68737-67-7, Dioleoylphosphatidylcholine 144189-73-1 IT

RL: BSU (Biological study, unclassified); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(non-pathogenic bacterium or Enterobacteriaceae-derived kyberdrugs as autovaccines with immune-regulating effects)

RN 68737-67-7 HCAPLUS

3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-CN 10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (18Z)-(CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me 
$$_{3}^{+N}$$
  $_{-0}^{p}$   $_{0}^{-0}$   $_$ 

PAGE 1-B

\_\_ Me

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N O (CH $_2$ ) 7 Z (CH $_2$ ) 7 O (CH $_2$ ) 7 Z (CH $_2$ ) 7

PAGE 1-B

\_\_\_Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

 $Me^{-0-SO_3-}$ 

L52 ANSWER 20 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2002:51238 HCAPLUS

DOCUMENT NUMBER:

136:123632

TITLE:

Drug delivery formulations and targeting comprising

cationic liposomes

INVENTOR(S):

Campbell, Robert B.; Brown, Edward B.; Fukumura, Dai;

Jain, Rakesh K.

PATENT ASSIGNEE(S):

The General Hospital Corporation, USA

SOURCE:

PCT Int. Appl., 15 pp. CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 1

#### PATENT INFORMATION:

```
APPLICATION NO. DATE
     PATENT NO.
                    KIND DATE
     WO 2002003959 A1 20020117 WO 2001-US21183 20010705
         W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
         W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BI, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM

RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF, BI, CE, CG, CI, CM, GA, GN, GW, MI, MR, NE, SN, TD, TG
              BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
     US 2002090392 A1 20020711
                                              US 2001-898107 20010703
                         B2 20040120
     US 6680068
PRIORITY APPLN. INFO.:
                                             US 2000-216173P P 20000706
     The invention is based on the discovery that angiogenic vessels have
     heterogeneous surface charge and that cationic liposomes actually target
     human tumor blood vessels only in irregularly shaped patches. The
     invention thus features methods for delivering therapeutic compds. to
     angiogenic vascular endothelial surfaces using a mixture, or "cocktail", of
     pos. charged and neutral liposomes. The new methods can be used to target
     multiple regions on the same tumor vessel and/or clusters of vessels
     within the same tumor. Liposomes with different chemical and/or phys.
     properties (e.g., charge, stability, solubility, diameter) can be delivered
     simultaneously, and can target tumor vessels and other angiogenic vessels
     with greater efficiency compared to cationic liposomes alone. Liposomes
     comprising dioleoylphosphatidylcholine:cholesterol:dioleyltrimethylammoniu
     m propane:polyethylene glycol (35:10:50:5) were prepared The liposomes were
     passed through 100 nm filter membrane and cationic and neutral liposomes
     were combined in a 50:50 ratio to yield the desired charge ratio. When
     liposomes were injected to tumor-bearing mice. Tumors preferentially took
     up cationic liposomes.
IC
     ICM A61K009-127
CC
     63-6 (Pharmaceuticals)
IT
     Drug delivery systems
         (injections, i.v.; drug delivery formulations and targeting comprising
         cationic liposomes)
IT
     Drug delivery systems
         (liposomes; drug delivery formulations and targeting comprising
         cationic liposomes)
IT
     Drug delivery systems
         (nasal; drug delivery formulations and targeting comprising cationic
         liposomes)
     50-18-0, Cyclophosphamide 50-44-2, Mercaptopurine
                                                                   50-76-0,
IT
     Dactinomycin 51-21-8, Fluorouracil 52-24-4, Thiotepa
     53-19-0, Mitotane 55-86-7, Mechlorethamine hydrochloride 55-98-1,
     Busulfan 57-22-7, Vincristine 57-88-5, Cholesterol, biological studies
     59-05-2, Methotrexate 127-07-1, Hydroxyurea 147-94-4, Cytarabine
     148-82-3, Melphalan 154-42-7, Thioquanine 154-93-8, Carmustine
     302-79-4, Tretinoin 305-03-3, Chlorambucil 320-67-2, Azacitidine
     671-16-9, Procarbazine 865-21-4, Vinblastine 1404-00-8, Mitomycin
     2644-64-6, Dipalmitoylphosphatidylcholine 3700-67-2,
     Dimethyldioctadecylammonium bromide 3778-73-2, Ifosfamide
     4235-95-4 4291-63-8, Cladribine 4342-03-4, Dacarbazine
     4539-70-2, Distearoylphosphatidylcholine 7212-69-3 9015-68-3,
     Asparaginase 11056-06-7, Bleomycin 13010-47-4, Lomustine 15663-27-1,
```

Cisplatin 18378-89-7, Plicamycin 18656-38-7,

Dimyristoylphosphatidylcholine 18883-66-4, Streptozocin 20830-81-3, Daunorubicin 21679-14-1, Fludarabine 25322-68-3, Polyethyleneglycol 33069-62-4, Paclitaxel 33419-42-0, Etoposide 41575-94-4, Carboplatin 51264-14-3, Amsacrine 58957-92-9, Idarubicin 65271-80-9, Mitoxantrone 68737-67-7, Dioleoylphosphatidylcholine 71486-22-1, Vinorelbine 113669-21-9 114977-28-5, Docetaxel 123948-87-8, Topotecan 127512-29-2 132172-61-3 153312-64-2 168479-03-6
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (drug delivery formulations and targeting comprising cationic liposomes)

2,4(1H,3H)-Pyrimidinedione, 5-fluoro- (9CI) (CA INDEX NAME)

CN

RN 154-42-7 HCAPLUS CN 6H-Purine-6-thione, 2-amino-1,7-dihydro- (9CI) (CA INDEX NAME)

RN 2644-64-6 HCAPLUS
CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A Me 
$$_3$$
+N  $_{-0}$  O  $_{0}$  O  $_{0}$  (CH $_2$ ) 7  $_{2}$  (CH $_2$ ) 7  $_{2}$  (CH $_2$ ) 7  $_{2}$  (CH $_2$ ) 7

PAGE 1-B

\_\_\_ Me

RN 4539-70-2 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxooctadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 18656-38-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatricosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxotetradecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 68737-67-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (18Z)-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-B

\_\_\_Me

RN 113669-21-9 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]- (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N O (CH $_2$ ) 7 Z (CH $_2$ ) 7 O (CH $_2$ ) 7 Z (CH $_2$ ) 7

PAGE 1-B

\_\_\_Me

RN 132172-61-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

• c1-

PAGE 1-B

\_\_\_ Me

CN

RN 153312-64-2 HCAPLUS

1-Propanaminium, N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis(tetradecyloxy)-, bromide (9CI) (CA INDEX NAME)

• Br-

RN 168479-03-6 HCAPLUS

CN 1-Propanaminium, N-[2-[[2,5-bis[(3-aminopropyl)amino]-1-oxopentyl]amino]ethyl]-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, salt with trifluoroacetic acid (1:1) (9CI) (CA INDEX NAME)

CM 1

CRN 168479-02-5 CMF C54 H107 N6 O5

Double bond geometry as shown.

PAGE 1-A

$$(CH_2)_3$$
 $(CH_2)_3$ 
 $(CH_2)_3$ 

PAGE 1-B

$$\overline{Z}$$
 (CH<sub>2</sub>) $\overline{\gamma}$  Me

CM2

CRN 14477-72-6 CMF C2 F3 O2

F-C-CO2-F

REFERENCE COUNT:

2 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 21 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER: 2001:833064 HCAPLUS

DOCUMENT NUMBER:

135:352781

TITLE:

Compositions and methods for protecting cells during

cancer chemotherapy and radiotherapy

INVENTOR(S):

Fahl, William E.; Raghavachari, Nalimi; Zhu, Ming;

Kink, John

PATENT ASSIGNEE(S):

Wisconsin Alumni Research Foundation, USA

SOURCE:

PCT Int. Appl., 75 pp. CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

F	PATENT NO.				KIND DATE				A.	PPLI	CATI	o.	DATE					
- V	WO 2001085142				A1 20011115			WO 2001-US14464						20010504				
	W:	ΑE,	AG,	AL,	AM,	ΑT,	AU,	ΑZ,	BA,	BB,	BG,	BR,	BY,	ΒZ,	CA,	CH,	CN,	
		CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EE,	ES,	FΙ,	GB,	GD,	GE,	GH,	GM,	HR,	
		HU,	ID,	IL,	IN,	IS,	JP,	KE,	KG,	KΡ,	KR,	ΚZ,	LC,	LK,	LR,	LS,	LT,	
		LU,	LV,	MA,	MD,	MG,	MK,	MN,	MW,	MX,	MZ,	NO,	NZ,	PL,	PT,	RO,	RU,	
		SD,	SE,	SG,	SI,	SK,	SL,	ТJ,	TM,	TR,	TT,	TZ,	UA,	UG,	US,	UZ,	VN,	
						AZ,												
	RW:	GH,	GM,	KE,	LS,	MW,	MZ,	SD,	SL,	SZ,	TZ,	UG,	ZW,	AT,	BE,	CH,	CY,	
		DE,	DK,	ES,	FI,	FR,	GB,	GR,	ΙE,	IT,	LU,	MC,	NL,	PT,	SE,	TR,	BF,	
		ВJ,	CF,	CG,	CI,	CM,	GΑ,	GN,	GW,	ΜL,	MR,	ΝE,	SN,	TD,	TG			
EP 1280556				A1 20030205					EP 2001-933017 20010504									
	R:	ΑT,	ΒE,	CH,	DE,	DΚ,	ES,	FR,	GB,	GR,	ΙT,	LI,	LU,	NL,	SE,	MC,	PT,	
		IE,	SI,	LT,	LV,	FI,	RO,	MK,	CY,	AL,	TR							
JP 2004515453 T2 20040527							JP 2001-581796 20010504											
PRIORITY APPLN. INFO.:					1	US 2000-565714 A 20000505												
								1	WO 2	001-	US14	464	W	2001	0504			
	_					-												

Compns., pharmaceutical prepns. and methods are disclosed for protecting AB non-neoplastic cells from damage caused by cancer chemotherapeutic agents or radiation therapy, during the course of cancer therapy or bone marrow transplant. These are based on the use of chemoprotective inducing agents that induce or increase production of cellular detoxification enzymes in target cell populations. The compns. and methods are useful to reduce or prevent hair loss, gastrointestinal distress and lesions of the skin and

oral mucosa that commonly occur in patients undergoing cancer therapy. Also disclosed is a novel assay system for identifying new chemoprotective inducing agents.

IC ICM A61K009-27

ICS A61K047-00; A61L015-16; A61K009-66; A61K009-20

CC 1-6 (Pharmacology)

Section cross-reference(s): 8, 63

IT Alopecia

Antitumor agents

Cytoprotective agents

### Drug delivery systems

Radioprotectants

Radiotherapy

(compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

IT Drug delivery systems

(emulsions; compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

IT Drug delivery systems

(injections; compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

IT Drug delivery systems

(liposomes; compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

IT Drug delivery systems

(microparticles; compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

IT Drug delivery systems

(nasal; compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

IT Drug delivery systems

(oral; compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

IT DNA

RL: MOA (Modifier or additive use); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(plasmid, in liposomal formulations; compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

IT Drug delivery systems

(rectal; compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

IT Drug delivery systems

(suspensions; compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

IT Drug delivery systems

(topical; compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

IT Drug delivery systems

(Biological study); USES (Uses)

(vaginal; compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

TT 57-55-6, Propylene glycol, biological studies 57-88-5, Cholesterol, biological studies 59-02-9, α-Tocopherol 64-17-5, Ethanol, biological studies 108-05-4, Vinyl acetate, biological studies 816-94-4, DSPC 2462-63-7, DOPE 4235-95-4 9003-39-8, PVP 9005-00-9, Polyoxyethylene stearyl ether 25322-68-3 27638-00-2, Glyceryl dilaurate 108032-13-9 127640-49-7 144189-73-1, DOTAP 294868-36-3 RL: MOA (Modifier or additive use); THU (Therapeutic use); BIOL

(in liposomal formulations; compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

IT 816-94-4, DSPC 4235-95-4 144189-73-1, DOTAP

RL: MOA (Modifier or additive use); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(in liposomal formulations; compns. and methods for protecting cells during cancer chemotherapy and radiotherapy)

RN 816-94-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxooctadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-B

\_\_\_ Me

RN 144189-73-1 HCAPLUS

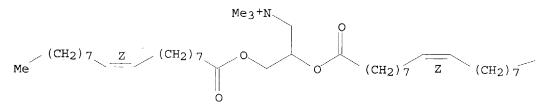
CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A



PAGE 1-B

\_\_\_Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-- 0-- SO3-

REFERENCE COUNT:

5 THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 22 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2001:798087 HCAPLUS

DOCUMENT NUMBER:

135:348867

TITLE:

Methods of enhancing SPLP-mediated transfection using

endosomal membrane destabilizers

INVENTOR(S):

Lam, Angela M. I.; Palmer, Lorne R.; Cullis, Pieter R.

University of British Columbia, Can.

PATENT ASSIGNEE(S): SOURCE:

PCT Int. Appl., 110 pp. CODEN: PIXXD2

DOCUMENT TYPE:

ODEN. FIA

LANGUAGE:

Patent English

FAMILY ACC. NUM. COUNT:

AMILI ACC. NOM. COUNT: .

PATENT INFORMATION:

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KIND DATE
                                         APPLICATION NO. DATE
    PATENT NO.
     _____
                           _____
                                          _____
                                         WO 2001-CA555
    WO 2001080900
                                                          20010420
                     A2
                           20011101
                     A3 20030424
    WO 2001080900
        W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
            CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM,
            HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS,
            LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO,
            RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ,
            VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
        RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY,
            DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF,
            BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
                                         WO 2000-CA451
                    A2 20001026
                                                          20000420
    WO 2000062813
    WO 2000062813
                      Α3
                           20010809
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            CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU,
            ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU,
            LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE,
            SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA,
            ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
        RW: GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE,
            DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF,
            CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
                     A2 20031029 EP 2001-927519
    EP 1355670
                                                          20010420
           AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
                      T2 20040318
                                          JP 2001-577996
    JP 2004508012
                                                          20010420
PRIORITY APPLN. INFO.:
                                       US 2000-553639 A 20000420
                                       WO 2000-CA451
                                                       W 20000420
                                       US 2000-227949P P 20000825
                                       US 1999-130151P P 19990420
                                                       W 20010420
                                       WO 2001-CA555
OTHER SOURCE(S):
                        MARPAT 135:348867
    The present invention provides novel and surprisingly effective methods
    for delivering nucleic acids to cells. These methods are based upon the
    discovery that the presence of endosomal membrane destabilizers (e.g.,
    calcium) leads to a dramatic increase in the transfection efficiency of
    plasmids formulated as SPLP, or "stabilized plasmid-lipid particles.".
    ICM A61K047-48
IC
    63-5 (Pharmaceuticals)
CC
    Section cross-reference(s): 3
IT
    Chelation
      Drug delivery systems
    Endocytosis
    Genetic vectors
    Membrane, biological
    Molecular weight distribution
    Plasmid vectors
      Plasmids
    Transformation, genetic
        (enhancing SPLP-mediated transfection using endosomal membrane
       destabilizers)
IT
    Nucleic acids
    RL: BPR (Biological process); BSU (Biological study, unclassified); PEP
     (Physical, engineering or chemical process); THU (Therapeutic use); BIOL
     (Biological study); PROC (Process); USES (Uses)
```

(enhancing SPLP-mediated transfection using endosomal membrane destabilizers)

## IT Antisense oligonucleotides

Phosphatidylcholines, biological studies

Polyesters, biological studies

#### Ribozymes

RL: PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses) (enhancing SPLP-mediated transfection using endosomal membrane destabilizers)

#### IT Drug delivery systems

(liposomes; enhancing SPLP-mediated transfection using endosomal membrane destabilizers)

TT 7212-69-3, Dodac 25322-68-3D, Polyethylene glycol, conjugates 26009-03-0D, Polyglycolic acid, conjugates 26023-30-3D, Poly[oxy(1-methyl-2-oxo-1,2-ethanediyl)], conjugates 26100-51-6D, Polylactic acid, conjugates 26124-68-5D, Polyglycolic acid, conjugates 26662-91-9, Popc 34346-01-5D, Glycolic acid-lactic acid copolymer, conjugates 104162-48-3, Dotma 127512-29-2 144189-73-1, Dotap

RL: PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses) (enhancing SPLP-mediated transfection using endosomal membrane destabilizers)

IT 26662-91-9, Popc 104162-48-3, Dotma 144189-73-1

RL: PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses) (enhancing SPLP-mediated transfection using endosomal membrane destabilizers)

RN 26662-91-9 HCAPLUS

CN 3,5,8-Trioxa-4-phosphahexacos-17-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-9oxo-7-[[(1-oxohexadecyl)oxy]methyl]-, inner salt, 4-oxide, (17Z)- (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_{14}$$
 O O O  $(CH_2)_{7}$  Z  $(CH_2)_{7}$  Me  $(CH_2)_{7}$   $($ 

RN 104162-48-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_8$  O  $(CH_2)_8$  Z  $(CH_2)_7$  Me  $(CH_2)_8$  N  $(CH_2)_7$  Me

● C1 -

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

PAGE 1-B

\_\_\_Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me --- 0-- SO3 -

L52 ANSWER 23 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2001:781076 HCAPLUS

DOCUMENT NUMBER:

135:340281

TITLE:

Gene inactivation by targeted DNA methylation using a

m5C methylated oligonucleotide containing an

imprinting element and a guiding element

PATENT ASSIGNEE(S):

Genmethrax, Inc., USA; Board of Trustees of the Leland

Stanford Junior University

SOURCE:

PCT Int. Appl., 44 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND D	DATE	APPLICATION NO	. DATE
WO 2001079441	A2 2	20011025	WO 2001-US1053	1 20010330
WO 2001079441	A3 2	20020228		
WO 2001079441	C2 2	20021227		
W: AE, AG,	AL, AM,	AT, AU, A	AZ, BA, BB, BG, BR,	BY, BZ, CA, CH, CN,
CR, CU,	CZ, DE,	DK, DM, I	DZ, EE, ES, FI, GB,	GD, GE, GH, GM, HR,
HU, ID,	IL, IN,	IS, JP, J	KE, KG, KP, KR, KZ,	LC, LK, LR, LS, LT,
LU, LV,	MA, MD,	MG, MK, N	MN, MW, MX, MZ, NO,	NZ, PL, PT, RO, RU,
SD, SE,	SG, SI,	SK, SL,	IJ, TM, TR, TT, TZ,	UA, UG, US, UZ, VN,
YU, ZA,	ZW			
AU 2001051208	A5 2	20011030	AU 2001-51208	20010330
PRIORITY APPLN. INFO	.:		US 2000-196749P	P 20000412
			US 2000-214148P	P 20000626
			WO 2001-US10531	W 20010330

AB The invention provides methods and compns. related to polynucleotides that induce methylation at a target nucleotide sequence within a cell. The m5C methylated polynucleotides (GIT) include an oligonucleotide imprinting element (IE) that has a first strand and a second strand complementary to the first strand. The first strand can include at least one m5CG sequence which is paired with an unmethylated CG sequence on the second strand. Alternatively, the first strand can include at least one m5CN1G sequence paired with an unmethylated CN2G sequence on said second strand, wherein N1 is any nucleotide, and N2 is a nucleotide that pairs with N1. The m5C methylated polynucleotides also include a single-stranded oligonucleotide guiding element (GE) that is complementary to a target nucleotide sequence. The guiding element includes at least one m5CG sequence m5CG or at least one 5CN3G sequence, wherein N3 is any nucleotide. The imprinting element and guiding element are operably linked such that the polynucleotide is capable of inducing methylation at the target nucleotide sequence. The oligonucleotide HepKex which targets the most proximal promoter of IGf2 can reach the nuclei of tested cell line and inhibit expression of IGf2 in animal and normal and cancer cell lines. The invention showed that oligonucleotide HepKex has anti-tumor activity in nude mice. The invention demonstrated that the GE fragment of a GIT significantly enhances the inhibition efficiency of the GIT. ICM C12N IC

3-4 (Biochemical Genetics) CC

#### ITDrug delivery systems

(liposomes, m5C methylated oligonucleotide encapsulated in; gene inactivation by targeted DNA methylation using a m5C methylated oligonucleotide containing an imprinting element and a guiding element)

#### ITDNA

RL: BPR (Biological process); BSU (Biological study, unclassified); BIOL (Biological study); PROC (Process)

(methylation; gene inactivation by targeted DNA methylation using a m5C methylated oligonucleotide containing an imprinting element and a guiding element)

IT 2462-63-7, DOPE 4235-95-4, DOPC 104162-48-3, DOTMA
 RL: BUU (Biological use, unclassified); BIOL (Biological study); USES
 (Uses)

(liposome encapsulating m5C methylated oligonucleotide made of; gene inactivation by targeted DNA methylation using a m5C methylated oligonucleotide containing an imprinting element and a guiding element)

IT 4235-95-4, DOPC 104162-48-3, DOTMA
 RL: BUU (Biological use, unclassified); BIOL (Biological study); USES
 (Uses)

(liposome encapsulating m5C methylated oligonucleotide made of; gene inactivation by targeted DNA methylation using a m5C methylated

oligonucleotide containing an imprinting element and a guiding element)
4235-95-4 HCAPLUS

RN 4235-95-4 HCAPLUS
CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N

O

O

O

(CH2) 7

Z

(CH2) 7

O

(CH2) 7

PAGE 1-B

\_\_ Me

RN 104162-48-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_8$  O  $(CH_2)_8$  Z  $(CH_2)_7$  Me  $(CH_2)_8$  Ne  $(CH_2)_7$  Me

L52 ANSWER 24 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER: 2001:661307 HCAPLUS

DOCUMENT NUMBER: 135:231754

TITLE: Preparation of nanocapsules with polyelectrolyte

envelope and liposome core

INVENTOR(S): Panzner, Steffen; Endert, Gerold; Essler, Frank;

Behrens, Anja; Lutz, Silke; Panzner, Cornelia

PATENT ASSIGNEE(S): Novosom G.m.b.H., Germany

SOURCE: PCT Int. Appl., 65 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent LANGUAGE: German

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

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PATENT NO.
                                      KIND
                                                DATE
                                                                           APPLICATION NO. DATE
                                                                  WO 2001-EP2397 20010302
         _____
                                      ----
                                                _____
                                    A1 20010907
        WO 2001064330
               W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM

RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
                                                                 DE 2000-10010264 20000302
        DE 10010264
                                       A1
                                                20010913
        EP 1289642
                                                20030312
                                       Α1
                                                                         EP 2001-923626 20010302
                      AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
                       IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
        JP 2003525258
                                   T2
                                                20030826
                                                                           JP 2001-563221
                                                                                                        20010302
        US 2003157181
                                       A1
                                                 20030821
                                                                           US 2002-220590
                                                                                                        20020903
PRIORITY APPLN. INFO.:
                                                                     DE 2000-10010264 A 20000302
                                                                     WO 2001-EP2397 W 20010302
```

The invention concerns the nanoencapsulation of liposomes with layers of AB oppositely charged polyelectrolytes in order to increase the stability of liposomes. Microcapsules with a diameter of 20 nm to 40  $\mu m$  are prepared The liposome template particles are provided in an aqueous medium, elec. recharged with a polyelectrolyte, recharged again with a second polyelectrolyte that is complementary to the first polyelectrolyte without intermediate separating or washing steps, and continuing, if required, this process with alternately charged polyelectrolytes. Crosslinking of the obtained structure using e.g. glutaraldehyde can be added. The method is used for the preparation of microcrystals, drug delivery systems, herbicides, pesticides and pigments. Thus 20 mol% DPPC and 80 mol% DPPG were dissolved in isopropanol; the solvent was evaporated in vacuum. The lipid was rehydrated in buffer to result a 25 mM suspension, this was followed by freezing, thawing and filtration through a 200 nm polycarbonate membrane. The obtained liposomes were diluted with buffer to 0.2 mM; 1 mg/L and 5 mg/L solns. of albumin and heparin were prepared The polymer solns. were added to the liposomes one at a time; the procedure was repeated three times, thus resulting six layers. The product was treated with glutaraldehyde, dialyzed and concentrated The nanoparticles were stable in 150 mM sodium chloride; injected into Wistar rats; the rats survived at least for 24 h.

IC ICM B01J013-02

ICS B01J013-22

CC 63-8 (Pharmaceuticals)

#### IT Drug delivery systems

(liposomes; preparation of nanocapsules with polyelectrolyte envelope and liposome core)

# IT Drug delivery systems

(microcapsules; preparation of nanocapsules with polyelectrolyte envelope and liposome core)

## IT Drug delivery systems

(nanocapsules; preparation of nanocapsules with polyelectrolyte envelope and liposome core)

# IT Drug delivery systems

(nanoparticles; preparation of nanocapsules with polyelectrolyte envelope and liposome core)

IT Agglutinins and Lectins

Amines, biological studies

Antibodies

Avidins

Carboxylic acids, biological studies

Ceramides

Collagens, biological studies

Enzymes, biological studies

Fibrinogens

Fibronectins

Hemoglobins

Myoglobins

## Nucleic acids

Phosphatidylcholines, biological studies

Phosphatidylethanolamines, biological studies

Phosphatidylglycerols

Phosphatidylinositols

Proteins, general, biological studies

Sphingolipids

Vitronectin

RL: BUU (Biological use, unclassified); PEP (Physical, engineering or chemical process); BIOL (Biological study); PROC (Process); USES (Uses) (preparation of nanocapsules with polyelectrolyte envelope and liposome core)

TT 57-09-0, Cetyltrimethyl ammonium bromide 59-02-9, α-Tocopherol
1256-86-6, Cholesterolsulfate 1510-21-0, Cholesterol hemisuccinate
2462-63-7 2644-64-6 4537-77-3 9000-01-5, Gum Arabic
9000-07-1, Carrageenan 9000-36-6, Karaya gum 9000-69-5, Pectin
9001-62-1, Lipase 9001-92-7, Protease 9002-98-6 9003-01-4,
Polyacrylic acid 9003-05-8, Polyacrylamide 9003-39-8,
Polyvinylpyrrolidone 9004-54-0, Dextran, biological studies 9004-61-9,
Hyaluronic acid 9005-25-8, Starch, biological studies 9005-32-7,
Alginic acid 9012-36-6, Agarose 9012-37-7, Acylase 9012-76-4,
Chitosan 9013-19-8, Isomerase 9013-20-1, Streptavidin 9013-79-0,

Esterase 9013-93-8, Phospholipase 9013-95-0, Levan 9027-41-2, Hydrolase 9031-66-7, Aminotransferase 9031-96-3, Peptidase 9047-56-7, Mutase 9055-04-3, Lyase 9055-15-6, Oxidoreductase 11028-71-0, Concanavalin A 11138-66-2, Xanthan gum 22413-78-1, Inuline 25104-18-1, Poly-L-lysine 25249-06-3, Polygalacturonic acid 27072-45-3, FITC 29894-36-8, Polymannuronic acid 30551-89-4, Polyallylamine 50851-57-5, Polystyrene sulfonic acid 104162-48-3, N-[1-[2,3 Dioleyl(oxy)propyl]-N,N,N-trimethylammonium chloride 132172-61-3, N-[1-(2,3 Dioleoyl(oxy)propyl]-N,N,N-trimethylammoniumchloride 137056-72-5

RL: BUU (Biological use, unclassified); PEP (Physical, engineering or chemical process); BIOL (Biological study); PROC (Process); USES (Uses) (preparation of nanocapsules with polyelectrolyte envelope and liposome core)

RN 2644-64-6 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 104162-48-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
  $Z$   $(CH_2)_8$   $O$   $(CH_2)_8$   $Z$   $(CH_2)_7$  Me  $O$ 

● Cl -

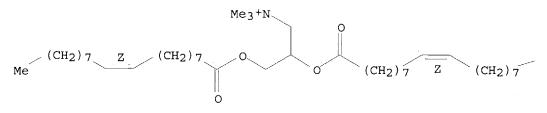
RN 132172-61-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-,

chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A



● Cl -

PAGE 1-B

\_\_\_ Me

REFERENCE COUNT:

1 THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 25 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2001:580638 HCAPLUS

DOCUMENT NUMBER:

136:236753

TITLE:

On the mechanism whereby cationic lipids promote

intracellular delivery of polynucleic acids

AUTHOR(S):

Hafez, I. M.; Maurer, N.; Cullis, P. R.

CORPORATE SOURCE:

Department of Biochemistry and Molecular Biology, Faculty of Medicine, University of British Columbia,

Vancouver, BC, Can.

SOURCE:

Gene Therapy (2001), 8(15), 1188-1196

CODEN: GETHEC; ISSN: 0969-7128

PUBLISHER:

Nature Publishing Group

DOCUMENT TYPE:

Journal

LANGUAGE:

English

The mechanism whereby cationic lipids destabilize cell membranes to facilitate the intracellular delivery of macromols. such as plasmid DNA or antisense oligonucleotides is not well understood. Here, the authors show that cationic lipids can destabilize lipid bilayers by promoting the formation of nonbilayer lipid structures. In particular, the authors show that mixts. of cationic lipids and anionic phospholipids preferentially adopt the inverted hexagonal (HII) phase. Further, the presence of "helper" lipids such as dioleoylphosphatidylethanolamine or cholesterol, lipids that enhance cationic lipid-mediated transfection of cells also facilitate the formation of the HII phase. It is suggested that the ability of cationic lipids to promote nonbilayer structures in combination with anionic phospholipids leads to disruption of the endosomal membrane

following uptake of nucleic acid-cationic lipid complexes into cells, thus facilitating cytoplasmic release of the plasmid or oligonucleotide.

CC 63-5 (Pharmaceuticals)

IT Drug delivery systems

(liposomes; mechanism whereby cationic lipids with anionic phospholipids promote intracellular delivery of polynucleic acids)

IT DNA

RL: BSU (Biological study, unclassified); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(mechanism whereby cationic lipids with anionic phospholipids promote

intracellular delivery of polynucleic acids)

intracellular delivery of polynucleic acids)

IT 107-64-2, Distearyldimethylammonium chloride 4004-05-1, DOPE
4235-95-4, DOPC 7212-69-3, DODAC 40290-42-4, DPPS 61617-08-1
70614-14-1, DOPS 104162-48-3, DOTMA 131692-03-0, OSDAC
137056-72-5, DC-Chol 144189-73-1, DOTAP 185435-28-3, POPG
403479-92-5

RL: BSU (Biological study, unclassified); PRP (Properties); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(mechanism whereby cationic lipids with anionic phospholipids promote intracellular delivery of polynucleic acids)

IT 4235-95-4, DOPC 104162-48-3, DOTMA 144189-73-1, DOTAP

RL: BSU (Biological study, unclassified); PRP (Properties); THU (Therapeutic use); BIOL (Biological study); USES (Uses) (mechanism whereby cationic lipids with anionic phospholipids promote

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N

O
O
O
O
(CH $_2$ ) 7

Z
(CH $_2$ ) 7

O
(CH $_2$ ) 7

Z
(CH $_2$ ) 7

PAGE 1-B

\_\_ Me

RN 104162-48-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

● Cl -

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

Me $_3$ +N O (CH $_2$ ) 7 Z (CH $_2$ ) 7 O (CH $_2$ ) 7 Z (CH $_2$ ) 7

PAGE 1-B

\_\_\_Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

 $Me^{-0-SO_3}$ 

REFERENCE COUNT:

THERE ARE 67 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 26 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

67

ACCESSION NUMBER:

2001:575639 HCAPLUS

DOCUMENT NUMBER:

135:284583

TITLE:

Structures of lipid-DNA complexes: supramolecular

assembly and gene delivery

AUTHOR (S):

Safinya, C. R.

CORPORATE SOURCE:

Materials Department, Physics Department and Biomolecular Science and Engineering Program,

University of California, Santa Barbara, CA, 93106,

- USA

SOURCE:

Current Opinion in Structural Biology (2001), 11(4),

440-448

CODEN: COSBEF; ISSN: 0959-440X

PUBLISHER:
DOCUMENT TYPE:

Elsevier Science Ltd. Journal; General Review

LANGUAGE:

English

A review with refs. Recently, there has been a flurry of exptl. work on understanding the supramol. assemblies that are formed when cationic liposomes (CLs) are mixed with DNA. From a biomedical point of view, CLs (vesicles) are empirically known to be carriers of genes (sections of DNA) in nonviral gene delivery applications. Although viral-based carriers of DNA are presently the most common method of gene delivery, nonviral synthetic methods are rapidly emerging as alternative carriers, because of their ease of production and non-immunogenicity (viral carriers very often evoke an undesirable and potentially lethal immune response). At the moment, cationic-lipid-based carriers have emerged as the most popular nonviral method to deliver genes in therapeutic applications, for example, CL carriers are used extensively in clin. trials worldwide. However, because the mechanism of transfection (the transfer of DNA into cells by CL carriers, followed by expression) of CL-DNA complexes remains largely unknown, the measured efficiencies are, at present, very low. The low transfection efficiencies of current nonviral gene delivery methods are the result of poorly understood transfection-related mechanisms at the mol. and self-assembled levels. Recently, work has been carried out on determining the supramol. structures of CL-DNA complexes by the quant.

technique

of synchrotron X-ray diffraction. When DNA is mixed with CLs (composed of mixts. of cationic DOTAP and neutral DOPC lipids), the resulting CL-DNA complex consists of a multilamellar structure (L $\alpha$ C) comprising DNA monolayers sandwiched between lipid bilayers. The existence of a different columnar inverted hexagonal (HIIC) phase in CL-DNA complexes was also demonstrated using synchrotron X-ray diffraction. Ongoing functional studies and optical imaging of cells are expected to clarify the relationship between the supramol. structures of CL-DNA complexes and transfection efficiency.

CC 6-0 (General Biochemistry)

Section cross-reference(s): 3

IT DNA

RL: BUU (Biological use, unclassified); PRP (Properties); BIOL (Biological study); USES (Uses)

(complexes, with cationic liposomes; supramol. assembly and structure of, and gene delivery by, lipid-DNA complexes)

IT Drug delivery systems

(liposomes, cationic, complexes with DNA; supramol. assembly and structure of, and gene delivery by, lipid-DNA complexes)

IT 4235-95-4D, DOPC, liposomes containing, complexes with DNA 144189-73-1D, DOTAP, liposomes containing, complexes with DNA RL: BUU (Biological use, unclassified); PRP (Properties); BIOL (Biological study); USES (Uses)

(supramol. assembly and structure of, and gene delivery by, lipid-DNA complexes)

IT 4235-95-4D, DOPC, liposomes containing, complexes with DNA 144189-73-1D, DOTAP, liposomes containing, complexes with DNA RL: BUU (Biological use, unclassified); PRP (Properties); BIOL (Biological study); USES (Uses)

(supramol. assembly and structure of, and gene delivery by, lipid-DNA complexes)

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A

Me<sub>3</sub>+N

O
O
O
(CH<sub>2</sub>) 7

Z
(CH<sub>2</sub>) 7

O
(CH<sub>2</sub>) 7

Z
(CH<sub>2</sub>) 7

PAGE 1-B

\_\_\_Me

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N O (CH $_2$ ) 7 Z (CH $_2$ ) 7 O (CH $_2$ ) 7 Z (CH $_2$ ) 7

PAGE 1-B

\_\_\_Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

 $Me^{-0-SO_3^{-}}$ 

REFERENCE COUNT:

45 THERE ARE 45 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 27 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2001:448754 HCAPLUS

DOCUMENT NUMBER:

136:145873

TITLE:

Interplay in lipoplexes between type of pDNA promoter

and lipid composition determines transfection

efficiency of human growth hormone in NIH3T3 cells in

culture

AUTHOR (S):

Kerner, M.; Meyuhas, O.; Hirsch-Lerner, D.; Rosen, L.

J.; Min, Z.; Barenholz, Y.

CORPORATE SOURCE:

Department of Biochemistry, Hebrew University-Hadassah

Medical School, Jerusalem, 91120, Israel

SOURCE:

Biochimica et Biophysica Acta (2001), 1532(1-2),

128-136

CODEN: BBACAQ; ISSN: 0006-3002

PUBLISHER:

Elsevier Science B.V.

DOCUMENT TYPE:

Journal

LANGUAGE:

English

AB This study was aimed to investigate if and to what extent there is an interplay between lipoplex physicochem. properties and plasmid promoter type affecting transfection efficiency in vitro. To reduce the number of variables only one cell type (NIH3T3 cells), one gene (human growth hormone), one cationic lipid (DOTAP) in a plasmid >85% in supercoiled form, and the same medium conditions were used. The variables of the

physicochem. properties included presence and type of helper lipid (DOPE, DOPC, or cholesterol, all in 1:1 mol ratio with DOTAP), size and lamellarity of the liposomes used for lipoplex preparation (large unilamellar vesicles, LUV, vs. multilamellar vesicles, MLV), and DNA-/cationic lipid+ charge ratio, all containing the same human growth hormone but differing in their promoter enhancer region. Two of the promoters were of viral origin: (a) SV40 promoter (simian virus early promoter) and (b) CMV promoter (cytomegalovirus early promoter); two were of mammalian cell origin: (c) PABP promoter (human poly(A)-binding protein promoter) and (d) S16 promoter (mouse ribosomal protein (rp) S16 promoter). Transfection studies showed that, irresp. of promoter type, large (≥500 nm) MLV were superior to .apprx.100 nm LUV; the extent of superiority was dependent on liposome lipid composition (larger for 100% DOTAP and DOTAP/DOPE than for DOTAP/DOPC and DOTAP/cholesterol). The optimal DNA-/DOTAP+ charge ratio for all types of lipoplexes used was 0.2 or 0.5 (namely, when the lipoplexes were pos. charged). Scoring the six best lipoplex formulations (out of 128 studied) revealed the following order: pCMV (DOTAP/DOPE) »pSV (DOTAP/DOPE) =pCMV(DOTAP/cholesterol) =pS16 (100% DOTAP) = pS16 DOTAP/DOPE » pCMV (DOTAP/DOPC). The lack of trivial consistency in the transfection efficiency score, the pattern of transfection efficiency, and statistical anal. of the data suggest that there is cross-talk between promoter type and lipoplex lipid composition, which may be related to the way the promoter is associated with the lipids.

CC 3-3 (Biochemical Genetics)

Section cross-reference(s): 1, 14

IT DNA

RL: BSU (Biological study, unclassified); BIOL (Biological study) (complexes, with lipids; interplay in lipoplexes between type of pDNA promoter and lipid composition dets. transfection efficiency of human growth hormone in NIH3T3 cells in culture)

IT Drug delivery systems

(liposomes; interplay in lipoplexes between type of pDNA promoter and lipid composition dets. transfection efficiency of human growth hormone in NIH3T3 cells in culture)

IT 2462-63-7, DOPE **4235-95-4**, DOPC **144189-73-1**, DOTAP
RL: BUU (Biological use, unclassified); BIOL (Biological study); USES (Uses)

(interplay in lipoplexes between type of pDNA promoter and lipid composition dets. transfection efficiency of human growth hormone in NIH3T3 cells in culture)

IT 4235-95-4, DOPC 144189-73-1, DOTAP

RL: BUU (Biological use, unclassified); BIOL (Biological study); USES (Uses)

(interplay in lipoplexes between type of pDNA promoter and lipid composition dets. transfection efficiency of human growth hormone in NIH3T3 cells in culture)

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

Me 
$$_3$$
+N  $_{-0}$   $_{0$ 

PAGE 1-B

\_\_Me

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

PAGE 1-B

\_\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

REFERENCE COUNT:

37 THERE ARE 37 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 28 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2001:382812 HCAPLUS

DOCUMENT NUMBER:

136:68329

TITLE:

Membrane fusion induced by a lipopeptidic epitope from

VP3 capsid protein of hepatitis A virus

AUTHOR(S):

Chavez, Abelardo; Pujol, Montserrat; Alsina, M.

Asuncion; Cajal, Yolanda

CORPORATE SOURCE:

Department of Physical Chemistry, School of Pharmacy,

University of Barcelona, Barcelona, 08028, Spain

Luminescence (2001), 16(2), 135-143

CODEN: LUMIFC; ISSN: 1522-7235 John Wiley & Sons Ltd.

PUBLISHER:

SOURCE:

Journal

DOCUMENT TYPE: LANGUAGE:

English

AB Palmitoyl-VP3(110-121) (PVP3) is a synthetic lipopeptide derivative of a continuous epitope from the VP3 capsid protein of hepatitis A virus, and it is highly immunogenic in vivo. We have investigated the interaction of PVP3 with lipid model membranes of varying surface charge. Binding of PVP3 to anionic vesicles of PC/SM/PE/PS; (PC) 1-palmitoyl-2-

oleoylphosphatidylcholine, (SM) sphingomyelin, (PE) 1,2-dipalmitoylphosphatidylethanolamine and (PS)  $L-\alpha$ -phosphatidyl-L-serine, a

composition that mimics the lipid component of natural membranes, was

determined by

tryptophan fluorescence and quenching expts. In addition, and given the anionic net charge of the lipopeptide, binding to zwitterionic (PC/SM/PE) and cationic PC/SM/PE/DOTAP (DOTAP) 1,2-dioleoyl-3-trimethylammonium-propane mixts. was also determined PVP3 binds to all three types of vesicles, but it adopts different forms depending on the elec. charge of the interface. This conclusion is supported by the insertion of PVP3 into lipid monolayers of the same charges spread at the air-water interface. The bound lipopeptide has membrane-destabilizing effects in all three vesicle compns., as demonstrated by leakage of vesicle contents, whereas lipid mixing only occurs in cationic liposomes. Our results provide useful information for the design of a liposomal system that promotes a direct delivery of the membrane-incorporated immunogen to the immunocompetent cells, potentially increasing the immune response from the host.

CC 15-2 (Immunochemistry)

Section cross-reference(s): 63

IT Drug delivery systems

(liposomes; membrane fusion induced by a lipopeptidic epitope from VP3 capsid protein of hepatitis A virus)

IT Epitopes

Hepatitis A virus

(membrane fusion induced by a lipopeptidic epitope from VP3 capsid protein of hepatitis A virus)

IT Antigens

Phosphatidylserines

Sphingomyelins

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (membrane fusion induced by a lipopeptidic epitope from VP3 capsid protein of hepatitis A virus)

IT 5681-36-7, 1,2-Dipalmitoyl-phosphatidylethanolamine 26662-91-9, 1-Palmitoyl-2-oleoylphosphatidylcholine 144189-73-1, DOTAP

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (membrane fusion induced by a lipopeptidic epitope from VP3 capsid protein of hepatitis A virus)

IT 26662-91-9, 1-Palmitoyl-2-oleoylphosphatidylcholine 144189-73-1, DOTAP

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (membrane fusion induced by a lipopeptidic epitope from VP3 capsid protein of hepatitis A virus)

RN 26662-91-9 HCAPLUS

CN 3,5,8-Trioxa-4-phosphahexacos-17-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-9-oxo-7-[[(1-oxohexadecyl)oxy]methyl]-, inner salt, 4-oxide, (17Z)- (9CI) (CA INDEX NAME)

Double bond geometry as shown.

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

Me 
$$(CH_2)$$
 7  $Z$   $(CH_2)$  7  $Z$   $(CH_2)$  7  $Z$   $(CH_2)$  7  $Z$   $(CH_2)$  7

PAGE 1-B

\_\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me 00-503-

REFERENCE COUNT:

THERE ARE 35 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 29 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER: DOCUMENT NUMBER:

135:170593

2001:330326 HCAPLUS

TITLE:

Efficient gene delivery using anionic liposome-complexed polyplexes (LPDII)

AUTHOR(S):

Guo, Wenjin; Lee, Robert J.

CORPORATE SOURCE:

Division of Pharmaceutics and Pharmaceutical Chemistry, College of Pharmacy, The Ohio State

University, Columbus, OH, 43210, USA Bioscience Reports (2000), 20(5), 419-432

SOURCE:

Bloscience Reports (2000), 20(5), 419-4

CODEN: BRPTDT; ISSN: 0144-8463

PUBLISHER:
DOCUMENT TYPE:

Kluwer Academic/Plenum Publishers Journal

LANGUAGE:

English

Synthetic gene transfer vectors based on polyplexes complexed to anionic liposomes (LPDII vectors) were characterized for their transfection efficiency in cultured mammalian cells. The effects of polycation to DNA ratio, lipid to DNA ratio, choice of polycation and lipid composition were systematically evaluated in human oral carcinoma KB cells, using a luciferase reporter gene. For LPDII formulations containing poly(L-lysine) and dioleoylphosphatidylethanolamine/cholesteryl hemisuccinate (DOPE/CHEMS) anionic liposomes, at a constant lipid to DNA ratio, an increase in the polycation/DNA (N/P) ratio resulted in an increase in transfection activity. Meanwhile, the optimal lipid to DNA ratio for efficient gene delivery was influenced by the N/P ratio used, and was increased at higher N/P ratios. For the DNA condensing agent, poly(L-lysine) could be replaced by polyethylenimine (PEI) as the DNA condensing agent in the formulations. For the lipidic components, CHEMS could be replaced by other anionic lipids including oleic acid, dicetylphosphate and phosphatidylserine, but DOPE, a fusogenic helper lipid, could not be replaced by dioleolyphosphatidylcholine. LPDII formulation showed significantly less cytotoxicity compared to the commonly used cationic liposomes or PEI mediated transfection and several cell lines were transfected with high efficiency. LPDII vectors avoid the use of toxic cationic lipids and may have potential application in gene therapy.

CC 63-5 (Pharmaceuticals)
 Section cross-reference(s): 3

IT DNA

Phosphatidylserines

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (efficient gene delivery using anionic liposome-complexed polyplexes)

IT Drug delivery systems

(liposomes; efficient gene delivery using anionic liposome-complexed polyplexes)

IT 112-80-1, Oleic acid, biological studies 1510-21-0, Cholesteryl

hemisuccinate 2197-63-9, Dicetylphosphate 2462-63-7, Dioleoylphosphatidylethanolamine 3700-67-2, Dimethyldioctadecylammonium bromide 6811-55-8, Dioleoylphosphatidylserine 9002-98-6, Polyethylenimine 25104-18-1, Poly(L-lysine) 38000-06-5, Poly(L-lysine) 68737-67-7, Dioleoylphosphatidylcholine 144189-73-1, DOTAP

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (efficient gene delivery using anionic liposome-complexed polyplexes)

IT 68737-67-7, Dioleoylphosphatidylcholine 144189-73-1,

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (efficient gene delivery using anionic liposome-complexed polyplexes)

RN 68737-67-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (18Z)-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N

O
O
O
O
O
(CH2) 7

Z
(CH2) 7

O
O
O
(CH2) 7

PAGE 1-B

\_\_\_ Me

RN 144189-73-1 HCAPLUS

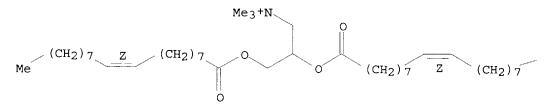
CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A



PAGE 1-B

\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

REFERENCE COUNT:

THERE ARE 14 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 30 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2001:275412 HCAPLUS

DOCUMENT NUMBER:

136:189179

TITLE:

Liposome-mediated DNA vaccination: the

effect of vesicle composition

AUTHOR(S):

Perrie, Y.; Frederik, P. M.; Gregoriadis, G.

CORPORATE SOURCE:

Centre for Drug Delivery Research, School of Pharmacy, 29-39 Brunswick Square, University of London, London,

WC1N 1AX, UK

SOURCE:

Vaccine (2001), 19(23-24), 3301-3310

CODEN: VACCDE; ISSN: 0264-410X

PUBLISHER:

Elsevier Science Ltd.

DOCUMENT TYPE:

Journal

LANGUAGE:

English

AB Liposome-entrapped DNA has been shown to enhance the potency of DNA vaccines, possibly by facilitating uptake of the plasmid by antigen-presenting cells (APC). In this paper, we have investigated the influence of the liposomal composition and surface charge on such potency.

Plasmid DNA pRc/CMV HBS encoding the S (small) region of hepatitis B surface antigen was entrapped within cationic liposomes of various compns. and surface charges with high efficiency (88-97% of the amount used) by the dehydration-rehydration method that generates dehydration-rehydration vesicles (DRV). Cryo-electron microscopy revealed that DNA-containing DRV (DRV(DNA)) were multilamellar. In immunization studies, female Balb/c mice were given two to four i.m. injections of 10 µg naked or liposome-entrapped pRc/CMV HBS and bled at time intervals. Results indicate that the lipid composition of the DRV(DNA) influences the strength of the humoral response (Ig (Ig)G subclasses) with inclusion of dioleoyl phosphatidylethanolamine (DOPE) or phosphatidylethanolamine (PE) in the liposomal structure contributing to greater responses. DRV(DNA) in which the DOPE or PE were omitted or substituted with cholesterol led to significant reduction of humoral responses against the encoded antigen. Replacing phosphatidylcholine (PC) in the DRV(DNA) with the high-melting distearoyl phosphatidylcholine also contributed to lower responses. In other expts., IgG responses were monitored in mice immunized with pRc/CMV HBS entrapped in DRV composed of PC and DOPE as before but incorporating increasing amts. of DOTAP (1-16  $\mu mol$ ). Maximal IgG responses were observed at 10 wk after the first of four injections and suggested a trend of higher responses when 4 or 8 µmol DOTAP was present in the DRV(DNA) formulation. Cell-mediated immunity (measured in terms of endogenous antigen-specific splenic interferon-γ) in mice immunized with pRc/CMV HBS entrapped in liposomes composed of PC, DOPE and DOTAP (16:8:4 molar ratio) was much greater than in animals treated with naked plasmid. These results indicate that liposome-mediated DNA immunization is more effective than the use of naked DNA, and also suggest that the presence of fusogenic phosphatidylethanolamine in DRV in conjunction with a low-melting phosphatidylcholine and an appropriate content of cationic lipid might contribute to more effective liposomal DNA vaccines. The notion that liposomes improve immune responses to the plasmid-encoded vaccine by facilitating the latter's uptake by APC was supported by the observation that in Balb/c mice injected i.m. with liposome-entrapped pCMV. Enhanced green fluorescent protein, expression of the gene in terms of fluorescence intensity in the draining lymph nodes, was much greater than in animals treated with the naked plasmid. 63-5 (Pharmaceuticals)

Section cross-reference(s): 15

DNA vaccine liposome compn surface charge st

ITAntigens

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (hepatitis B surface; vesicle composition effect on liposome-mediated DNA vaccination)

TIVaccines

> (hepatitis B; vesicle composition effect on liposome-mediated DNA vaccination)

ITDrug delivery systems

> (liposomes, multilamellar; vesicle composition effect on liposome-mediated DNA vaccination)

ITZeta potential

> (vesicle composition and surface charge effect on liposome-mediated DNA vaccination)

TΤ Particle size

Plasmid vectors

Vaccines

(vesicle composition effect on liposome-mediated DNA vaccination)

TT

Phosphatidylcholines, biological studies

Phosphatidylethanolamines, biological studies

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(vesicle composition effect on liposome-mediated DNA vaccination)

IT 57-88-5, Cholesterol, biological studies 2462-63-7, Dioleoyl phosphatidylethanolamine **4539-70-2**, Distearoyl

phosphatidylcholine 144189-73-1, DOTAP

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(vesicle composition effect on liposome-mediated DNA vaccination)

IT 4539-70-2, Distearoyl phosphatidylcholine 144189-73-1,

DOTAP

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(vesicle composition effect on liposome-mediated DNA vaccination)

RN 4539-70-2 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxooctadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9

CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)$$
 7  $Z$   $(CH_2)$  7  $O$   $O$   $(CH_2)$  7  $Z$   $(CH_2)$  7

PAGE 1-B

\_\_\_ Me

CM2

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

REFERENCE COUNT:

46 THERE ARE 46 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 31 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2001:265230 HCAPLUS

DOCUMENT NUMBER:

134:285563

TITLE:

Liposome-entrapped DNA oral vaccines Gregoriadis, Gregory; Perrie, Yvonne

PATENT ASSIGNEE(S):

Lipoxen Limited, UK

SOURCE:

PCT Int. Appl., 31 pp.

INVENTOR(S):

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

```
PATENT NO. KIND DATE
                                                                APPLICATION NO. DATE
         WO 2001024773 A1 20010412 WO 2000-GB3773 20001002
               W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM

RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
         EP 1217989
                                                                    EP 2000-964471 20001002
                                       A1 20020703
                R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
                       IE, SI, LT, LV, FI, RO, MK, CY, AL
         JP 2003529550
                                       T2 20031007
                                                                             JP 2001-527772 20001002
PRIORITY APPLN. INFO.:
                                                                         EP 1999-307786 A 19991001
                                                                         WO 2000-GB3773
                                                                                                      W 20001002
                                  MARPAT 134:285563
```

OTHER SOURCE(S):

An oral vaccine comprises liposomes and complexed or, preferably, entrapped DNA operatively encoding an antigen, in which the liposomes are formed from components including cationic compds. and zwitterionic phospholipids. The hydrophobic groups within the liposome forming compds. must include at least one group which is saturated This is believed to raise the transition temperature, rendering the liposomes more stable when delivered orally. The compns. have been found to give detectable increased in IgA levels, secreted Igs of importance in efficacious oral vaccine delivery. Liposomes comprising phosphatidylcholine 32, dioleoyl phosphatidylethanolamine 16, and dioleoyl trimethylammonium propane 8 µmoles were prepared using the dehydration-rehydration method. PRc/CMV HBS plasmid DNA encoding for the

small region of hepatitis B surface antigen was entrapped in the above liposome formulations. Entrapment complexation efficiency was 85-95%. Immunization of mice with the liposomes is described.

IC ICM A61K009-127

ICS A61K048-00; C12N015-88

CC 63-3 (Pharmaceuticals)

ST liposome phospholipid DNA oral vaccine

IT Lipids, biological studies

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(qlycerolipids; liposome-entrapped DNA oral vaccines)

IT Freeze drying

(liposome-entrapped DNA oral vaccines)

IT Antigens

DNA

#### Nucleic acids

RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(liposome-entrapped DNA oral vaccines)

IT Phosphatidylcholines, biological studies

Polynucleotides

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(liposome-entrapped DNA oral vaccines)

IT Drug delivery systems

(liposomes; liposome-entrapped DNA oral vaccines)

IT Vaccines

(oral; liposome-entrapped DNA oral vaccines)

IT Drying

(spray; liposome-entrapped DNA oral vaccines)

IT Phospholipids, biological studies

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(zwitterionic; liposome-entrapped DNA oral vaccines)

IT 57-88-5, Cholesterol, biological studies 2462-63-7, Dioleoyl phosphatidylethanolamine **2644-64-6**,

Dipalmitoylphosphatidylcholine 4537-76-2, Distearoylphosphatidylethanola mine 4539-70-2, Distearoylphosphatidylcholine 5681-36-7,

Dipalmitoylphosphatidylethanolamine 113669-21-9

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(liposome-entrapped DNA oral vaccines)

IT 2644-64-6, Dipalmitoylphosphatidylcholine 4539-70-2,

Distearoylphosphatidylcholine 113669-21-9

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(liposome-entrapped DNA oral vaccines)

RN 2644-64-6 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 4539-70-2 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxooctadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 113669-21-9 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]- (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me 
$$^{(CH_2)}$$
 7  $^{\mathbb{Z}}$   $^{(CH_2)}$  7  $^{\mathbb{Z}}$   $^{(CH_2)}$  7  $^{\mathbb{Z}}$   $^{\mathbb{Z}}$   $^{(CH_2)}$  7

PAGE 1-B

\_\_\_Me

REFERENCE COUNT:

5 THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 32 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2001:241683 HCAPLUS

DOCUMENT NUMBER:

INVENTOR (S):

134:271256

TITLE:

Methods of forming protein-linked lipidic

microparticles, and compositions thereof

Papahadjopoulos, Demetrios; Hong, Keelung; Zheng, Weiwen; Kirpotin, Dmitri B.

PATENT ASSIGNEE(S):

The Regents of the University of California, USA

SOURCE:

U.S., 26 pp., Cont.-in-part of U.S. Ser. No. 967,791.

CODEN: USXXAM

DOCUMENT TYPE: LANGUAGE: Patent English

FAMILY ACC. NUM. COUNT: 3

PATENT INFORMATION:

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PATENT NO. KIND DATE
                                      APPLICATION NO. DATE
    _____
                                       _____
    US 6210707 B1
US 6071533 A
                         20010403
                                      US 1998-76618 19980512
                                     US 1997-967791 19971110
                        20000606
                   AA 19991118 CA 1999-2330741 19990511
A1 19991118 WO 1999-US10375 19990511
    CA 2330741
    WO 9958694
        W: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ,
           DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS,
           JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK,
           MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ,
           TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD,
           RU, TJ, TM
        RW: GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW, AT, BE, CH, CY, DE, DK,
           ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG,
            CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
                         19991129
                                     AU 1999-39834
                                                       19990511
    AU 9939834
                   A1
    AU 770111
                         20040212
                    B2
                         20010228 EP 1999-922950 19990511
                    A1
    EP 1078079
        R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
           IE, FI
                                       JP 2000-548485
                    T2
                         20020521
                                                      19990511
    JP 2002514432
                                       US 1999-420908
                    B1
                         20020625
                                                       19991020
    US 6410049
                                       US 2001-765107
    US 2002001612
                         20020103
                                                       20010116
                    A1
                         20030304
    US 6528087
                    В2
                    A1
                         20021205
                                       US 2002-121962 20020412
    US 2002182249
                    A1
    US 2003003143
                         20030102
                                       US 2002-177939
                                                       20020621
                                     US 1996-30578P P 19961112
PRIORITY APPLN. INFO.:
                                     US 1997-967791 A2 19971110
                                                   A 19980512
                                     US 1998-76618
                                     WO 1999-US10375 W 19990511
                                     US 1999-420908 A1 19991020
                                     US 2001-765107 A1 20010116
```

The present invention provides for lipid/nucleic acid complexes that have increased shelf life and high transfection activity in vivo following i.v. injection, and methods of preparing such complexes. The methods generally involve contacting a nucleic acid with an organic polycation to produce a condensed nucleic acid, and then combining the condensed nucleic acid with a lipid comprising an amphiphilic cationic lipid to produce the lipid/nucleic acid complex. This complex can be further stabilized by the addition of a hydrophilic polymer attached to hydrophobic side chains. The complex can also be made specific for specific cells, by incorporating a targeting moiety such as an Fab' fragment attached to a hydrophilic polymer. The present invention further relates to lipidic microparticles with attached proteins which have been first conjugated to linker mols. having a hydrophilic polymer domain and a hydrophobic domain capable of stable association with the microparticle, or proteins which have been engineered to contain a hydrophilic domain and a lipid moiety permitting stable association with the microparticle. For example, maleimidopropionylantido-PEG-distearoylphosphatidylethanolamine (Mal-PEG-DSPE) was prepared, conjugated with a single chain Fv antibody reactive against HER2 oncoprotein, and formulated into immunoliposomes for targeting of HER2-overexpressing human breast cancer cells.

IC ICM A61K009-127

NCL 424450000

```
CC
     63-6 (Pharmaceuticals)
     Section cross-reference(s): 1, 3
IT
     Drug delivery systems
        (immunoliposomes; preparation of protein-linked lipidic microparticles for
        targeting of nucleic acids)
TT
     Drug delivery systems
        (injections, i.v.; preparation of protein-linked lipidic microparticles for
        targeting of nucleic acids)
TT
     Drug delivery systems
        (liposomes; preparation of protein-linked lipidic microparticles for
        targeting of nucleic acids)
IT
     Drug delivery systems
        (microemulsions; preparation of protein-linked lipidic microparticles for
        targeting of nucleic acids)
IT
     Drug delivery systems
        (microparticles; preparation of protein-linked lipidic microparticles for
        targeting of nucleic acids)
ΙT
     Chromatography
     Dialysis
       Drug targeting
     Gene therapy
     Protein sequences
     Salting-out
     Transformation, genetic
        (preparation of protein-linked lipidic microparticles for targeting of
        nucleic acids)
IT
     DNA
     Enzymes, biological studies
     Growth factors, animal
     Hormones, animal, biological studies
     Lipids, biological studies
       Nucleic acids
     Polymers, biological studies
     Proteins, general, biological studies
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (preparation of protein-linked lipidic microparticles for targeting of
        nucleic acids)
                                                2462-63-7, DOPE
IΤ
     57-88-5, Cholesterol, biological studies
                                                                  2591-17-5,
     D-Luciferin 3700-67-2, Dimethyldioctadecylammonium bromide
     26662-91-9, 1-Palmitoyl-2-oleoyl-phosphatidylcholine
     124050-77-7, DOGS 127512-29-2, DODAP 137056-72-5, DC-chol
     144189-73-1, DOTAP 178744-28-0
                                        216165-62-7
                                                      321975-96-6
     331942-29-1
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (preparation of protein-linked lipidic microparticles for targeting of
        nucleic acids)
IT
     26662-91-9, 1-Palmitoyl-2-oleoyl-phosphatidylcholine
     144189-73-1, DOTAP
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (preparation of protein-linked lipidic microparticles for targeting of
        nucleic acids)
RN
    26662-91-9 HCAPLUS
     3,5,8-Trioxa-4-phosphahexacos-17-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-9-
```

Double bond geometry as shown.

(CA INDEX NAME)

oxo-7-[[(1-oxohexadecyl)oxy]methyl]-, inner salt, 4-oxide, (17Z)- (9CI)

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N O (CH $_2$ )7 Z (CH $_2$ )7 O (CH $_2$ )7 Z (CH $_2$ )7

PAGE 1-B

\_\_\_Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

 $Me^{-0-SO_3}$ 

REFERENCE COUNT:

THERE ARE 25 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 33 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2001:185553 HCAPLUS

DOCUMENT NUMBER:

134:227389

TITLE:

Cationic liposome delivery of taxanes to angiogenic

blood vessels

INVENTOR (S):

McDonald, Donald M.; McLean, John W.; Thurston, O.

Gavin

PATENT ASSIGNEE(S):

Regents of the University of California, USA

SOURCE:

PCT Int. Appl., 57 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

PA'	TENT :	NO.	KIND DATE				APPLICATION NO.					DATE					
	WO 2001017508 A1 WO 2001017508 C2					10315 WO 2000-US24579				79	20000908						
		AE, CR, HU, LU, SD,	AG, CU, ID, LV, SE,	AL, CZ, IL, MA, SG,	AM, DE, IN, MD, SI,	AT, DK, IS, MG, SK,	AU, DM, JP, MK, SL,	DZ, KE, MN, TJ,	EE, KG, MW, TM,	ES, KP, MX, TR,	FI, KR, MZ, TT,	GB, KZ, NO, TZ,	GD, LC, NZ, UA,	BZ, GE, LK, PL, UG,	GH, LR, PT,	GM, LS, RO,	HR, LT, RU,
		GH, DE, CF,	GM, DK, CG,	KE, ES, CI,	LS, FI, CM,	FR, GA,	MZ, GB, GN,	SD, GR, GW,	SL, IE, ML,	SZ, IT, MR,	TZ, LU, NE,	UG, MC, SN,	ZW, NL, TD,		SE,		
	2000 1210																
		AT,	BE,	CH,	DE,		ES,	FR,	GB,	GR,				NL,		MC,	PT,
	2002 2003																
ZA	2003 2002 2002	0015	55	А		2003	0225		$\mathbf{Z}_{i}$	A 20	02-1	555		2002	0225		
PRIORIT						2002		1	US 1: US 1: US 1:	999-: 997-: 998-:	3929′ 8203′ 1271′	76 37 77	A A1 A2	1999 1997 1998 2000	0909 0312 0731		

Angiogenic endothelial cells are selectively targeted with lipid/DNA ABcomplexes or cationic liposomes containing a substance which affects the targeted cells by inhibiting or promoting their growth. A site of angiogenesis can be precisely located by administering cationic liposomes containing a detectable label. The complexes may comprise nucleotide constructs which are comprised of promoters which are selectively and exclusively activated in the environment of an angiogenic endothelial cell. For example, a formulation of small unilamellar liposomes composed of DOTAP/egg phosphatidylcholine/rhodamine DHPE/paclitaxel (50:47:1:2) was injected into mice infected with Mycoplasma pulmonis i.v. in a volume of 150 μL and 20 min later mice were injected with fluorescein-labeled Lycopersicon esculentum lectin to stain endothelial cells throughout the body. Paclitaxel-containing liposomes were taken up avidly by endothelial cells of airway blood vessels in trachea of infected mice while little uptake in blood vessels of the trachea of uninfected mice was observed

- IC ICM A61K009-127
- CC 63-6 (Pharmaceuticals)

Section cross-reference(s): 1

IT Angiogenesis

Angiogenesis inhibitors Anti-inflammatory agents Antitumor agents Circulation

#### Drug targeting

Fluorescent indicators

Respiratory tract

(cationic liposome delivery of taxanes to angiogenic blood vessels)

#### IT DNA

Nucleotides, biological studies

Phosphatidylcholines, biological studies

Phosphatidylethanolamines, biological studies

Taxanes

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (cationic liposome delivery of taxanes to angiogenic blood vessels)

#### IT Drug delivery systems

(injections; cationic liposome delivery of taxanes to angiogenic blood vessels)

# IT Drug delivery systems

(liposomes; cationic liposome delivery of taxanes to angiogenic blood vessels)

IT 57-88-5, Cholesterol, biological studies 2462-63-7, DOPE 3700-67-2, Dimethyldioctadecyl ammonium bromide **4235-95-4**, DOPC

144189-73-1, DOTAP
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(cationic liposome delivery of taxanes to angiogenic blood vessels)

IT 4235-95-4, DOPC 144189-73-1, DOTAP

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (cationic liposome delivery of taxanes to angiogenic blood vessels)

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-B

\_\_\_ Me

RN 144189-73-1 HCAPLUS

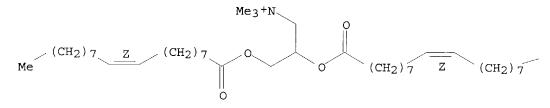
CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A



PAGE 1-B

\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

REFERENCE COUNT:

5 THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 34 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2000:755211 HCAPLUS

DOCUMENT NUMBER:

133:340208

TITLE:

Novel compositions useful for delivering anti-inflammatory agents into a cell

INVENTOR(S):

Unger, Evan C.; McCreery, Thomas; Sadewasser, David A.

PATENT ASSIGNEE(S): ImaR

ImaRx Pharmaceutical Corp., USA

SOURCE:

Eur. Pat. Appl., 78 pp.
CODEN: EPXXDW

DOCUMENT TYPE:

Patent

LANGUAGE:

English

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FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION:
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KIND DATE
                                       APPLICATION NO. DATE
    PATENT NO.
     _____
                                         ______
    EP 1046394 A2 20001025
EP 1046394 A3 20011010
                                        EP 2000-303249 20000418
                          20001025
        R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO
PRIORITY APPLN. INFO.:
                                      US 1999-294623 A 19990419
    The present invention is directed, inter alia, to compns. and their use
    for delivering compds. into a cell. In a preferred embodiment, the
    compns. comprise, in combination with the compound to be delivered, an organic
    halide, a targeting ligand, and a nuclear localization sequence,
    optionally in the presence of a carrier. Ultrasound may be applied, if
    desired. The compns. are particularly suitable for the treatment of
    inflammatory diseases.
    ICM A61K009-127
ICS A61K048-00; C12N015-88
IC
```

CC 63-5 (Pharmaceuticals)

Section cross-reference(s): 34

IT Anti-inflammatory agents

Cations

#### Drug targeting

Gene therapy

Genetic vectors

Protein sequences

(peptide compns. useful for delivering anti-inflammatory agents into a cell)

# IT Antisense oligonucleotides

## Ribozymes

RL: BPR (Biological process); BSU (Biological study, unclassified); PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses) (peptide compns. useful for delivering anti-inflammatory agents into a

cell)

IT Drug delivery systems

(targeted; peptide compns. useful for delivering anti-inflammatory agents into a cell)

57-09-0, Ctab 57-88-5, Cholesterol, biological studies IΤ Cholesterol, esters 124-30-1, Stearylamine 926-63-6, Dimethylammonium propane 1398-61-4, Chitin 2462-63-7, Dope 3282-73-3, Ddab 3614-36-6, Diacetyl phosphate **4235-95-4**, Dopc 4458-31-5, Diethylammonium propane 6561-76-8, Dcpe 9000-07-1, Carrageenan 9000-69-5, Pectin 9002-88-4D, Polyethylene, derivs. 9003-07-0D, Polypropylene, derivs. 9003-39-8, Polyvinylpyrrolidone 9004-32-4, Carboxymethylcellulose 9004-34-6, Cellulose, biological studies 9004-54-0, Dextran, biological studies 9004-61-9, Hyaluronic acid 9004-65-3, Hydroxypropyl methylcellulose 9005-32-7, Alginic acid 9005-79-2, Glycogen, biological studies 9005-82-7, Amylose 9007 9012-36-6, Agarose 9012-72-0D, Glucan, derivs. Chondroitin 9013-95-0D, Levan, derivs. 9014-63-5D, Xylan, derivs. 9037-22-3, Amylopectin 9037-55-2D, Galactan, derivs. 9037-90-5D, Fructan, derivs. 9046-38-2D, Galacturonan, derivs. 9046-40-6, Pectic acid 9057-02-7, Pullulan 9060-75-7D, Arabinan, derivs. 9072-19-9, Fucoidan 20064-29-3, Trimethylammonium propane 24305-42-8, Triethylammonium propane 24529-88-2 25322-68-3D, derivs. 37331-28-5, Pustulan 60495-58-1, Galactocarolose 64612-25-5D, Fucan, derivs. 68354-92-7 69992-87-6, Keratan 73294-85-6 75634-40-1, Dermatan 76822-97-4

83554-62-5 106392-12-5D, Pluronic, derivs. 108032-13-9 115534-33-3, Tmadph 124050-77-7, Transfectam 124076-29-5 127512-30-5 128835-92-7, Lipofectin 132172-61-3 137056-72-5, DC-Chol 144189-73-1, Dotap 145310-87-8, Transfectace 153312-64-2, DMRIE 158571-62-1, Lipofectamine 161293-59-0 161441-83-4 183283-19-4, Edmpc 186198-32-3 **199171-54-5**, DLRIE 201491-17-0, Cytofectin 208040-06-6 , GAP-DLRIE 225940-35-2, glycero-3-Ethylphosphatidylcholine 282533-23-7, Dospa 303097-27-0 303097-29-2 303097-31-6 303097-33-8 303097-35-0

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
(drug carrier; peptide compns. useful for delivering anti-inflammatory
agents into a cell)

IT 4235-95-4, Dopc 128835-92-7, Lipofectin
132172-61-3 144189-73-1, Dotap 153312-64-2,
DMRIE 158571-62-1, Lipofectamine 199171-54-5, DLRIE
208040-06-6, GAP-DLRIE 282533-23-7, Dospa

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (drug carrier; peptide compns. useful for delivering anti-inflammatory agents into a cell)

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

Me 
$$^{(CH_2)}$$
  $^{7}$   $^{2}$   $^{(CH_2)}$   $^{7}$   $^$ 

PAGE 1-B

\_\_\_Me

RN 128835-92-7 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride, mixt. with 1-[[[(2-aminoethoxy)hydroxyphosphinyl]oxy]methyl]-1,2-ethanediyl di-(9Z)-9-octadecenoate (9CI) (CA INDEX NAME)

CM 1

Nguyen 10/089,312

CRN 104162-48-3 CMF C42 H84 N O2 . Cl

Double bond geometry as shown.

Me 
$$(CH_2)$$
 7  $Z$   $(CH_2)$  8  $O$   $(CH_2)$  8  $Z$   $(CH_2)$  7  $Me$   $Me_3+N$ 

● Cl -

CM 2

CRN 2462-63-7 CMF C41 H78 N O8 P

Double bond geometry as shown.

PAGE 1-A H<sub>2</sub>N 
$$\stackrel{\text{H}_2\text{N}}{}$$
  $\stackrel{\text{H}_2\text{N}}{}$   $\stackrel{\text{H}_2\text{N}}$ 

PAGE 1-B

\_\_\_ Me

RN 132172-61-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N O (CH $_2$ )7 Z (CH $_2$ )7 O (CH $_2$ )7 Z (CH $_2$ )7

• cl -

PAGE 1-B

\_\_\_Me

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N O (CH $_2$ )7 Z (CH $_2$ )7 O (CH $_2$ )7 Z (CH $_2$ )7

PAGE 1-B

\_\_\_Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

 $Me^{-0-SO_3}$ 

RN 153312-64-2 HCAPLUS

CN 1-Propanaminium, N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis(tetradecyloxy)-, bromide (9CI) (CA INDEX NAME)

● Br-

RN 158571-62-1 HCAPLUS

CN 1-Propanaminium, N-[3-[[3-[[4-[(3-aminopropyl)amino]butyl]amino]propyl]amino]-3-oxopropyl]-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, salt with trifluoroacetic acid (1:1), mixt. with 1-[[[(2-aminoethoxy)hydroxyphosphinyl]oxy]methyl]-1,2-ethanediyl di-(9Z)-9-octadecenoate (9CI) (CA INDEX NAME)

CM 1

CRN 2462-63-7 CMF C41 H78 N O8 P

Double bond geometry as shown.

PAGE 1-B

\_\_Me

CM 2

CRN 185097-43-2

CMF C54 H106 N5 O5 . C2 F3 O2

CM 3

CRN 181508-68-9 CMF C54 H106 N5 O5

Double bond geometry as shown.

PAGE 1-B

$$(CH_2)_7$$
  $Z$   $(CH_2)_7$  Me

CM 4

CRN 14477-72-6 CMF C2 F3 O2

RN 199171-54-5 HCAPLUS

CN 1-Propanaminium, 2,3-bis(dodecyloxy)-N-(2-hydroxyethyl)-N,N-dimethyl-, bromide (9CI) (CA INDEX NAME)

● Br -

RN 208040-06-6 HCAPLUS

CN 1-Propanaminium, N-(2-aminoethyl)-2,3-bis(dodecyloxy)-N,N-dimethyl-, bromide (9CI) (CA INDEX NAME)

● Br-

RN 282533-23-7 HCAPLUS

CN 1-Propanaminium, N-[2-[[2,5-bis[(3-aminopropyl)amino]-1-oxopentyl]amino]ethyl]-N,N-dimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride, tetrahydrochloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

H<sub>2</sub>N 
$$(CH_2)_3$$
  $(CH_2)_3$   $(CH$ 

● Cl -

4 HCl

PAGE 1-B

`(CH2) 7 ~ (CH<sub>2</sub>)<sub>7</sub>

L52 ANSWER 35 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER: DOCUMENT NUMBER:

2000:552713 HCAPLUS

134:1122

TITLE:

Gene delivery for genetically engineered mucosal cells

with enhanced function

AUTHOR(S):

Nagatani, Naoki; Shinkai, Masashige; Nagase, Yayoi; Honda, Hiroyuki; Hata, Ken-Ichiro; Mizuno, Hirokazu;

Ueda, Minoru; Kobayashi, Takeshi

CORPORATE SOURCE:

Department of Biotechnology, Graduate School of

Engineering, Nagoya University, Nagoya, 464-8603,

Japan

SOURCE:

Biotechnology Letters (2000), 22(12), 999-1002

CODEN: BILED3; ISSN: 0141-5492

PUBLISHER:

Kluwer Academic Publishers

DOCUMENT TYPE:

Journal

LANGUAGE:

English

A non-viral transfection method for oral mucosal cells was investigated using a modified transfection method and five com. transfection reagents. The CellFECTIN gave the highest expression of a transfected gene. When the mucosal cells were transfected with 0.3 ng DNA/cell, the transfection efficiency was optimal, and the production of a reporter protein increased up

to ten times higher than those with the other transfection reagents.

3-2 (Biochemical Genetics) CC

Section cross-reference(s): 1, 14

IT Drug delivery systems

(oral; gene delivery for genetically engineered mucosal cells with enhanced function)

IT 2462-63-7, Dioleoyl phosphatidylethanolamine 18656-40-1,
Dilauroyl phosphatidylcholine 128835-92-7, LipoFECTIN
131897-06-8, N-(α-Trimethylammonio-acetyl)-didodecyl-D-glutamate
chloride 158571-62-1, LipofectAMINE 189203-04-1, CellFECTIN
189203-05-2, DMRIE-C 213252-23-4, SuperFect

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (gene delivery for genetically engineered mucosal cells with enhanced function)

IT 18656-40-1, Dilauroyl phosphatidylcholine 128835-92-7,
 LipoFECTIN 158571-62-1, LipofectAMINE 189203-05-2,
 DMRIE-C

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (gene delivery for genetically engineered mucosal cells with enhanced function)

RN 18656-40-1 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheneicosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxododecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

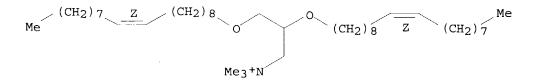
RN 128835-92-7 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride, mixt. with 1-[[((2-aminoethoxy)hydroxyphosphinyl]oxy]methyl]-1,2-ethanediyl di-(9Z)-9-octadecenoate (9CI) (CA INDEX NAME)

CM 1

CRN 104162-48-3 CMF C42 H84 N O2 . Cl

Double bond geometry as shown.



• c1-

CM 2

CRN 2462-63-7 CMF C41 H78 N O8 P

Double bond geometry as shown.

PAGE 1-A

PAGE 1-B

\_\_\_Me

RN 158571-62-1 HCAPLUS

CN 1-Propanaminium, N-[3-[[3-[[4-[(3-aminopropyl)amino]butyl]amino]propyl]amino]-3-oxopropyl]-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, salt with trifluoroacetic acid (1:1), mixt. with 1-[[[(2-aminoethoxy)hydroxyphosphinyl]oxy]methyl]-1,2-ethanediyldi-(9Z)-9-octadecenoate (9CI) (CA INDEX NAME)

CM 1

CRN 2462-63-7 CMF C41 H78 N O8 P

Double bond geometry as shown.

PAGE 1-A

PAGE 1-B

\_\_\_ Me

CM 2

CRN 185097-43-2 CMF C54 H106 N5 O5 . C2 F3 O2

CM 3

CRN 181508-68-9 CMF C54 H106 N5 O5

Double bond geometry as shown.

PAGE 1-B

$$\sim$$
 (CH<sub>2</sub>)<sub>7</sub>  $\sim$  Me

CM 4

CRN 14477-72-6 CMF C2 F3 O2

# RN 189203-05-2 HCAPLUS

CN Cholest-5-en-3-ol (3β)-, mixt. with N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis(tetradecyloxy)-1-propanaminium bromide (9CI) (CA INDEX NAME)

CM 1

CRN 153312-64-2

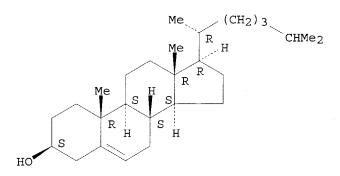
CMF C35 H74 N O3 . Br

● Br-

CM 2

CRN 57-88-5 CMF C27 H46 O

Absolute stereochemistry.



REFERENCE COUNT:

THERE ARE 7 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 36 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

7

ACCESSION NUMBER:

2000:335212 HCAPLUS

DOCUMENT NUMBER: 132:339369

An inhalation system containing a lipid mixture TITLE: Pilkiewicz, Frank G.

INVENTOR(S):

PATENT ASSIGNEE(S): USA

SOURCE: PCT Int. Appl., 36 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent English

LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

	PATENT NO.					KIND DATE					APPLICATION NO. DA								
	WO	2000	 0273!	 59	A1 20000518					– W	0 19	 9 9 - U:	 5268!	 58	19991112				
		W:	ΑE,	AL,	AM,	AT,	AU,	AZ,	BA,	ВB,	BG,	BR,	BY,	CA,	CH,	CN,	CU,	CZ,	
				-							GH,								
			JP,	KE,	KG,	KP,	KR,	ΚZ,	LC,	LK,	LR,	LS,	LT,	LU,	LV,	MD,	MG,	MK,	
			MN,	MW,	MX,	NO,	NZ,	ΡL,	PT,	RO,	RU,	SD,	SE,	SG,	SI,	SK,	SL,	TJ,	
			TM,	TR,	TT,	UA,	UG,	UΖ,	VN,	YU,	ZA,	ZW,	AM,	ΑZ,	BY,	KG,	KΖ,	MD,	
			RU,	TJ,	TM														
		RW:	GH,	GM,	KE,	LS,	MW,	SD,	SL,	SZ,	TZ,	UG,	ZW,	ΑT,	BE,	CH,	CY,	DE,	
			DK,	ES,	FI,	FR,	GB,	GR,	ΙE,	IT,	LU,	MC,	NL,	PT,	SE,	BF,	ВJ,	CF,	
			CG,	CI,	CM,	GΑ,	GN,	GW,	ML,	MR,	NΕ,	SN,	TD,	TG					
	EP 1128813			A1 20010905				E	P 19	99-9	5								
		R:	ΑT,	ΒE,	CH,	DE,	DK,	ES,	FR,	GB,	GR,	ΙΤ,	LI,	LU,	NL,	SE,	MC,	PT,	
				-		-	FI,												
	JP 2002529393					T2 20020910				J	P 20	00-5	0	19991112					
						A 20030829										19991112			
						B2 20031023													
	ZA 2001003645									ZA 2001-3645									
PRIO	PRIORITY APPLN. INFO										998-				1998				
											998-								
									I	WO 1	999-1	JS26	358	W	1999:	1112			

- A system for administering a bioactive agent by inhalation comprises a AΒ lipid mixture containing a phosphatidylcholine, phosphatidylethanolamine, phosphatidylglycerol, phosphatidylinositol, sterol, albumin and phosphatidic acid in various combinations and ratios. The biol. active agent is a drug, such as antitumor or antimicrobial agent, a compound affecting endocrine function, an antibody, a gene, a cytokine, a differentiating agent, etc.
- ICM A61K009-127 TC
  - ICS A61K009-12
- 63-6 (Pharmaceuticals) CC
- ΤT

RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); THU (Therapeutic use); BIOL (Biological study); USES

(for  $\alpha$ 1-antitrypsin or CFTR; inhalation system containing lipid mixture for therapy)

#### Drug delivery systems IT

(inhalants; inhalation system containing lipid mixture for therapy)

IT Adrenoceptor antagonists

Analgesics

Anaphylaxis

Anti-AIDS agents

Anti-infective agents

Anti-inflammatory agents

Antiarrhythmics

Antiasthmatics

Antibacterial agents

```
Anticoaqulants
Antidiabetic agents
Antiemetics
Antihypertensives
Antitussives
Antiviral agents
Cardiovascular agents
Cholinergic antagonists
Cystic fibrosis
Emphysema
Fungicides
Human immunodeficiency virus 1
Immunosuppressants
Opioid antagonists
Platelet aggregation inhibitors
Tuberculostatics
  Vaccines
Vasodilators
   (inhalation system containing lipid mixture for therapy)
Anthracyclines
Antibodies
Cannabinoids
Corticosteroids, biological studies
Cytokines
  DNA
Gene, animal
Hormones, animal, biological studies
Immunoglobulins
Interferons
Opioids
Peptides, biological studies
Proteins, general, biological studies
  RNA
Retinoids
Sulfonamides
Tetracyclines
RL: BAC (Biological activity or effector, except adverse); BSU (Biological
study, unclassified); THU (Therapeutic use); BIOL (Biological study); USES
(Uses)
   (inhalation system containing lipid mixture for therapy)
57-88-5, Cholesterol, biological studies 63-89-8,
Dipalmitoylphosphatidylcholine
                                 2462-63-7, DOPE
                                                    4537-77-3, DPPG
61361-72-6, Dimyristoylphosphatidylglycerol 144189-73-1, DOTAP
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
   (inhalation system containing lipid mixture for therapy)
63-89-8, Dipalmitoylphosphatidylcholine 144189-73-1,
DOTAP
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
   (inhalation system containing lipid mixture for therapy)
63-89-8 HCAPLUS
3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-
oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX
```

Absolute stereochemistry. Rotation (+).

IT

TT

RN

CN

NAME)

Me 
$$(CH_2)_{14}$$
 O O O O  $(CH_2)_{14}$  Me  $(CH_2)_{14}$  Me

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

PAGE 1-B

\_\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-- 0 -- SO3 -

REFERENCE COUNT:

THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

Nguyen 10/089,312 L52 ANSWER 37 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN 2000:216866 HCAPLUS ACCESSION NUMBER: DOCUMENT NUMBER: 133:79118 Electroporation-enhanced gene delivery in mammary TITLE: Wells, J. M.; Li, L. H.; Sen, A.; Jahreis, G. P.; Hui, AUTHOR (S): CORPORATE SOURCE: Membrane Biophysics Laboratory, Molecular and Cellular Biophysics Department, Roswell Park Cancer Institute, Buffalo, NY, 14263-0001, USA SOURCE: Gene Therapy (2000), 7(7), 541-547 CODEN: GETHEC; ISSN: 0969-7128 PUBLISHER: Nature Publishing Group DOCUMENT TYPE: Journal LANGUAGE: English Electroporation was applied to enhance gene transfer into s.c. MC2 murine breast tumors. Cultured MC2 cells were also transfected by electroporation or by cationic liposomes in the presence of serum using pSV-luc plasmids. Electroporation parameters and liposome formulation were optimized to achieve the highest relative levels of transfection. elec. field threshold for successful electrotransfection in cultured cells appeared around 800-900 V/cm. The liposomes used contained the cationic lipid dioleoyl-3-trimethylammonium propane (DOTAP). Multilamellar vesicles (MLV) had a 10-fold advantage over small unilamellar vesicles (SUV) in cell culture transfection. For in vivo gene delivery, the plasmids were injected either alone, or in complex with MLV or SUV DOTAP liposomes. A series of six elec. pulses 1 ms long were applied across tumors, using caliper electrodes on the skin surface. Elec. field strengths ranged from 400-2300 V/cm. Luciferase expression was approx. two orders of magnitude higher than controls in tumors treated with pulses  $\geq 800$  V/cm. Differences between enhanced relative levels of transfection using uncomplexed plasmid and lipoplexes were not statistically significant. Distribution of DNA into tumor tissues was monitored by fluorescence in situ PCR. The highest nos. of fluorescent cells were found in tumors electroporated following the injection of plasmid. The significant transfection improvement shows that in vivo electroporation is a powerful tool for local gene delivery to tumors. CC 63-3 (Pharmaceuticals) Section cross-reference(s): 1, 3 Electroporation TT Gene therapy Plasmids Transformation, genetic (electroporation-enhanced gene delivery to solid tumors) Drug delivery systems TT (liposomes; electroporation-enhanced gene delivery to solid tumors)

4235-95-4 144189-73-1, DOTAP TT

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (electroporation-enhanced gene delivery to solid tumors)

4235-95-4 144189-73-1, DOTAP IT

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (electroporation-enhanced gene delivery to solid tumors)

4235-95-4 HCAPLUS RN

3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-CN $10-\infty$ 0-7-[[(9Z)-1-0x0-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

Me 
$$^{(CH_2)}$$
  $^{7}$   $^{2}$   $^{(CH_2)}$   $^{7}$   $^{7}$   $^{2}$   $^{(CH_2)}$   $^{7}$   $^{7}$   $^{2}$   $^{(CH_2)}$   $^{7}$ 

PAGE 1-B

\_\_\_ Me

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

PAGE 1-B

\_\_Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-o-so3-

REFERENCE COUNT: 15 THERE ARE 15 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 38 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2000:98268 HCAPLUS

DOCUMENT NUMBER:

132:156844

TITLE:

Lipid emulsion and solid lipid nanoparticle as a gene

or drug carrier

CODEN: PIXXD2

INVENTOR(S):
PATENT ASSIGNEE(S):

Jeong, Seo Young; Kwon, Ick Chan; Chung, Hesson Korea Institute of Science and Technology, S. Korea

SOURCE:

PCT Int. Appl., 74 pp.

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

```
PATENT NO.
                          KIND DATE
                                                              APPLICATION NO. DATE
       WO 2000006120 A1 20000210 WO 1999-KR414 19990730
            W: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD,
                   RU, TJ, TM
             RW: GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW, AT, BE, CH, CY, DE, DK,
                   ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
       AU 9950689 A1 20000221 AU 1999-50689
                                                                                       19990730
                                                        KR 1999-31339 19990730
EP 1999-935145 19990730
       KR 2000012106
                               A
A1
                                         20000225
                                      20010523
       EP 1100464
             R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
                   IE, SI, LT, LV, FI, RO
                                                          JP 2000-561977 19990730
KR 1998-31249 A 19980731
WO 1999-KR414 W 19990730
       JP 2002521423 T2 20020716
PRIORITY APPLN. INFO.:
```

AB The present invention relates to oil-in-water lipid emulsions composed of non-triglyceride oils and solid lipid nanoparticles (SLN) composed of triglyceride or Et stearate used as gene transfection agents and drug delivery systems and method for preparing thereof. The present invention also concerns the method of transferring genes or drugs efficiently into cells by using the lipid emulsions and solid lipid nanoparticles. Also the present invention relates to the method of preparing lipid emulsions containing lipophilic or amphiphilic drugs by using squalene or squalane as the core oil. The present invention also concerns the method of preparing the solid lipid nanoparticles containing lipophilic or amphiphilic drugs by using Et stearate as the core fat.

IC ICM A61K009-107

```
CC
     63-6 (Pharmaceuticals)
     Nucleic acids
TT
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (antisense; lipid emulsion and solid lipid nanoparticle as gene or drug
        carrier)
IT
     Drug delivery systems
        (injections, i.m.; lipid emulsion and solid lipid nanoparticle as gene
        or drug carrier)
IT
     Drug delivery systems
        (injections, i.v.; lipid emulsion and solid lipid nanoparticle as gene
        or drug carrier)
IT
     Drug delivery systems
        (injections, s.c.; lipid emulsion and solid lipid nanoparticle as gene
        or drug carrier)
ΙT
     Drug delivery systems
        (intratracheal; lipid emulsion and solid lipid nanoparticle as gene or
        drug carrier)
IT
     Adrenoceptor antagonists
     Analgesics
     Anesthetics
     Antibiotics
     Anticonvulsants
     Antidepressants
     Antitumor agents
     Antiviral agents
     Anxiolytics
     Cholinergic agonists
       Drug targeting
     Emulsifying agents
     Fungicides
     Gene targeting
     Immunostimulants
     Immunosuppressants
     Ribosome
     Transformation, genetic
        (lipid emulsion and solid lipid nanoparticle as gene or drug carrier)
     Antibodies
TΤ
     Bile salts
      DNA
     Estrogens
     Fats and Glyceridic oils, biological studies
     Glycolipids
     Glycosaminoglycans, biological studies
     Histones
     Hormones, animal, biological studies
     Lipopeptides
     Oligonucleotides
     Phosphatidylcholines, biological studies
     Phosphatidylethanolamines, biological studies
     Phosphatidylserines
     Phospholipids, biological studies
       Polynucleotides
     Polyoxyalkylenes, biological studies
     Prostaglandins
       RNA
     Vitamins
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (lipid emulsion and solid lipid nanoparticle as gene or drug carrier)
ΙT
    Drug delivery systems
```

(nasal; lipid emulsion and solid lipid nanoparticle as gene or drug carrier)

ΙT Plasmids

> (pCMV; lipid emulsion and solid lipid nanoparticle as gene or drug carrier)

IT Drug delivery systems

(parenterals; lipid emulsion and solid lipid nanoparticle as gene or drug carrier)

IT Drug delivery systems

(topical; lipid emulsion and solid lipid nanoparticle as gene or drug carrier)

IT 56-81-5, 1,2,3-Propanetriol, biological studies 57-83-0, Progesterone, biological studies 57-88-5, Cholesterol, biological studies Dimethyldioctadecylammonium chloride 111-01-3, Squalane 111-02-4, 302-79-4, Retinoic acid Squalene 111-61-5, Ethyl stearate 4004-05-1 **4235-95-4** 9005-65-6, Tween 80 Trilaurin 13292-46-1, Rifampicin 15307-79-6, Diclofenac sodium 25104-18-1, 25637-84-7, Diolein 25805-17-8, Polylysine 25322-68-3 35121-78-9, Prostacyclin 38000-06-5, Polylysine Polyethyloxazoline 59865-13-3, Cyclosporin A 72719-84-7 96326-74-8 113669-21-9 121315-93-3 132172-61-3 138915-91-0, 1,2-Dipalmitoyl-3-trimethylammoniopropane 173666-09-6

257637-27-7

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(lipid emulsion and solid lipid nanoparticle as gene or drug carrier)

IT 4235-95-4 113669-21-9 132172-61-3

138915-91-0, 1,2-Dipalmitoyl-3-trimethylammoniopropane

173666-09-6 RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(lipid emulsion and solid lipid nanoparticle as gene or drug carrier)

RN4235-95-4 HCAPLUS

3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-CN 10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A

Me 
$$^{(CH_2)}$$
  $^{7}$   $^{Z}$   $^{(CH_2)}$   $^{7}$   $^$ 

PAGE 1-B

RN 113669-21-9 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy](9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)$$
 7  $Z$   $(CH_2)$  7  $Z$   $(CH_2)$  7  $Z$   $(CH_2)$  7

PAGE 1-B

\_\_\_Me

RN 132172-61-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)$$
 7  $Z$   $(CH_2)$  7  $O$   $O$   $(CH_2)$  7  $Z$   $(CH_2)$  7

• c1-

PAGE 1-B

\_\_\_ Me

RN 138915-91-0 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(1-oxohexadecyl)oxy]- (9CI) (CA INDEX NAME)

RN 173666-09-6 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(1-oxooctadecyl)oxy]- (9CI) (CA INDEX NAME)

REFERENCE COUNT:

THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 39 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

5

ACCESSION NUMBER:

2000:95759 HCAPLUS 132:325932

DOCUMENT NUMBER:

Calcium enhances the transfection potency of plasmid

DNA-cationic liposome complexes

AUTHOR(S):

Lam, A. M. I.; Cullis, P. R.

CORPORATE SOURCE:

Faculty of Medicine, Department of Biochemistry and Molecular Biology, University of British Columbia,

Vancouver, BC, Can.

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English

AB It is shown that calcium increases the in vitro transfection potency of plasmid DNA-cationic liposome complexes from 3- to 20-fold. The effect is Ca2+ specific as other cations, such as Mg2+ and Na+, do not give rise to enhanced transfection and the effect can be inhibited by the presence of EGTA. It is shown that Ca2+ increases cellular uptake of the DNA-lipid complexes, indicating that increased transfection potency arises from increased intracellular delivery of both cationic lipid and plasmid DNA in the presence of Ca2+. In particular, it is shown that the levels of intact intracellular plasmid DNA are significantly enhanced when Ca2+ is present. The generality of the Ca2+ effect for enhancing complex-mediated transfection is demonstrated for a number of different cell lines and different cationic lipid formulations. It is concluded that addition of Ca2+ represents a simple and useful protocol for enhancing in vitro transfection properties of plasmid DNA-cationic lipid complexes.

CC 63-5 (Pharmaceuticals)

IT Plasmids

Transformation, genetic

(calcium enhances the transfection potency of plasmid DNA-cationic liposome complexes)

### IT DNA

RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(complexes; calcium enhances the transfection potency of plasmid DNA-cationic liposome complexes)

## IT Drug delivery systems

(liposomes; calcium enhances the transfection potency of plasmid DNA-cationic liposome complexes)

TT 67-42-5, Egta 107-64-2, Dimethyldistearylammonium chloride 3700-67-2, Dimethyldioctadecylammonium bromide 4004-05-1, Dope 4235-95-4 7212-69-3, Dodac 7440-70-2, Calcium, biological studies 10043-52-4, Calcium chloride, biological studies 104162-48-3, Dotma RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(calcium enhances the transfection potency of plasmid DNA-cationic liposome complexes)

## IT 4235-95-4 104162-48-3, Dotma

RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(calcium enhances the transfection potency of plasmid DNA-cationic liposome complexes)  $\,$ 

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N

O
O
O
O
(CH $_2$ ) 7

Z
(CH $_2$ ) 7
O
O
(CH $_2$ ) 7

Z
(CH $_2$ ) 7

PAGE 1-B

\_\_\_ Me

RN 104162-48-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_8$  O  $(CH_2)_8$  Z  $(CH_2)_7$  Me  $(CH_2)_8$  N  $(CH_2)_8$  Z

• c1 -

REFERENCE COUNT:

42 THERE ARE 42 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 40 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

2000:14945 HCAPLUS

DOCUMENT NUMBER:

132:83648

TITLE:

Macromolecule-lipid complexes and methods for making

and using

INVENTOR(S):

Safinya, Cyrus R.; Raedler, Joachim Oskar; Koltover,

Ilya

PATENT ASSIGNEE(S):

The Regents of the University of California, USA

SOURCE:

PCT Int. Appl., 88 pp. CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 3

PATENT INFORMATION:

		CENT I				ND	DATE		APPLICATION NO.											
				A1 20000106									1999							
		W:	ΑE,	ĂL,	AM,	AT,	ΑU,	ΑZ,	ΒA,	BB,	BG,	BR,	BY,	CA,	CH,	CN,	CU,	CZ,		
			DE,	DK,	EE,	ES,	FΙ,	GB,	GD,	GE,	GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,		
			JP,	KE,	KG,	ΚP,	KR,	KΖ,	LC,	LK,	LR,	LS,	LT,	LU,	LV,	MD,	MG,	MK,		
			MN,	MW,	MX,	NO,	NZ,	PL,	PT,	RO,	RU,	SD,	SE,	SG,	SI,	SK,	SL,	ТJ,		
			TM,	TR,	TT,	UA,	UG,	UΖ,	VN,	YU,	ZA,	ZW,	AM,	ΑZ,	BY,	KG,	KΖ,	MD,		
			RU,	ТJ,	TM															
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			ES,	FΙ,	FR,	GB,	GR,	ΙE,	IT,	LU,	MC,	NL,	PT,	SE,	BF,	ВJ,	CF,	CG,		
			CI,	CM,	GΑ,	GN,	GW,	ML,	MR,	NE,	SN,	TD,	TG							
	B1 20020319				US 1998-105571						19980626									
AU 9947030						A1 20000117				AU 1999-47030						19990621				
PRIORITY APPLN. INFO.:									•	US 1	998-	1055	71	Α	1998	0626				
									•	US 1996-32163P P					1996	1206				
	US 1997-985625 A2										A2	1997	1205							
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AB The invention provides novel compns. involving macromol.-lipid complexes and methods for making them. These compns. and methods of the invention are significant improvements in the field of macromol.-lipid complex processing, macromol. targeting and delivery to various biol. systems. Cationic liposome complexed with DNA were prepared using DOTAP/dioleoylphosphatidylcholine or DOTAP/dioleoylphosphatidylethanolamin

```
TC
     ICM A01N063-00
     ICS A61K009-127; G01N033-92; C07H021-04
CC
     63-6 (Pharmaceuticals)
IT
     DNA
     RL: PRP (Properties); SPN (Synthetic preparation); THU (Therapeutic use);
     BIOL (Biological study); PREP (Preparation); USES (Uses)
        (complexes; cationic liposome-DNA complexes)
TT
     Drug delivery systems
        (liposomes; cationic liposome-DNA complexes)
ΤТ
     63-89-8, Dipalmitoylphosphatidylcholine 816-94-4,
     Distearoylphosphatidylcholine 923-61-5 998-06-1
                                                         998-07-2
     1069-79-0 2701-19-1 3355-26-8 3355-27-9
     3436-44-0
                 4004-05-1, Dope 4235-95-4, Dopc
     17688-29-8, Diarachidonoylphosphatidylcholine 18194-24-6
     , Dimyristoylphosphatidylcholine 18194-25-7,
     Dilauroylphosphatidylcholine 19191-91-4 19805-18-6
     20707-71-5 27869-45-0 27869-47-2 34506-67-7
     34813-40-6 37070-48-7 39036-04-9 51779-95-4
     51779-96-5 56391-91-4 56750-90-4
     56782-46-8 56815-99-7 56816-00-3
                                        59752-57-7
                  61599-23-3 66414-33-3 66414-34-4,
     61596-53-0
     Divaleroylphosphatidylcholine 70897-27-7 71242-28-9
     72719-83-6 72719-84-7 76733-52-3 87250-80-4
     91742-11-9 95416-27-6 96326-74-8
                                         96760-44-0
                 109032-52-2 112241-60-8
     96893-06-0
                                           121315-93-3
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                                               207131-40-6
                   253685-27-7 253685-28-8
     217075-01-9
     RL: PRP (Properties); THU (Therapeutic use); BIOL (Biological study); USES
     (Uses)
        (cationic liposome-DNA complexes)
TΤ
     63-89-8, Dipalmitoylphosphatidylcholine 816-94-4,
     Distearoylphosphatidylcholine 998-06-1 2701-19-1
     3355-26-8 3355-27-9 3436-44-0
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     Diarachidonoylphosphatidylcholine 18194-24-6,
     Dimyristoylphosphatidylcholine 18194-25-7,
     Dilauroylphosphatidylcholine 19191-91-4 27869-45-0
     27869-47-2 34506-67-7 37070-48-7
     39036-04-9 51779-95-4 51779-96-5
     56391-91-4 56750-90-4 56782-46-8
     56815-99-7 56816-00-3 61596-53-0
     66414-33-3 66414-34-4, Divaler oylphosphatidylcholine
     70897-27-7 71242-28-9 72719-83-6
     76733-52-3 91742-11-9 95416-27-6
     112241-60-8 137133-79-0 138915-91-0
     144189-73-1, Dotap 173666-09-6 253685-28-8
     RL: PRP (Properties); THU (Therapeutic use); BIOL (Biological study); USES
     (Uses)
        (cationic liposome-DNA complexes)
RN
     63-89-8 HCAPLUS
CN
     3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-
     oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX
     NAME)
```

RN 816-94-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxooctadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 998-06-1 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacosa-18,21-dien-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z,12Z)-1-oxo-9,12-octadecadienyl]oxy]-, inner salt, 4-oxide, (7R,18Z,21Z)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N

O

P

O

O

(CH2) 4

Z

(CH2) 7

O

(CH2) 7

PAGE 1-B

$$\overline{z}$$
  $\overline{z}$   $(CH_2)_4$  Me

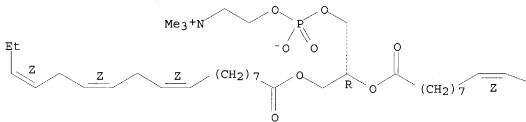
RN 2701-19-1 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacosa-18,21,24-trien-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z,12Z,15Z)-1-oxo-9,12,15-octadecatrienyl]oxy]-, inner salt, 4-oxide, (7R,18Z,21Z,24Z)- (9CI) (CA INDEX NAME)

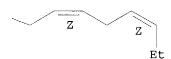
Absolute stereochemistry.

Double bond geometry as shown.

PAGE 1-A



PAGE 1-B



RN 3355-26-8 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatridecan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-(1-oxobutoxy)-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 3355-27-9 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatetracosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxopentadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 3436-44-0 HCAPLUS

CN 3,5,9-Trioxa-4-phosphanonadecan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxodecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-B

\_\_\_Me

RN 17688-29-8 HCAPLUS

CN 3,5,9-Trioxa-4-phosphanonacosa-14,17,20,23-tetraen-1-aminium,
4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(5Z,8Z,11Z,14Z)-1-oxo-5,8,11,14-eicosatetraenyl]oxy]-, inner salt, 4-oxide, (7R,14Z,17Z,20Z,23Z)- (9CI)
(CA INDEX NAME)

Absolute stereochemistry.

Double bond geometry as shown.

PAGE 1-A 
$$Me_3+N \qquad Q \qquad Q \qquad Q$$
 
$$CCH_2)_4 \qquad Z \qquad Z \qquad Z \qquad (CH_2)_3 \qquad Q$$
 
$$Q \qquad Q \qquad Q$$

PAGE 1-B

RN 18194-24-6 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatricosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxotetradecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 18194-25-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheneicosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxododecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 19191-91-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptadecan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxooctyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

$$Me^{(CH_2)}$$
 6 0  $R$  0  $(CH_2)$  6  $R$  0  $(CH_2)$  6  $R$  0  $(CH_2)$  6  $R$ 

RN 27869-45-0 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaoctadecan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxononyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 27869-47-2 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaeicosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxoundecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

$$Me_3+N$$
 $O$ 
 $P$ 
 $O$ 
 $R$ 
 $O$ 
 $C(CH_2)$   $9$ 
 $Me$ 
 $O$ 
 $R$ 
 $O$ 
 $C(CH_2)$   $9$ 
 $Me$ 

RN 34506-67-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentadecan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 37070-48-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphahentriacontan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxodocosyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 39036-04-9 HCAPLUS

CN 3,5,9-Trioxa-4-phosphahexadecan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxoheptyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 51779-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphahentriacont-22-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(13Z)-1-oxo-13-docosenyl]oxy]-, inner salt, 4-oxide, (7R,22Z)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

Me 
$$_3$$
+N  $_{-0}$   $_{0$ 

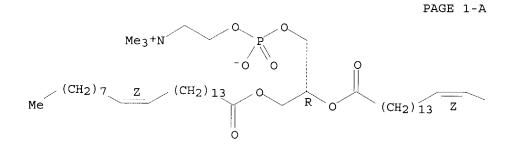
PAGE 1-B

RN 51779-96-5 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatritriacont-24-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(15Z)-1-oxo-15-tetracosenyl]oxy]-, inner salt, 4-oxide, (7R,24Z)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

Double bond geometry as shown.



PAGE 1-B

$$(CH_2)_{7}^{Me}$$

RN 56391-91-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-15-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(6Z)-1-oxo-6-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,15Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry.

Double bond geometry as shown.

PAGE 1-A

Me 
$$^{(CH_2)}_{10}$$
  $^{(CH_2)}_{4}$   $^{(CH_2)}_{4}$   $^{(CH_2)}_{4}$   $^{(CH_2)}_{4}$   $^{(CH_2)}_{4}$   $^{(CH_2)}_{4}$ 

PAGE 1-B

RN 56750-90-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatricos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-tetradecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry.

Double bond geometry as shown.

RN 56782-46-8 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9E)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18E)-(9CI) (CA INDEX NAME)

Absolute stereochemistry.

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 E  $(CH_2)_7$  O  $(CH_2)_7$  E  $(CH_2)_7$   $(CH_2)_7$   $(CH_2)_7$   $(CH_2)_7$ 

PAGE 1-B

\_\_ Me

RN 56815-99-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-hexadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry.

Double bond geometry as shown.

PAGE 1-B

\_\_\_ Me

RN 56816-00-3 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9E)-1-oxo-9-hexadecenyl]oxy]-, inner salt, 4-oxide, (7R,18E)-(9CI) (CA INDEX NAME)

Absolute stereochemistry.

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_5$$
 E  $(CH_2)_7$  O  $(CH_2)_7$  E  $(CH_2)_5$  Me  $_3+N$  O  $_2$  O  $_3$  O  $_4$  O  $_5$  O  $_5$  O  $_5$  O  $_7$  O

PAGE 1-B

\_\_\_Me

RN 61596-53-0 HCAPLUS
CN 3,5,9-Trioxa-4-phosphanonacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxoeicosyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 66414-33-3 HCAPLUS
CN 3,5,9-Trioxa-4-phosphadodecan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-(1-oxopropoxy)-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 66414-34-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatetradecan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxopentyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 70897-27-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphahexacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxoheptadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 71242-28-9 HCAPLUS

CN 3,5,9-Trioxa-4-phosphadocosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxotridecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 72719-83-6 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(1-oxotetradecyl)oxy]- (9CI) (CA INDEX NAME)

RN 76733-52-3 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatricos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9E)-1-oxo-9-tetradecenyl]oxy]-, inner salt, 4-oxide, (7R,18E)-(9CI) (CA INDEX NAME)

Absolute stereochemistry.

Double bond geometry as shown.

RN 91742-11-9 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatritriacontan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxotetracosyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 95416-27-6 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaoctacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10oxo-7-[(1-oxononadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 112241-60-8 HCAPLUS

CN 3,5,9-Trioxa-4-phosphadotriacontan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxotricosyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

Me 
$$(CH_2)_{21}$$
 O O  $(CH_2)_{21}$  Me  $(CH_2)_{21}$ 

RN 137133-79-0 HCAPLUS

CN 3,5,9-Trioxa-4-phosphanonacosen-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(Z)-1-oxoeicosenyl]oxy]-, inner salt, 4-oxide, (7R,?Z)- (9CI) (CA INDEX NAME)

CM 1

CRN 61596-53-0 CMF C48 H96 N O8 P

Absolute stereochemistry.

RN 138915-91-0 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(1-oxohexadecyl)oxy]- (9CI) (CA INDEX NAME)

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9

CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

PAGE 1-B

\_\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

RN 173666-09-6 HCAPLUS CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(1-oxooctadecyl)oxy]- (9CI) (CA INDEX NAME)

RN 253685-28-8 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatriacontan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxoheneicosyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

REFERENCE COUNT:

THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 41 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

3

ACCESSION NUMBER:

1999:753332 HCAPLUS

DOCUMENT NUMBER:

132:9620

TITLE:

Stable envelope proteins for retroviral, viral and liposome vectors and use in gene and drug therapy Albritton, Lorraine M.; Zavorotinskaya, Tatiana

PATENT ASSIGNEE(S):

The University of Tennessee Research Corporation, USA

SOURCE:

PCT Int. Appl., 190 pp. CODEN: PIXXD2

DOCUMENT TYPE:

INVENTOR(S):

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

	PATENT NO.				KI	ND	DATE			APPLICATION NO.					DATE				
	WO	9960110			<b>A</b> 2		19991125			WO 1999-US11155					19990520				
	WO	9960110		A3 20000413				1 111 1311133 1999032											
		W:	ΑE,	AL,	AM,	ΑT,	AU,	ΑZ,	BA,	BB,	BG,	BR,	BY,	CA,	CH.	CN.	CU.	CZ.	
			DE,	DK,	EE,	ES,	FI,	GB,	GD,	GE,	GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,	
			JP,	KE,	KG,	ΚP,	KR,	ΚZ,	LC,	LK,	LR,	LS,	LT,	LU,	LV,	MD,	MG,	MK,	
			MN,	MW,	MX,	NO,	ΝZ,	PL,	PT,	RO,	RU,	SD,	SE,	SG,	SI,	SK,	SL,	TJ,	
			TM,	TR,	TT,	UA,	UG,	UZ,	VN,	YU,	ZA,	ZW,	AM,	AZ,	BY,	KG,	KZ,	MD,	
			RU,	ΤJ,	TM										•		•	•	
		RW:	GH,	GM,	KΕ,	LS,	MW,	SD,	SL,	SZ,	ŪĠ,	ZW,	AT,	BE,	CH,	CY,	DE,	DK,	
			ES,	FI,	FR,	GB,	GR,	ΙE,	IT,	LU,	MC,	NL,	PT,	SE,	BF,	ВJ,	CF,	CG,	
			CI,	CM,	GΑ,	GN,	GW,	ML,	MR,	NΕ,	SN,	TD,	TG				-	•	
		99408	398		A.	1	1999:	1206		AU 1999-40898					19990520				
	US	64483	390		B	1	20020910			US 1999-315127				7	19990520				
PRIORITY APPLN. INFO.: US 1998-86149P P 1998052									0520										
															19990				
ΔR	Thi	g int	zent -	ion -	incli	1000	reti	rostis	C11 C	nzzal	~			4					

AB This invention includes retrovirus envelope mutants into which heterologous peptide or glycopeptide sequences can be linked for expression and stable presentation on retroviral vectors. The envelope mutants are characterized by the ability to restore the target penetration capability that is lost or greatly diminished upon fusion of heterologous

sequences to the wild type envelope protein and the ability to increase the fusion envelope protein stability and decrease envelope shedding from virus particles. The envelope mutants are created by rotating residues in at least one of 7 motifs. The disclosed envelope proteins also can be used in liposome or pseudotype-virus compns. for delivery of agents including nucleic acid mols. Methods of preparing and utilizing these envelope mutants in gene therapy are also described.

IC ICM C12N015-00

CC 3-2 (Biochemical Genetics)

Section cross-reference(s): 1, 10

IT Rhabdoviridae

### Vaccinia virus

(vectors; stable envelope proteins for retroviral, viral and liposome vectors and use in gene and drug therapy)

IT 4004-05-1, Dioleoylphosphatidylethanolamine 25322-68-3

68737-67-7, Dioleoylphosphatidylcholine 144189-73-1,

DOTAP 151736-99-1 250695-61-5

RL: BUU (Biological use, unclassified); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(liposomes containing; stable envelope proteins for retroviral, viral and liposome vectors and use in gene and drug therapy)

IT 68737-67-7, Dioleoylphosphatidylcholine 144189-73-1,

DOTAP

RL: BUU (Biological use, unclassified); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(liposomes containing; stable envelope proteins for retroviral, viral and liposome vectors and use in gene and drug therapy)

RN 68737-67-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (18Z)-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$^{(CH_2)}$$
  $^{7}$   $^{Z}$   $^{(CH_2)}$   $^{7}$ 

PAGE 1-B

\_\_ Me

RN 144189-73-1 HCAPLUS

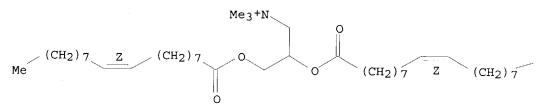
1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, CNmethyl sulfate (9CI) (CA INDEX NAME)

CM1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A



PAGE 1-B

\_\_\_Me

CM2

CRN 21228-90-0 CMF C H3 O4 S

Me- O- SO3 -

L52 ANSWER 42 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

1999:509584 HCAPLUS

DOCUMENT NUMBER:

131:262548

TITLE:

Lamellarity of cationic liposomes and mode of preparation of lipoplexes affect transfection

efficiency

AUTHOR (S):

Zuidam, Nicolaas J.; Hirsch-Lerner, Danielle;

Margulies, Sharon; Barenholz, Yechezkel

CORPORATE SOURCE:

Department of Biochemistry, The Hebrew University-Hadassah Medical School, Jerusalem, 91120,

Israel

SOURCE:

Biochimica et Biophysica Acta (1999), 1419(2), 207-220

CODEN: BBACAQ; ISSN: 0006-3002

PUBLISHER:

Elsevier Science B.V.

DOCUMENT TYPE:

Journal

LANGUAGE:

English

Transfection of NIH-3T3 cells by a human growth hormone expression vector complexed with liposomes composed of N-(1-(2,3-dioleoyloxy)propyl)-N,N,Ntrimethylammonium chloride (DOTAP) with or without helper lipids was studied. The transfection efficiency was dependent on the lamellarity of the liposomes used to prepare the lipoplexes. Multilamellar vesicles (MLV) were more effective than large unilamellar vesicles (LUV) of .apprx.100 nm, irresp. of lipid composition The optimal DNA/DOTAP mole ratio for transfection was  $\leq 0.5$ , at which only 10-30% of DOTAP in the lipoplex is neutralized. Prolonged incubation time of lipoplexes before addition to cells slightly decreased the level of transfection. A major influence on the lipofection level was found when the mode of lipoplex preparation was varied. Mixing plasmid DNA and DOTAP/DOPE (1:1) LUV in two steps instead of one step resulted in a higher lipofection when at the first step the DNA/DOTAP mole ratio was 0.5 than when it was 2.0. Only static light-scattering measurement, which is related to particle size and particle size instability, revealed differences between the lipoplexes as a function of lamellarity of the vesicles (MLV or LUV), mixing order, and number of mixing steps. Other phys. properties of these lipoplexes were dependent only on the DNA/DOTAP mole ratio, i.e. the extent of DOTAP neutralization (as monitored by ionization of the fluorophore 4-heptadecyl-7-hydroxycoumarin) and the extent of defects in lipid organization (as monitored by level of exposure of the fluorophore 1-(4-trimethylammoniumphenyl)-6-phenyl-1,3,5-hexatriene to water). secondary and tertiary structure of DNA in lipoplexes was evaluated by CD spectroscopy. The results of this study point out that the structure of lipoplexes should be physicochem. characterized at two different levels: the macro level, which relates to size and size instability, and the micro level, which relates to the properties described above which are involved in the intimate interaction between the plasmid DNA and the lipids. At the micro level, all parameters are reversible, history-independent and are determined by DNA/DOTAP mole ratio. On the other hand, the macro level (which is the most important for transfection efficiency) is history-dependent and not reversible.

CC 63-5 (Pharmaceuticals)

TT DNZ

RL: BPR (Biological process); BSU (Biological study, unclassified); PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(lamellarity of cationic liposomes and mode of preparation of lipoplexes affect transfection efficiency)

IT Drug delivery systems

(liposomes; lamellarity of cationic liposomes and mode of preparation of lipoplexes affect transfection efficiency)

1T 4004-05-1, Dope 4235-95-4, Dopc 144189-73-1, Dotap
RL: BAC (Biological activity or effector, except adverse); BPR (Biological process); BSU (Biological study, unclassified); PRP (Properties); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses) (lamellarity of cationic liposomes and mode of preparation of lipoplexes affect transfection efficiency)

1T 4235-95-4, Dopc 144189-73-1, Dotap
RL: BAC (Biological activity or effector, except adverse); BPR (Biological process); BSU (Biological study, unclassified); PRP (Properties); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses) (lamellarity of cationic liposomes and mode of preparation of lipoplexes affect transfection efficiency)

RN 4235-95-4 HCAPLUS

3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-

## (9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N

O

O

O

(CH2) 7

Z

(CH2) 7

O

(CH2) 7

PAGE 1-B

\_\_\_Me

RN 144189-73-1 HCAPLUS
CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-,
methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

Me $_3$ +N O (CH $_2$ ) 7 Z (CH $_2$ ) 7 O (CH $_2$ ) 7 Z (CH $_2$ ) 7

PAGE 1-B

\_\_\_Me

```
CM 2
```

CRN 21228-90-0 CMF C H3 O4 S

 $Me^-O^-SO_3$ 

REFERENCE COUNT:

THERE ARE 36 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 43 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

1999:219995 HCAPLUS

DOCUMENT NUMBER:

130:306599

TITLE:

Antisense oligonucleotides capable of binding to multiple targets and their use in the treatment of

respiratory disease

INVENTOR(S):

Nyce, Jonathan W.

PATENT ASSIGNEE(S):

East Carolina University, USA

SOURCE:

PCT Int. Appl., 120 pp. CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

PA	PATENT NO.		KIND DATE					APPLICATION NO.						DATE					
 WO	9913	<b>-</b>		 A:	 L	19990	0325		W	0 19	 98-U	 S194:	19	19980	0917				
,,,	W:		AM.	AT,	ΑŰ,	AZ,	BA,	BB,	BG,	BR,	BY,	CA,	CH,	CN,	CU,	CZ,	DE,		
		DK,	EE,	ES,	FI,	GB,	GE,	GH,	GM,	HR,	HU,	ID,	ΙL,	IS,	JP,	KE,	KG,		
		KP,	KR,	KZ,	LC,	LK,	LR,	LS,	LT,	LU,	LV,	MD,	MG,	MK,	MN,	MW,	MX,		
		NO,	NZ,	PL,	PT,	RO,	RU,	SD,	SE,	SG,	SI,	SK,	SL,	ТJ,	TM,	TR,	TT,		
		UA.	UG,	US,	UZ,	VN,	YU,	ZW,	AM,	ΑZ,	ΒY,	KG,	KΖ,	MD,	RU,	ТJ,	TM		
	RW:	GH,	GM,	KE,	LS,	MW,	SD,	SZ,	UG,	ZW,	ΑT,	BE,	CH,	CY,	DE,	DK,	ES,		
		FI,	FR,	GB,	GR,	ΙE,	IT,	LU,	MC,	NL,	PT,	SE,	BF,	ВJ,	CF,	CG,	CI,		
		CM.	GA.	GN,	GW,	ML,	MR,	NE,	SN,	TD,	TG								
US	2003	0878	<b>4</b> 5	A	1	2003	0508		Ü	S 19	98-9	3972		1998	0609				
CA	2304	312		A	A.	1999	0325		С	A 19	98-2	3043	12	1998	0917				
AU	9893	951		A	1	1999	0405		A	U 19	98-9	3951		1998	0917				
AU	7525	531		B	2	2002													
EF	1019	065		A	1	2000	0719		E	P 19	98-9	4708	9	1998	0917				
	R:	AT,	ВĒ,	CH,	DE,	DK,	ES,	FR,	GB,	GR,	IT,	LI,	LU,	ΝL,	SE,	PT,	ΙE,	FΙ	
BR	9812	2650		Α		2000	0822		В	R 19	98-1	2650		1998	0917				
JF	2003	35174	28	T	2	2003	0527		J	P 20	00-5	1150	6	1998	0917				
PRIORIT	Y API	PLN.	INFO	. :										1997					
									US 1	998-	9397	2	Α	1998	0609				
														1998					
_			1		+	400	a = xx	tri na	000	nenc	ee t	hat	147 i 7 7	l all	OW T	hem	to b	aind	

Antisense oligonucleotides carrying sequences that will allow them to bind to more than one mRNA in a target cell are described. Such oligonucleotides can be used as a single treatment for diseases having more than one contributing pathway. In particular, oligonucleotides effective against genes involved in the etiol. of respiratory disease are targeted. Preferably, the oligonucleotides are low in adenosine (<15%) and may have adenosines substituted with analogs. These

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oligonucleotides are targeted to high (G+C) sequences within mRNAs.
     phosphorothicate antisense oligonucleotide (HAdA1AS, 5'-
     gatggagggcggcatggcggg-3') designed for the adenosine A1 receptor is
     provided. HAdA1AS significantly and specifically reduces the in vivo
     response to adenosine challenge in a dose-dependent manner, is effective
     in protection against aeroallergen-induced bronchoconstriction (house dust
     mite), has an unexpected long-term duration of effect (8.3 days for both
     PC50 adenosine and resistance), and is free of side effects that might be
     toxic to the recipient. Such oligonucleotides may be used for treating a
     disease or condition associated with lung airway, such as
     bronchoconstriction, inflammation, or allergies.
TC
     ICM A61K031-70
     ICS A61K048-00; C07H021-00; C07H021-04; C12N005-10
CC
     1-9 (Pharmacology)
     Section cross-reference(s): 3
TΤ
     Antisense oligonucleotides
     RL: PRP (Properties); THU (Therapeutic use); BIOL (Biological study); USES
     (Uses)
        (MTAs (multiple target antisense); antisense oligonucleotides capable
        of binding to multiple targets and their use in treatment of
        respiratory disease)
IT
    Allergy inhibitors
     Anti-inflammatory agents
    Antiasthmatics
       Drug delivery systems
     Surfactants
        (antisense oligonucleotides capable of binding to multiple targets and
        their use in treatment of respiratory disease)
IT
    5-HT receptors
    Adenosine receptors
    Adrenoceptors
    Androgen receptors
    Bradykinin receptors
      CD34 (antigen)
    Cell adhesion molecules
    Chemokine receptors
    Chemokines
    Cholinergic receptors
    Cyclophilins
    Dopamine receptors
    Enzymes, biological studies
    Estrogen receptors
    Fibronectins
    GABA receptors
    Glucagon receptors
    Growth factors, animal
    Histamine receptors
    Immunoglobulin receptors
    Immunoglobulins
    Insulin receptors
    Interleukin 1
    Interleukin 1 receptors
    Interleukin 11
    Interleukin 1B
    Interleukin 3
    Interleukin 3 receptors
    Interleukin 4
    Interleukin 5
      Interleukin 5 receptors
```

Interleukin 6

Interleukin 8

Interleukin 6 receptors

```
Interleukin 8 receptors
    Interleukin 9
    Interleukin receptors
    Interleukins
      LFA-1 (antigen)
    Macrophage inflammatory protein 1\alpha
    Monocyte chemoattractant protein-1
    Muscarinic receptors
    Neuropeptide receptors
    Neuropeptides
    Neurotransmitters
    Progesterone receptors
    Prostanoid receptors
    RANTES (chemokine)
    Tachykinin receptors
    Thyroid hormone receptors
    Transcription factors
    Transforming proteins
    Tumor necrosis factors
    RL: BPR (Biological process); BSU (Biological study, unclassified); BIOL
     (Biological study); PROC (Process)
        (antisense oligonucleotides capable of binding to multiple targets and
       their use in treatment of respiratory disease)
    Drug delivery systems
IT
        (capsules; antisense oligonucleotides capable of binding to multiple
       targets and their use in treatment of respiratory disease)
    Drug delivery systems
IT
        (sprays; antisense oligonucleotides capable of binding to multiple
       targets and their use in treatment of respiratory disease)
     58-08-2D, Caffeine, oligonucleotides containing 58-55-9D,
IT
                                                                    63-38-7D, CDP,
     Theophylline, oligonucleotides containing
                                                62-49-7, Choline
     compds. with diacylglycerols 69-89-6D, Xanthine,
                                  107-73-3, Choline phosphate 110-85-0D,
     oligonucleotides containing
     Piperazine, oligonucleotides containing, biological studies
                                             519-37-9D, Etophylline,
     Dyphylline, oligonucleotides containing
                                  652-37-9D, Acephylline, oligonucleotides
     oligonucleotides containing
containing
     890-38-0D, 2'-Deoxyinosine, oligonucleotides containing
                                                              987-78-0,
     CDP-choline 2016-63-9D, Bamifylline, oligonucleotides containing
                                                                   5930-94-9D,
     4546-68-3D, 2'-Deoxynebularine, oligonucleotides containing
                                                  6146-52-7D, 5-Nitroindole,
     3-Nitropyrrole, oligonucleotides containing
     oligonucleotides containing
                                 9002-92-0
                                             9002-93-1, Triton X-100
                                                                         25322-68-3
                  26336-38-9D, Poly(vinylamine), dextran and/or alkanoyl side
     25322-69-4
              41078-02-8D, Enprofylline, oligonucleotides containing
     chains
                                  95233-18-4, Atovaquone 99732-49-7,
     oligonucleotides containing
              106392-12-5, Ethylene oxide-propylene oxide block copolymer
     Exosurf
                             126128-35-6D, oligonucleotides containing
     108778-82-1, Survanta
                  191421-10-0D, oligonucleotides containing 222300-73-4
     144189-73-1
                                                            222300-79-0
                                 222300-77-8
                                              222300-78-9
                   222300-76-7
     222300-75-6
                                               222300-83-6 222300-84-7
     222300-80-3
                  222300-81-4
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                                 222300-87-0
     222300-85-8
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                                 222300-94-9
     222300-90-5
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     222301-06-6 222301-07-7
                                 222301-10-2
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                                 222301-16-8
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     222301-19-1 222301-20-4
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     222301-24-8 222301-25-9
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222301-31-7
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     222301-37-3
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                                 222301-39-5
                                                222301-40-8
                                                              222301-41-9
     222301-42-0
                   222301-43-1
                                 222301-44-2
                                                222301-45-3
                                                              222301-46-4
     222301-47-5
                   222301-48-6
                                 222301-49-7
                                                222301-50-0
                                                              222301-52-2
     222301-53-3
                   222301-54-4
                                 222301-55-5
                                                222301-56-6
                                                              222301-57-7
     222301-58-8
                   222301-59-9
                                 222301-62-4
                                                222301-63-5
                                                              222301-64-6
     222301-65-7
                   222301-66-8
                                 222301-67-9
                                                222301-69-1
                                                              222301-73-7
     222301-74-8
                   222301-75-9
                                 222301-76-0
                                                222301-77-1
                                                              222301-78-2
     222301-79-3
                   222301-80-6
                                 222301-81-7
                                               222301-82-8
                                                              222301-83-9
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (antisense oligonucleotides capable of binding to multiple targets and
        their use in treatment of respiratory disease)
IT
     53-43-0, Dehydroepiandrosterone 56-81-5, 1,2,3-Propanetriol, biological
     studies
               57-03-4, Glycerol-3-phosphate 57-04-5, Dihydroxyacetone
     phosphate
                 96-26-4, Dihydroxyacetone 563-24-6, Glycerol
     3-phosphatidylcholine 2644-64-6, Dipalmitoylphosphatidylcholine
     11029-02-0D, Dolichol, compds.
                                     17364-18-0, Palmitoyl~
     Lysophosphatidylcholine
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (surfactant; antisense oligonucleotides capable of binding to multiple
        targets and their use in treatment of respiratory disease)
     58-08-2D, Caffeine, oligonucleotides containing 58-55-9D,
IT
     Theophylline, oligonucleotides containing 69-89-6D, Xanthine,
     oligonucleotides containing 99732-49-7, Exosurf 144189-73-1
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (antisense oligonucleotides capable of binding to multiple targets and
        their use in treatment of respiratory disease)
RN
     58-08-2 HCAPLUS
CN
     1H-Purine-2,6-dione, 3,7-dihydro-1,3,7-trimethyl- (9CI) (CA INDEX NAME)
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RN 58-55-9 HCAPLUS CN 1H-Purine-2,6-dione, 3,7-dihydro-1,3-dimethyl- (9CI) (CA INDEX NAME)

RN 69-89-6 HCAPLUS

CN 1H-Purine-2,6-dione, 3,7-dihydro- (9CI) (CA INDEX NAME)

RN 99732-49-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide, (7R)-, mixt. with formaldehyde polymer with oxirane and 4-(1,1,3,3-tetramethylbutyl)phenol and 1-hexadecanol (9CI) (CA INDEX NAME)

CM 1

CRN 36653-82-4 CMF C16 H34 O

 $HO-(CH_2)_{15}-Me$ 

CM 2

CRN 63-89-8 CMF C40 H80 N O8 P

Absolute stereochemistry. Rotation (+).

CM 3

CRN 25301-02-4

CMF (C14 H22 O . C2 H4 O . C H2 O)x

CCI PMS

CM 4

CRN 140-66-9

CMF C14 H22 O

CM 5

CRN 75-21-8 CMF C2 H4 O

 $\stackrel{\circ}{/}$ 

CM 6

CRN 50-00-0 CMF C H2 O

 $H_2C = O$ 

RN 144189-73-1 HCAPLUS
CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-,
methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

Me $_3$ +N O (CH $_2$ ) 7 Z (CH $_2$ ) 7 O (CH $_2$ ) 7 Z (CH $_2$ ) 7

PAGE 1-B

\_\_ Me

2 CM

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

2644-64-6, Dipalmitoylphosphatidylcholine IT

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (surfactant; antisense oligonucleotides capable of binding to multiple targets and their use in treatment of respiratory disease)

RN2644-64-6 HCAPLUS

3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-CNoxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

REFERENCE COUNT:

THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 44 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

1998:621076 HCAPLUS

DOCUMENT NUMBER:

129:265462

TITLE:

Dry powder formulations of polynucleotide complexes for inhalation delivery to the respiratory tract Szoka, Francis C., Jr.; Rolland, Alain; Wang, Jinkang

INVENTOR(S):

Regents of the University of California, USA

PATENT ASSIGNEE(S): SOURCE:

U.S., 31 pp., Cont.-in-part of U.S. Ser. No. 482,110.

CODEN: USXXAM

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.

KIND DATE

APPLICATION NO. DATE

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                                          US 5811406
                    A
                           19980922
                                          US 1995-482254
                                                          19950609
     US 5972600
                     Α
                           19991026
                                         US 1995-482110
                                                           19950607
     CA 2224156
                      AA
                           19961227
                                          CA 1996-2224156 19960528
     WO 9641873
                                          WO 1996-US7867 19960528
                     Al 19961227
            AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE,
             ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT,
             LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE,
             SG, SI
         RW: KE, LS, MW, SD, SZ, UG, AT, BE, CH, DE, DK, ES, FI, FR, GB, GR,
             IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, ML
     AU 9659382
                      Αl
                           19970109
                                         AU 1996-59382
                                                          19960528
     AU 708179
                           19990729
     EP 836645
                      Α1
                           19980422
                                          EP 1996-916715 19960528
         R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
             IE, FI
     JP 11507922
                      Т2
                           19990713
                                          JP 1997-503085
                                                           19960528
     AU 9921179
                      A1
                           19990513
                                          AU 1999-21179
                                                           19990315
     AU 720187
                      B2
                           20000525
PRIORITY APPLN. INFO.:
                                       US 1995-482110
                                                      A2 19950607
                                       US 1995-485430
                                                       A2 19950607
                                       US 1992-864876
                                                      B2 19920403
                                       US 1992-913669
                                                      B2 19920714
                                       US 1993-92200
                                                       B2 19930714
                                       US 1995-482254 A 19950609
                                       AU 1996-59381
                                                       A3 19960528
                                       WO 1996-US7867
                                                       W 19960528
     Polynucleotide complexes are stabilized by adding a cryoprotectant compound
AΒ
     and lyophilizing the resulting formulation. The lyophilized formulations
     are milled or sieved into a dry powder formulation which may be used to
     deliver the polynucleotide complex. Delivery of the polynucleotide to a
     desired cell tissue is accomplished by contacting the tissue with the
     powder to rehydrate it. In a preferred embodiment, a dry powder
     formulation is used to transfer genetic information to the cells of the
     respiratory tract.
IC
     ICM A61K048-00
NCL
    514044000
CC
     63-5 (Pharmaceuticals)
     Section cross-reference(s): 1, 3
IT
     Polynucleotides
     RL: PEP (Physical, engineering or chemical process); THU (Therapeutic
     use); BIOL (Biological study); PROC (Process); USES (Uses)
        (complexes; dry powder formulations of polynucleotide complexes for
        inhalation delivery to the respiratory tract)
ΙT
    Plasmids
        (lipid complexes; dry powder formulations of polynucleotide complexes
        for inhalation delivery to the respiratory tract)
IT
    Drug delivery systems
        (powders, inhalants; dry powder formulations of polynucleotide
       complexes for inhalation delivery to the respiratory tract)
    57-09-0D, Ctab, polynucleotide complexes 57-88-5D, Cholesterol,
IT
    polynucleotide complexes 124-03-8D, Cetyldimethylethylammonium bromide,
    polynucleotide complexes 2390-68-3D, Ddab, polynucleotide complexes
    2462-63-7D, Dope, polynucleotide complexes 4235-95-4D, Dopc,
    polynucleotide complexes 25496-72-4D, Monooleoylglycerol, polynucleotide
    complexes 104162-48-3D, Dotma, polynucleotide complexes
    124050-78-8D, polynucleotide complexes 144189-73-1D, Dotap,
    polynucleotide complexes 153312-64-2D, Dmrie, polynucleotide
    complexes 168479-03-6D, Dospa, polynucleotide complexes
```

186584-03-2D, Agmatinyl carboxycholesterol acetic acid salt, 186584-05-4D, polynucleotide complexes polynucleotide complexes 186584-09-8D, polynucleotide 186584-07-6D, polynucleotide complexes 186584-14-5D, polynucleotide complexes 186584-17-8D, 186589-60-6D, JK 154, polynucleotide complexes polynucleotide complexes 213478-72-9D, polynucleotide 186743-48-6D, polynucleotide complexes 213478-73-0D, polynucleotide complexes 213478-74-1D, complexes polynucleotide complexes 213478-75-2D, polynucleotide complexes 213478-77-4D, polynucleotide 213478-76-3D, polynucleotide complexes complexes

RL: PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(dry powder formulations of polynucleotide complexes for inhalation

delivery to the respiratory tract)

1T 4235-95-4D, Dopc, polynucleotide complexes 104162-48-3D,
Dotma, polynucleotide complexes 144189-73-1D, Dotap,
polynucleotide complexes 153312-64-2D, Dmrie, polynucleotide
complexes 168479-03-6D, Dospa, polynucleotide complexes
RL: PEP (Physical, engineering or chemical process); THU (Therapeutic
use); BIOL (Biological study); PROC (Process); USES (Uses)

(dry powder formulations of polynucleotide complexes for inhalation delivery to the respiratory tract)

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N

O

O

O

(CH $_2$ ) 7

Z

(CH $_2$ ) 7

O

(CH $_2$ ) 7

Z

(CH $_2$ ) 7

PAGE 1-B

\_\_\_Me

RN 104162-48-3 HCAPLUS CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_8$  O  $(CH_2)_8$  Z  $(CH_2)_7$  Me  $(CH_2)_8$  N  $(CH_2)_7$  Me

• cl-

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)$$
 7  $Z$   $(CH_2)$  7  $Z$   $(CH_2)$  7  $Z$   $(CH_2)$  7  $Z$ 

PAGE 1-B

\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-0-S03-

RN 153312-64-2 HCAPLUS

CN 1-Propanaminium, N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis(tetradecyloxy)-, bromide (9CI) (CA INDEX NAME)

● Br-

RN 168479-03-6 HCAPLUS

CN 1-Propanaminium, N-[2-[[2,5-bis[(3-aminopropyl)amino]-1-oxopentyl]amino]ethyl]-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, salt with trifluoroacetic acid (1:1) (9CI) (CA INDEX NAME)

CM 1

CRN 168479-02-5 CMF C54 H107 N6 O5

Double bond geometry as shown.

PAGE 1-A

PAGE 1-B

(CH<sub>2</sub>)<sub>7</sub>

CM 2

CRN 14477-72-6 CMF C2 F3 O2

C-CO2-

REFERENCE COUNT:

4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 45 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

1998:484928 HCAPLUS

DOCUMENT NUMBER:

129:113548

TITLE:

Pharmaceutical or cosmetic compositions containing

homogeneously charged particulate vector

INVENTOR (S): PATENT ASSIGNEE(S): Betbeder, Didier; Major, Michel Biovector Therapeutics S.A., Fr.

SOURCE:

PCT Int. Appl., 47 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

French

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT	NO.		KI	ND ]	DATE			A.	PPLI	CATI	ON NO	٥.	DATE				
								***************************************									
WO 9829	102		AI 19980709					WO 1997-FR2397					19971223				
W:	AL,	AM,	AT,	AU,	ΑZ,	BA,	BB,	ВG,	BR,	BY,	CA,	CH,	CN,	CU,	CZ,	DE,	
	DK,	EE,	ES,	FI,	GB,	GE,	GH,	GM,	GW,	HU,	ID,	IL,	IS,	JP,	KΕ,	KG,	
	ΚP,	KR,	ΚZ,	LC,	LK,	LR,	LS,	LT,	LU,	LV,	MD,	MG,	MK,	MN,	MW,	MX,	
	NO,	NZ,	PL,	PT,	RO,	RU,	SD,	SE,	SG,	SI,	SK,	SL,	ТJ,	TM,	TR,	TT,	
													MD,				
RW:	GH,	GM,	KE,	LS,	MW,	SD,	SZ,	UG,	ZW,	AT,	BE,	CH,	DE,	DK,	ES,	FI,	
	FR,	GB,	GR,	ΙE,	IT,	LU,	MC,	NL,	PT,	SE,	BF,	ВJ,	CF,	CG,	CI,	CM,	
	GA,	GN,	ML,	MR,	ΝE,	SN,	TD,	TG									

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Α1
                          19980703
                                         FR 1996-16146
                                                         19961227
    FR 2757768
                          19990402
    FR 2757768
                     B1
                          19980731
                                        AU 1998-56688
                                                         19971223
    AU 9856688
                     A1
                          19991006
                                        EP 1997-952990
                                                         19971223
    EP 946153
                     A1
        R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, FI
    JP 2001508425
                      T2
                           20010626
                                         JP 1998-529682
                                                         19971223
PRIORITY APPLN. INFO.:
                                      FR 1996-16146
                                                     A 19961227
                                      WO 1997-FR2397
                                                     W 19971223
```

The invention concerns a particulate carrier comprising a non-liquid hydrophilic nucleus; an amphiphilic lamella characterized in that the nucleus carries a global cationic, anionic or neutral charge and that the amphiphilic lamella carries a global charge of same polarity as that carried by the nucleus. The invention also concerns a pharmaceutical or cosmetic composition or a nutrient additive containing such a vector. Thus, maltodextrin (500 g) was treated with 7 g NaBH4 followed by the reaction with NaOH, 30.25 mL epichlorohydrin and 382.3 g glycidyltrimethylammonium chloride. The resulting gel was diluted with water a and neutralized with HOAC. Nanoparticle carriers were prepared by using the above polysaccharide and a phospholipid.

IC ICM A61K009-51

ICS A61K009-127

CC 63-6 (Pharmaceuticals)

Section cross-reference(s): 17, 33, 62

IT Drug delivery systems

(liposomes; pharmaceutical or cosmetic compns. containing homogeneously charged particulate vector)

IT Drug delivery systems

(nanoparticles; pharmaceutical or cosmetic compns. containing homogeneously charged particulate vector)

IT Analgesics

Anesthetics

Anti-inflammatory agents

Antiasthmatics

Antibacterial agents

Antibiotics

Anticonvulsants

Antidepressants

Antidiabetic agents

Antihistamines

Antimalarials

Antipsychotics

Antitumor agents

Antiviral agents

Anxiolytics

Appetite depressants

Cardiovascular agents

Cosmetics

Fungicides

Hemostatics

Hypnotics and Sedatives

Immunomodulators

Insecticides

Muscarinic antagonists

Surfactants

#### Vaccines

(pharmaceutical or cosmetic compns. containing homogeneously charged particulate vector)

# IT Antigens

```
Ceramides
Fatty acids, biological studies
Glycerides, biological studies
Glycolipids
Hormones, animal, biological studies
Lipids, biological studies
Lipopolysaccharides
Lipoproteins
  Nucleic acids
Nucleosides, biological studies
Nucleotides, biological studies
Oligomers
Oligosaccharides, biological studies
Peptides, biological studies
Phosphatidylcholines, biological studies
Phosphatidylethanolamines, biological studies
Phosphatidylglycerols
Phosphatidylserines
Phospholipids, biological studies
Polymers, biological studies
Polysaccharides, biological studies
Porphyrins
Proteins, general, biological studies
Proteoglycans, biological studies
Steroids, biological studies
Vitamins
RL: BUU (Biological use, unclassified); THU (Therapeutic use); BIOL
(Biological study); USES (Uses)
   (pharmaceutical or cosmetic compns. containing homogeneously charged
   particulate vector)
57-88-5, Cholesterol, biological studies 63-89-8, DPPC
124-30-1, Stearylamine 3036-82-6, Dipalmitoylphosphatidylserine
4537-77-3, Dipalmitoylphosphatidylglycerol
                                              4537-78-4,
Distearoylphosphatidylglycerol 9004-34-6, Cellulose, biological studies
9004-54-0, Dextran, biological studies
                                        9005-25-8, Starch, biological
studies
          9050-36-6D, Maltodextrin, ethers
                                              19698-29-4,
Dipalmitoylphosphatidic acid
                              30170-00-4, Dimyristoylphosphatidic acid
61361-72-6, Dimyristoylphosphatidylglycerol
                                              62700-69-0,
Dioleoylphosphatidylglycerol 137720-22-0D, 1-acylated
144189-73-1, DOTAP
RL: BUU (Biological use, unclassified); THU (Therapeutic use); BIOL
(Biological study); USES (Uses)
   (pharmaceutical or cosmetic compns. containing homogeneously charged
   particulate vector)
63-89-8, DPPC 144189-73-1, DOTAP
RL: BUU (Biological use, unclassified); THU (Therapeutic use); BIOL
(Biological study); USES (Uses)
   (pharmaceutical or cosmetic compns. containing homogeneously charged
   particulate vector)
63-89-8 HCAPLUS
3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-
oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX
NAME)
```

Absolute stereochemistry. Rotation (+).

ΙT

ΙT

RΝ

CN

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

PAGE 1-B

\_\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

REFERENCE COUNT:

5 THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 46 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN ACCESSION NUMBER:

DOCUMENT NUMBER:

1998:378050 HCAPLUS

129:99906

TITLE:

Lipid- and adenoviral-mediated gene transfer into

AIDS-Kaposi's sarcoma cell lines

AUTHOR(S):

Campain, Julie A.; Matassa, Angela A.; Felgner, Philip L.; Barnhart, Kerry M.; Curiel, David T.; Harrison,

Gail S.

CORPORATE SOURCE:

University of Colorado Health Sciences Center, Denver,

CO, 80262, USA

SOURCE:

Cancer Gene Therapy (1998), 5(3), 131-143

CODEN: CGTHEG; ISSN: 0929-1903

PUBLISHER:

Appleton & Lange

DOCUMENT TYPE: LANGUAGE:

Journal English

Kaposi's sarcoma (KS) is the most frequent malignancy occurring in AB HIV-pos. individuals. AIDS-KS is a more aggressive disease than the classical form, frequently having a rapid clin. course with numerous serious complications. Current systemic treatments for KS, such as chemotherapy and the administration of biol. modifiers, are complicated by both the drug resistance of the tumor and the dose-limiting toxicity of the reagents. The relative accessibility of many KS lesions makes the disease a particularly attractive candidate for in vivo gene therapy protocols. In this regard, we are interested in delivering conditionally toxic suicide and/or antiangiogenic vectors to accomplish targeted cell death selectively in AIDS-KS cells. To this end, we examined both cationic lipid- and adenoviral-mediated DNA transfection methods. Using the firefly luciferase reporter gene, we optimized numerous variables known to be important in lipid-mediated DNA transfection, including lipid formulation, the amount of lipid and DNA, lipid/DNA ratio, and cell concentration

Under optimal transfection conditions, .apprx.5-25% of KS cells expressed the introduced DNA sequences. Adenoviral-mediated DNA delivery was more efficient than lipid delivery in 4 of 5 primary KS cell lines. Two of the lines (RW248 and RW376) were transduced by adenovirus at frequencies approaching 100%; two cell lines (CVU-1 and RW80) gave efficiencies of 20-35%. Two immortalized KS cell lines (KS Y-1 and KS SLK) were poorly infected, giving a transduction efficiency of <5%. These findings demonstrate that gene transfer into AIDS-KS cells is feasible, and suggest that vector strategies may be permissive for translating gene therapy approaches for the disease.

63-5 (Pharmaceuticals)

Section cross-reference(s): 1, 3

IT

RL: BPR (Biological process); BSU (Biological study, unclassified); PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(lipid- and adenoviral-mediated gene transfer into AIDS-Kaposi's sarcoma cell lines)

ΙT Drug delivery systems

(liposomes, cationic; lipid- and adenoviral-mediated gene transfer into AIDS-Kaposi's sarcoma cell lines)

68737-67-7, Dioleoylphosphatidylcholine 153312-64-2, IT

DMRIE 158571-62-1, Lipofectamine 182919-20-6

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (lipid- and adenoviral-mediated gene transfer into AIDS-Kaposi's sarcoma cell lines)

IT 68737-67-7, Dioleoylphosphatidylcholine 153312-64-2. DMRIE 158571-62-1, Lipofectamine 182919-20-6

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (lipid- and adenoviral-mediated gene transfer into AIDS-Kaposi's sarcoma cell lines)

68737-67-7 HCAPLUS RN

3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-CN10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (18Z)-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-B

\_\_\_Me

RN153312-64-2 HCAPLUS 1-Propanaminium, N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis(tetradecyloxy)-, CNbromide (9CI) (CA INDEX NAME)

● Br -

158571-62-1 HCAPLUS RN

1-Propanaminium, N-[3-[[3-[[4-[(3-aminopropyl)amino]butyl]amino]propyl]ami CNno]-3-oxopropyl]-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, salt with trifluoroacetic acid (1:1), mixt. with 1-[[[(2aminoethoxy) hydroxyphosphinyl]oxy] methyl]-1,2-ethanediyl di-(9Z)-9-octadecenoate (9CI) (CA INDEX NAME)

CM 1 CRN 2462-63-7 CMF C41 H78 N O8 P

Double bond geometry as shown.

PAGE 1-A H<sub>2</sub>N 
$$\stackrel{O}{\longrightarrow}$$
  $\stackrel{O}{\longrightarrow}$   $\stackrel{O}{\longrightarrow}$   $\stackrel{C(CH_2)}{\longrightarrow}$   $\stackrel{C(CH_2)}{\longrightarrow}$ 

PAGE 1-B

\_\_\_Me

CM 2

CRN 185097-43-2

CMF C54 H106 N5 O5 . C2 F3 O2

CM 3

CRN 181508-68-9 CMF C54 H106 N5 O5

Double bond geometry as shown.

PAGE 1-B

CM 4

CRN 14477-72-6 CMF C2 F3 O2

RN 182919-20-6 HCAPLUS

CN 1-Propanaminium, N-(3-aminopropyl)-2,3-bis(dodecyloxy)-N,N-dimethyl-, bromide (9CI) (CA INDEX NAME)

● Br-

REFERENCE COUNT:

54 THERE ARE 54 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 47 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

1998:229461 HCAPLUS

DOCUMENT NUMBER:

129:19588

TITLE:

Structural requirements for cationic lipid mediated phosphorothioate oligonucleotides delivery to cells in

culture

AUTHOR(S):

Bennett, C. F.; Mirejovsky, D.; Crooke, R. M.; Tsai, Y. J.; Felgner, J.; Sridhar, C. N.; Wheeler, C. J.;

Felgner, P. L.

CORPORATE SOURCE:

SOURCE:

ISIS Pharmaceuticals, Carlsbad, CA, 92008, USA Journal of Drug Targeting (1998), 5(3), 149-162

CODEN: JDTAEH; ISSN: 1061-186X

PUBLISHER:

Harwood Academic Publishers

```
DOCUMENT TYPE:
                         Journal
LANGUAGE:
                         English
     A series of 2,3-dialkyloxypropyl quaternary ammonium lipids containing
     hydroxyalkyl chains on the quaternary amine were synthesized, formulated
     with dioleoylphosphatidylethanolamine (DOPE) and assayed for their ability
     to enhance the activity of an intercellular adhesion mol. 1 (ICAM-1)
     antisense oligonucleotide, ISIS 1570. Cationic liposomes prepared with
     hydroxyethyl, hydroxypropyl, and hydroxybutyl substituted cationic lipid
     all enhanced the activity of the ICAM-1 antisense oligonucleotide.
     Cationic lipids containing hydroxypentyl quaternary amines only marginally
     enhanced the activity of ISIS 1570. Hydroxyethyl cationic lipids
     synthesized with dimyristyl (C14:0) and dioleyl (C18:1) alkyl chains were
     equally effective. Activity of cationic lipids containing saturated alkyl
groups
     decreased as the chain length increased, i.e. the dimyristyl (C14:0) was
     more effective than dipalmityl (C16:0) lipid, which was more effective
     than distearyl (C18:0). The phase transition temperature of cationic lipids
     containing saturated aliphatic chains was 56 for the distearyl lipid, 42 for
the
     dipalmityl lipid, and 24° for the dimyristyl lipid. Cationic
     lipids with dioleyl alkyl chains required DOPE for activity, with optimal
     activity occurring at 50 mol%. In contrast, a dimyristyl containing cationic
     lipid did not require DOPE to enhance the activity of ISIS 1570.
     Formulation with different phosphatidylethanolamine derivs., revealed that
     optimal activity was obtained with DOPE. These studies demonstrate that
     several cationic lipid species enhance the activity of phosphorothioate
     antisense oligonucleotides and provide further information on the
     mechanism by which cationic lipids enhance the activity of
    phosphorothicate oligodeoxynucleotides.
CC
     63-5 (Pharmaceuticals)
     Section cross-reference(s): 1
IT
    Drug delivery systems
        (liposomes; structural requirements for cationic liposome mediated
        phosphorothicate oligonucleotides delivery to cells)
     Antisense oligonucleotides
IT
     Phosphatidylethanolamines, biological studies
    RL: BSU (Biological study, unclassified); BIOL (Biological study)
        (structural requirements for cationic liposome mediated
       phosphorothicate oligonucleotides delivery to cells)
     2462-63-7, DOPE 4235-95-4, DOPC 20255-95-2,
IT
     Dimyristoylphosphatidylethanolamine 104162-48-3, DOTMA
     109908-95-4 119113-07-4 153312-64-2, DMRIE
     153985-22-9, DORIE 165467-64-1, DORI 207602-65-1
     207602-66-2 207602-67-3
    RL: BAC (Biological activity or effector, except adverse); BSU (Biological
    study, unclassified); PRP (Properties); BIOL (Biological study)
        (structural requirements for cationic liposome mediated
       phosphorothioate oligonucleotides delivery to cells)
     4235-95-4, DOPC 104162-48-3, DOTMA 109908-95-4
ΙT
     119113-07-4 153312-64-2, DMRIE 153985-22-9,
    DORIE 165467-64-1, DORI 207602-65-1
     207602-66-2 207602-67-3
    RL: BAC (Biological activity or effector, except adverse); BSU (Biological
    study, unclassified); PRP (Properties); BIOL (Biological study)
        (structural requirements for cationic liposome mediated
```

3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-

10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-

phosphorothicate oligonucleotides delivery to cells)

RN

CN

4235-95-4 HCAPLUS

## (9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A

Me<sub>3</sub>+N  $(CH_2)_7$  Z  $(CH_2)_7$  Z  $(CH_2)_7$  Z  $(CH_2)_7$  Z  $(CH_2)_7$  Z  $(CH_2)_7$ 

PAGE 1-B

\_\_\_Me

RN 104162-48-3 HCAPLUS
CN 1-Propanaminium, N.N.N-trimethyl-2,3-bis((9Z)-9-octadece

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_8$  O  $(CH_2)_8$  Z  $(CH_2)_7$  Me  $(CH_2)_7$  Me

● cl -

RN 109908-95-4 HCAPLUS

CN 1-Propanaminium, N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis[(1-oxohexadecyl)oxy]-, bromide (9CI) (CA INDEX NAME)

• Br-

RN 119113-07-4 HCAPLUS

CN 1-Propanaminium, N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis[(1-oxooctadecyl)oxy]-, bromide (9CI) (CA INDEX NAME)

● Br -

RN 153312-64-2 HCAPLUS

CN 1-Propanaminium, N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis(tetradecyloxy)-, bromide (9CI) (CA INDEX NAME)

• Br-

RN 153985-22-9 HCAPLUS

CN 1-Propanaminium, N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]- (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me Me

$$(CH_2)_7$$
 $Z$ 
 $(CH_2)_7$ 
 $Z$ 
 $(CH_2)_7$ 
 $Z$ 
 $(CH_2)_7$ 
 $Z$ 
 $(CH_2)_7$ 

PAGE 1-B

\_\_\_Me

RN 165467-64-1 HCAPLUS

CN 1-Propanaminium, N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, iodide (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me Me Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

• I-

PAGE 1-B

\_\_\_Me

RN 207602-65-1 HCAPLUS

CN 1-Propanaminium, N-(3-aminopropyl)-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, bromide (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me Me Me 
$$H_2N$$
  $(CH_2)_3$   $+$   $O$   $(CH_2)_7$   $Z$   $(CH_2)_7$   $Z$   $(CH_2)_7$   $Z$   $(CH_2)_7$ 

• Br-

PAGE 1-B

\_\_ Me

RN 207602-66-2 HCAPLUS

CN 1-Butanaminium, 4-amino-N-[2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]propyl]-N,N-dimethyl-, bromide (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me Me 
$$H_2N$$
  $(CH_2)_4$   $+$   $O$   $(CH_2)_7$   $Z$   $(CH_2)_7$   $O$   $O$   $(CH_2)_7$   $O$   $(CH$ 

• Br-

PAGE 1-B

\_\_\_Me

RN 207602-67-3 HCAPLUS

CN 1-Pentanaminium, 5-amino-N-[2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]propyl]-N,N-dimethyl-, bromide (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me Me Me 
$$H_2N$$
  $(CH_2)_{5}^{N}$   $(CH_2)_{7}^{N}$   $(CH_2)_{7}^{N}$   $(CH_2)_{7}^{N}$   $(CH_2)_{7}^{N}$   $(CH_2)_{7}^{N}$   $(CH_2)_{7}^{N}$   $(CH_2)_{7}^{N}$ 

• Br-

PAGE 1-B

\_\_\_ Me

L52 ANSWER 48 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

1998:207280 HCAPLUS

DOCUMENT NUMBER:

128:275101

TITLE:

Gas and gaseous precursor filled microspheres as

topical and subcutaneous delivery vehicles

INVENTOR(S):

Unger, Evan C.; Matsunaga, Terry O.; Yellowhair, David

PATENT ASSIGNEE(S): Imarx Pharmaceutical Corp., USA

SOURCE:

U.S., 40 pp., Cont.-in-part of U.S. Ser. No. 307,305.

CODEN: USXXAM

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LANGUAGE:

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PATENT NO.	KIND DATE		APPLICATION NO. US 1994-346426	DATE			
IIS 5733572	Δ 19980331		IIS 1994-346426	19941129			
IIS 5088499	Δ 19920218		US 1990-569828	19900820			
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                                                        A3 19960618
                                       US 1997-785661
                                                        B2 19970117
    Gas and gaseous precursor filled microspheres, and foams provide novel
AΒ
     topical and s.c. delivery vehicles for various active ingredients,
     including drugs and cosmetics. Gas and gaseous precursor filled
    microcapsules were prepared from dipalmitoylphosphatidylcholine.
    ICM A61K009-127
IC
NCL 424450000
     63-6 (Pharmaceuticals)
CC
     Section cross-reference(s): 62
IT
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    Alcohols, biological studies
    Alkanes, biological studies
    Allergy inhibitors
Amines, biological studies
    Anthocyanins
    Anti-inflammatory agents
    Antibacterial agents
    Antibiotics
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    Antioxidants
      Antisense oligonucleotides
    Antiviral agents
    Bentonite, biological studies
    Buffers
    Canola oil
    Carbohydrates, biological studies
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Cardiovascular agents

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Chelating agents
Collagens, biological studies
Coloring materials
Corn oil
Cosmetics
  DNA
Diuretics
Dystrophin
Elastins
Enkephalins
Enzymes, biological studies
Essential oils
Esters, biological studies
Fatty acids, biological studies
Fluoropolymers, biological studies
Foaming agents
Fungicides
Gases
Gene, animal
Glycolipids
Glycols, biological studies
Growth factors, animal
Hormones, animal, biological studies
Immunosuppressants
Lipids, biological studies
Micelles
Olive oil
Peanut oil
Peptides, biological studies
Perfluorocarbons
Petrolatum
Phosphatidic acids
Phosphatidylcholines, biological studies
Phosphatidylethanolamines, biological studies
Phosphatidylglycerols
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Phospholipids, biological studies
Polyamides, biological studies
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Polyoxyalkylenes, biological studies
Polysaccharides, biological studies
Polyurethanes, biological studies
Preservatives
Protozoacides
Quaternary ammonium compounds, biological studies
Radionuclides, biological studies
Safflower oil
Sphingolipids
Sulfatides
Sulfoxides
Terpenes, biological studies
Tocopherols
Tuberculostatics
Vitamins
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
   (gas and gaseous precursor filled microspheres as topical and s.c.
   delivery vehicles)
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IT Drug delivery systems

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (microcapsules; gas and gaseous precursor filled microspheres as topical and s.c. delivery vehicles)

IT Drug delivery systems

ΤT

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (ointments; gas and gaseous precursor filled microspheres as topical and s.c. delivery vehicles)

50-02-2, Dexamethasone 50-03-3, Hydrocortisone acetate 50-04-4, Cortisone acetate 50-23-7, Hydrocortisone 50-24-8 50-33-9, Phenylbutazone, biological studies 50-56-6, Oxytocin, biological studies 50-70-4, Sorbitol, biological studies 50-78-2, Aspirin 50-81-7, Ascorbic acid, biological studies 51-05-8, Procaine hydrochloride 52-21-1 52-67-5, Penicillamine 53-03-2, 51-34-3, Scopolamine 53-36-1, Methylprednisolone acetate 53-86-1, Indomethacin Prednisone 54-05-7, Chloroquine 54-11-5, Nicotine 54-85-3, Isoniazid Chloramphenicol 56-81-5, 1,2,3-Propanetriol, biological studies 57-09-0, Cetyltrimethylammonium bromide 57-11-4, Octadecanoic acid, biological studies 57-13-6, Urea, biological studies 57-15-8, Chlorobutanol 57-55-6, 1,2-Propanediol, biological studies Cholesterol, biological studies 58-08-2, Caffeine, biological 59-02-9,  $\alpha$ -Tocopherol 60-00-4, Edta, biological studies studies 59-02-9, α-Tocopherol 60-00-4, Edta, biological studies 60-54-8, Tetracycline 61-32-5, Methicillin 61-33-6, Penicillin g, biological studies 61-68-7, Mefenamic acid 64-17-5, Ethanol, biological studies 65-49-6, p-Aminosalicylic acid 65-85-0, Benzoic acid, biological studies 66-79-5, Oxacillin 67-43-6, DTPA 67-56-1 Methanol, biological studies 67-68-5, Dmso, biological studies 67-78-7, Triamcinolone diacetate 68-19-9D, Cyanocobalamin, derivs. 68-41-7, Cycloserine 69-53-4, Ampicillin 69-72-7, Salicylic acid, biological studies 73-78-9, Lidocaine hydrochloride 74-88-4, Iodomethane, biological studies 74-98-6, Propane, biological studies 75-10-5, Difluoromethane 75-18-3, Methyl sulfide 75-00-3, Chloroethane 75-19-4, Cyclopropane 75-19-4, Cyclopropane 75-28-5, Isobutane 75-29-6, 2-Chloropropane 75-31-0, 2-Aminopropane, biological studies 75-34-3, 1,1-Dichloroethane 75-43-4, Dichlorofluoromethane 75-45-6, Chlorodifluoromethane 75-46-7, Trifluoromethane 75-56-9, biological studies 75-61-6, Dibromodifluoromethane 75-63-8, Bromotrifluoromethane 75-69-4, Trichlorofluoromethane 75-71-8, Dichlorodifluoromethane 75-72-9, Trichlorofluoromethane 75-71-8, Dichlorodifluoromethane 75-73-0, Tetrafluoromethane 76-13-1, 1,1,2-Trichloro-1,2,2-trifluoroethane 76-15-3, 1-Chloro-1,1,2,2,2-pentafluoroethane 76-16-4, Hexafluoroethane 76-19-7, Perfluoropropane 76-25-5, Triamcinolone acetonide 77-92-9, Citric acid, biologi studies 78-78-4, 2-Methylbutane 78-79-5, biological studies 77-92-9, Citric acid, biological 79-81-2, Retinol palmitate 80-08-0 83-43-2, Methylprednisolone 87-08-1, Penicillin v 87-73-0, Saccharic acid 93-60-7, Methyl 94-14-4, Isobutyl p-aminobenzoate 94-26-8, Butylparaben nicotinate 95-80-7, 2,4-Diaminotoluene 96-40-2, 3-Chlorocyclopentene 96-49-1, 1,3-Dioxolan-2-one 98-96-4, Pyrazinamide 99-76-3, Methylparaben 100-51-6, Benzyl alcohol, biological studies 102-71-6, biological studies 103-41-3, Benzyl cinnamate 106-98-9, 1-Butene, biological studies 106-99-0, 1,3-Butadiene, biological studies 107-00-6, 1-Butyne 107-01-7, 2-Butene 107-25-5, Methyl vinyl ether 107-41-5, Hexylene glycol 108-95-2, Phenol, biological studies 109-66-0, n-Pentane, biological studies 109-67-1, 1-Pentene 109-92-2, Ethyl vinyl ether 109-93-3 110-27-0, Isopropyl myristate 110-44-1, Sorbic acid 111-02-4, Squalene 111-42-2, biological studies 112-30-1, 1-Decanol 112-53-8, 1-Dodecanol 112-72-1, Myristyl alcohol 112-80-1, 9-Octadecenoic acid (Z)-, biological studies 112-92-5, n-Octadecyl alcohol 114-07-8, Erythromycin 115-10-6, Methyl ether 115-25-3,

Octafluorocyclobutane 118-42-3, Hydroxychloroquine 118-58-1, Benzyl salicylate 121-54-0, Benzethonium chloride 122-18-9, Benzyldimethyl hexadecylammonium chloride 122-57-6, 4-Phenyl-3-butene-2-one 124-03-8, Cetyldimethylethylammonium bromide 124-38-9, Carbon dioxide, biological studies 124-40-3, Dimethylamine, biological studies 124-94-7, Triamcinolone 125-02-0, Prednisolone sodium phosphate 125-04-2, Hydrocortisone sodium succinate 126-07-8, Griseofulvin 126-18-1, Smilagenin 126-19-2, Sarsasapogenin 129-20-4, Oxyphenbutazone 130-95-0, Quinine 133-51-7, Meglumine antimonate 136-47-0, Tetracaine hydrochloride 137-66-6, Ascorbyl palmitate 139-07-1, Benzyldimethyldodecylammonium chloride 139-08-2, Benzyldimethyl tetradecylammonium chloride 140-72-7, Cetylpyridinium bromide 141-43-5, biological studies 143-28-2, Oleyl alcohol 143-62-4, Digitoxigenin 147-52-4, Nafcillin 151-21-3, Sodium lauryl sulfate, biological studies 151-73-5, Betamethasone sodium phosphate 154-21-2, Lincomycin 287-23-0, Cyclobutane 302-79-4, Retinoic acid 334-99-6, Nitrosotrifluoromethane 335-02-4, Nitrotrifluoromethane 335-05-7, Trifluoromethanesulfonyl fluoride 335-57-9, Perfluoroheptane 338-65-8, 2-Chloro-1,1-difluoroethane 350-51-6, 3-Fluorostyrene 353-36-6, Fluoroethane 353-85-5, Trifluoroacetonitrile 353-87-7, Bromodifluoronitrosomethane 354-25-6, 1-Chloro-1,1,2,2-tetrafluoroethane 354-72-3, Nitrosopentafluoroethane 354-80-3, Perfluoroethylamine 354-81-4, Nitropentafluoroethane 355-25-9, Decafluorobutane Perfluorohexane 357-26-6, Perfluoro-1-butene 359-35-3, 1,1,2,2-Tetrafluoroethane 360-89-4, Perfluoro-2-butene 371-67-5, 1,1,1-Trifluorodiazoethane 371-77-7 371-78-8, Trifluoromethyl sulfide 373-52-4, Bromofluoromethane 374-07-2, 1,1-Dichloro-1,2,2,2tetrafluoroethane 376-87-4, Perfluoropent-1-ene 378-44-9, Betamethasone 420-45-1, 2,2-Difluoropropane 420-46-2, 1,1,1-Trifluoroethane 421-56-7, Chlorodifluoronitromethane 421-83-0, Trifluoromethanesulfonyl chloride 423-26-7, Heptafluoro-1-nitrosopropane 423-33-6, Propane, 1,1,1,2,2,3,3,heptafluoro-3-nitro- 430-53-5, 1,1-Dichloro-2-fluoroethane 435-97-2, Phenprocoumon 443-48-1, Metronidazole 460-12-8, Butadiyne 460-13-9, 1-Fluoropropane 461-68-7, Tetrafluoroallene 463-49-0, Allene 463-58-1, Carbonyl sulfide 463-82-1, Neopentane 465-65-6, Naloxone 465-99-6, 482-54-2, Cyclohexanediaminetetraacetic acid 503-17-3, 2-Butyne 508-02-1, Oleanolic acid 508-99-6, Hydrocortisone cypionate 514-36-3, Fludrocortisone acetate 521-13-1, Cholesterol butyrate 526-95-4, Gluconic acid 532-32-1, Sodium benzoate 536-33-4, Ethionamide 540-54-5, 1-Chloropropane 547-64-8, Methyl lactate 555-43-1, Glycerol tristearate 555-44-2, Glycerol tripalmitate 555-45-3, Glycerol trimyristate 559-40-0, Octafluorocyclopentene 563-45-1, 3-Methyl-1-butene 563-46-2, 2-Methyl-1-butene 582-25-2, Potassium benzoate 590-19-2, 1,2-Butadiene 591-93-5, 1,4-Pentadiene 593-53-3, Fluoromethane 593-70-4, Chlorofluoromethane 593-98-6, Bromochlorofluoromethane 594-11-6, Methylcyclopropane 598-23-2, 3-Methyl-1-butyne 598-53-8, Methyl iso-propyl ether 598-56-1 598-61-8, Methylcyclobutane 601-34-3, Cholesterol palmitate 623-84-7, Propylene glycol diacetate 624-72-6, 1,2-Difluoroethane 624-91-9, Methyl nitrite 625-04-7, 4-Amino-4-methylpentan-2-one 632-58-6, Tetrachlorophthalic acid 644-62-2 661-54-1, 3,3,3-Trifluoropropyne 661-97-2, 1,1,1,2,3,3-Hexafluoro-2,3 dichloropropane 677-56-5, 1,1,1,2,2,3-Hexafluoropropane 678-26-2, Perfluoropentane 684-16-2, Hexafluoro acetone 685-63-2, Hexafluoro-1,3-butadiene 689-97-4, Vinyl 692-50-2, Perfluoro-2-butyne 697-11-0, Perfluorocyclobutene acetylene 767-00-0, 4-Cyanophenol 768-94-5, Amantadine 822-16-2, Sodium stearate 921-13-1, Chlorodinitromethane RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

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9005-38-3, Sodium alginate
                          9005-49-6, Heparin, biological studies
9005-64-5, Polysorbate 20 9005-65-6, Polysorbate 80 9005-66-7,
               9005-67-8, Polysorbate 60 9005-79-2, Glycogen,
Polysorbate 40
                  9005-82-7, Amylose 9007-12-9, Calcitonin
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9007-27-6, Chondroitin 9007-92-5, Glucagon, biological studies
9011-14-7, Polymethylmethacrylate 9011-97-6, Cholecystokinin
9012-36-6, Agarose 9012-72-0, Glucan 9013-95-0, Levan 9014-63-5,
Xylan 9026-93-1, Adenosine deaminase 9034-40-6, Luteinizing hormone
releasing hormone 9035-81-8, Trypsin inhibitor 9036-88-8, Mannan
9037-22-3, Amylopectin 9037-55-2, Galactan 9037-90-5, Fructan
                                                            9057-02-7,
9046-38-2, Galacturonan 9046-40-6, Pectic acid 9050-04-8
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Nitrous oxide, biological studies 10549-91-4 11103-57-4, Vitamin a
11138-66-2, Xanthan gum 12001-79-5, Vitamin k 13264-41-0,
Cetyldimethylethylammonium chloride 13292-46-1, Rifampin
                                                         15686-71-2,
Cephalexin 15687-27-1, Ibuprofen 17435-78-8, Cholesterol glucuronide
18010-40-7, Bupivacaine hydrochloride 18323-44-9, Clindamycin
18656-38-7, Dimyristoylphosphatidylcholine 18656-40-1,
Dilauroylphosphatidylcholine 18773-88-1, Benzyldimethyl
tetradecylammonium bromide 19247-09-7 19600-01-2, Ganglioside gm 2
20947-95-9
            22204-53-1, Naproxen 22494-42-4, Diflunisal 22916-47-8,
            24521-77-5 24634-61-5, Potassium sorbate 24764-97-4,
Miconazole
2-Bromobutyraldehyde 24937-47-1, Polyarginine 25038-59-9, Pet,
biological studies 25104-18-1, Polylysine 25212-18-4, Polyarginine
25322-68-3 25322-69-4, Polypropylene glycol 26023-30-3,
Poly[oxy(1-methyl-2-oxo-1,2-ethanediyl)] 26100-51-6, Polylactic acid
26171-23-3, Tolmetin 26266-57-9, Sorbitan monopalmitate 26787-78-0,
Amoxicillin 27070-61-7, Hexafluoropropane
                                          29593-08-6 30516-87-1,
Azidothymidine 31362-50-2, Bombesin 31566-31-1, Glyceryl monostearate
33735-55-6 34077-87-7, Dichlorotrifluoroethane 34787-01-4, Ticarcillin
35602-69-8, Cholesterol stearate 36322-90-4, Piroxicam 36637-19-1,
Etidocaine hydrochloride 36653-82-4, Cetyl alcohol 36791-04-5,
Ribavirin 37266-93-6, Sucrose laurate 37318-31-3, Sucrose stearate
37330-34-0 37331-28-5, Pustulan 37377-93-8, β-Lipotropin
37758-47-7, Ganglioside gml 38000-06-5, Polylysine 38194-50-2,
Sulindac 38821-53-3, Cephradine 39300-95-3, Sucrose palmitate
39422-22-5, γ-Lipotropin 50370-12-2, Cefadroxil
                                                50402-72-7,
2,3,6-Trimethylpiperidine 50972-17-3, Bacampicillin 53563-63-6,
Glycerol dimyristate 53994-73-3, Cefaclor 57223-18-4, 1-Nonen-3-yne
57916-92-4, Carbomer 934p 59227-89-3, Azone 59277-89-3, Acyclovir
60095-23-0 60495-58-1, Galactocarolose 64612-25-5, Fucan 65277-42-1,
Ketoconazole 67382-96-1, Melanin concentrating hormone
67896-63-3, Dipentadecanoylphosphatidylcholine 68302-57-8,
Amlexanox 68354-92-7 68354-99-4 68737-67-7,
Dioleoylphosphatidylcholine 69992-87-6, Keratan
                                                73294-85-6
75634-40-1, Dermatan 76822-97-4 79217-60-0, Cyclosporin 98023-09-7
106392-12-5, Poloxamer 108173-78-0 109144-61-8 113669-21-9
116632-15-6, 1,2,3-Nonadecane-tricarboxylic acid-2-hydroxytrimethylester
                          127512-30-5, Cholesteryl(4'-
117076-33-2 118248-91-2
trimethylammonio) butanoate
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
   (gas and gaseous precursor filled microspheres as topical and s.c.
  delivery vehicles)
132172-61-3
            161293-59-0
                          161441-83-4
                                        172261-50-6
172261-51-7
            172261-52-8
                          172261-53-9
                                       172261-54-0
                                                     172261-55-1
            172261-57-3 172261-58-4 173855-10-2
172261-56-2
                                                     186198-32-3
205645-72-3
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
   (gas and gaseous precursor filled microspheres as topical and s.c.
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ΤТ

delivery vehicles)

IT 58-08-2, Caffeine, biological studies 2644-64-6, Dipalmitoylphosphatidylcholine 4539-70-2, Distearoylphosphatidylcholine 18656-38-7, Dimyristoylphosphatidylcholine 18656-40-1, Dilauroylphosphatidylcholine 67896-63-3,

Dipentadecanoylphosphatidylcholine 68737-67-7,

Dioleoylphosphatidylcholine 113669-21-9 132172-61-3

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (gas and gaseous precursor filled microspheres as topical and s.c.

delivery vehicles)

58-08-2 HCAPLUS RN

1H-Purine-2,6-dione, 3,7-dihydro-1,3,7-trimethyl- (9CI) (CA INDEX NAME) CN

2644-64-6 HCAPLUS RN

3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-CNoxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN4539-70-2 HCAPLUS

3,5,9-Trioxa-4-phosphaheptacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10oxo-7-[(1-oxooctadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 18656-38-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatricosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxotetradecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 18656-40-1 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheneicosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxododecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 67896-63-3 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatetracosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxopentadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 68737-67-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (18Z)-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-B

\_\_\_Me

RN 113669-21-9 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]- (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$  Z

PAGE 1-B

\_\_\_ Me

RN 132172-61-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N O (CH $_2$ ) 7 Z (CH $_2$ ) 7 O (CH $_2$ ) 7 Z (CH $_2$ ) 7

● cl-

PAGE 1-B

\_\_ Me

REFERENCE COUNT:

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Major limitations in the use of cationic liposomes for

DNA delivery

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CORPORATE SOURCE:

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Liposomal vectors formulated with cationic lipids and then fusogenic phospholipid dioleyolphosphatidylethanolamine (DOPE) are usually used to target DNA inside mammalian cells. Since macrophages constitute the major site of liposome localization after parenteral administration we felt it prudent to examine the effect of cationic liposomes on the production of several important immuno-inflammatory modulators secreted by activated macrophages. In addition, we have evaluated the toxicity of different cationic liposome formulations towards phagocytic macrophages and non-phagocytic T-lymphocytes. Our results indicate that cationic liposomes are able to down-regulate the synthesis of the protein kinase C (PKC)-dependent mediators nitric oxide (NO), tumor necrosis factor- $\alpha$  $(TNF-\alpha)$  and prostaglandin E2 (PGE2) by activated macrophages after in vitro incubation under non-toxic conditions or after in vivo treatment, while the production of PKC-independent IL-6 is not modified. We have shown that cationic lipids possess potent anti-inflammatory activity in vivo.

Prolonged incubation (>3 h) of macrophages with cationic liposomes induced a high level of toxicity (ED50<50 nmol/mL) that was not seen with non-phagocytic T-cells (ED50>1000 nmol/mL). The rank order of toxicity was DOPE/dimethyldioctadecylammonium bromide (DDAB)>DOPE/dioleoyltrimethyl ammoniumpropane (DOTAP)=DOPE/dimethylaminoethanecarbamoyl cholesterol (DC-Chol)>DOPE/dimyristoyltrimethylammonium propane. The replacement of DOPE by dipalmitoylphosphatidylcholine (DPPC) or the incorporation of dipamitoylphosphatidylethanolamine-PEG2000 (DPPE-PEG2000) in DOPE/cationic lipids reduced the toxicity toward macrophages and restored the synthesis of PKC-dependent modulators. The incorporation of DNA, either as an antisense oligonucleotide (15-mers) or as the plasmid vector pBR322 (4363 bp), in cationic liposomes did not reduce these adverse effects. These results, in addition to the observation that cationic liposomes are extremely toxic following oral administration indicate that DOPE/cationic lipid liposomes are not appropriate for DNA (or drug) delivery.

CC 63-5 (Pharmaceuticals)

## IT Drug delivery systems

(liposomes, cationic; major limitations in the use of cationic liposomes for DNA delivery)

IT DNA

IT

RL: BSU (Biological study, unclassified); BIOL (Biological study)

(major limitations in the use of cationic liposomes for DNA delivery)

IT 63-89-8, Dipalmitoylphosphatidylcholine 3700-67-2,

Dimethyldioctadecylammonium bromide 25322-68-3D, reaction products with dipalmitoylphosphatidylethanolamine 72719-83-6

**113669-21-9** 137056-72-5 145035-97-8D, ethoxylated

RL: MOA (Modifier or additive use); PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(major limitations in the use of cationic liposomes for DNA delivery) 63-89-8, Dipalmitoylphosphatidylcholine 72719-83-6

#### 113669-21-9

RL: MOA (Modifier or additive use); PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(major limitations in the use of cationic liposomes for DNA delivery)

RN 63-89-8 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+).

Me 
$$(CH_2)_{14}$$
 O O O  $(CH_2)_{14}$  Me  $(CH_2)_{14}$ 

RN 72719-83-6 HCAPLUS

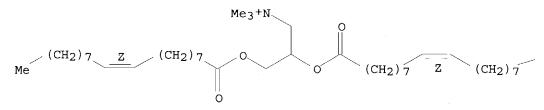
CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(1-oxotetradecyl)oxy]- (9CI) (CA INDEX NAME)

RN113669-21-9 HCAPLUS

1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-CN(9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A



PAGE 1-B

\_\_\_Me

REFERENCE COUNT: THERE ARE 44 CITED REFERENCES AVAILABLE FOR THIS 44 RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 50 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

1998:1565 HCAPLUS

DOCUMENT NUMBER:

128:66511

TITLE:

Increased efficiency of delivery of antisense nucleic

acids using neutral phospholipid liposomes

INVENTOR(S):

Klimuk, Sandra K.; Semple, Sean C.; Scherrer, Peter;

Hope, Michael J.

CODEN: PIXXD2

PATENT ASSIGNEE(S):

University of British Columbia, Can.

SOURCE:

PCT Int. Appl., 80 pp.

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 9746671	A1	19971211	WO 1997-CA347	19970522

W: CA, JP

RW: AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE EP 906421 EP 1997-921565 19970522 A1 19990407

R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, NL, SE, PT, IE, FI
JP 2000511541 T2 20000905 JP 1998-500030 19970522

PRIORITY APPLN. INFO:: US 1996-657753 A 19960530
WO 1997-CA347 W 19970522

AB The efficiency of delivery of antisense nucleic acids to damaged tissues is increased by using neutral lipid-based liposomes. Neutral phospholipid liposomes do not activate complement and so avoid some of the toxicity problems associated with cationic lipids. The lipids used include at least two members selected from the group consisting of phospholipids, sterols and cationic lipids. In particular, methods for the delivery of antisense

DNA to ICAM-1 to sites of inflammation are described.

IC ICM C12N015-11

ICS A61K009-127; A61K031-70; C07H021-00; C12N015-88

CC 63-6 (Pharmaceuticals)

Section cross-reference(s): 1, 3

IT Antisense DNA

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (increased efficiency of delivery of antisense nucleic acids using neutral phospholipid liposomes)

IT Drug delivery systems

(liposomes; increased efficiency of delivery of antisense nucleic acids using neutral phospholipid liposomes)

IT Drug targeting

(of liposomes, passive; increased efficiency of delivery of antisense nucleic acids using neutral phospholipid liposomes)

IT 2390-68-3, DDAB 2462-63-7, DOPE 7212-69-3 **26662-91-9**, POPC **104162-48-3**, DOTMA 124050-77-7, DOGS **144189-73-1**, DOTAP **168479-03-6**, DOSPA

RL: BUU (Biological use, unclassified); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(in neutral liposomes; increased efficiency of delivery of antisense nucleic acids using neutral phospholipid liposomes)

IT 26662-91-9, POPC 104162-48-3, DOTMA 144189-73-1

, DOTAP **168479-03-6**, DOSPA

RL: BUU (Biological use, unclassified); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(in neutral liposomes; increased efficiency of delivery of antisense nucleic acids using neutral phospholipid liposomes)

RN 26662-91-9 HCAPLUS

CN 3,5,8-Trioxa-4-phosphahexacos-17-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-9-oxo-7-[[(1-oxohexadecyl)oxy]methyl]-, inner salt, 4-oxide, (17Z)- (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_{14}$$
 O O O  $(CH_2)_{7}$  Z  $(CH_2)_{7}$  Me  $(CH_2)_{7}$  Me

RN 104162-48-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride

# (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_8$  O  $(CH_2)_8$  Z  $(CH_2)_7$  Me  $(CH_2)_7$  Me

● C1 ~

RN 144189-73-1 HCAPLUS
CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-,

methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$^{(CH_2)}$$
 7  $^{Z}$   $^{(CH_2)}$  7  $^{O}$   $^{O}$   $^{(CH_2)}$  7  $^{Z}$   $^{(CH_2)}$  7

PAGE 1-B

\_\_\_ Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-0-503-

RN 168479-03-6 HCAPLUS
CN 1-Propanaminium, N-[2-[[2,5-bis[(3-aminopropyl)amino]-1-oxopentyl]amino]ethyl]-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, salt with trifluoroacetic acid (1:1) (9CI) (CA INDEX NAME)

CM 1

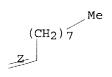
CRN 168479-02-5 CMF C54 H107 N6 O5

Double bond geometry as shown.

PAGE 1-A

$$(CH_2)_3$$
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 
 $(CH_2)_3$ 

PAGE 1-B



$$\frac{}{Z}$$
 (CH<sub>2</sub>)  $\frac{}{7}$  Me

CM 2

CRN 14477-72-6 CMF C2 F3 O2

F F -- C - CO2 -F

L52 ANSWER 51 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

1997:807853 HCAPLUS

DOCUMENT NUMBER:

128:158830

TITLE:

Electrostatic and structural properties of complexes involving plasmid DNA and cationic lipids commonly

used for gene delivery

AUTHOR(S):

Zuidam, Nicolaas J.; Barenholz, Yechezkel

CORPORATE SOURCE:

P.O. Box, Department of Biochemistry, The Hebrew University-Hadassah Medical School, Jerusalem 91120,

12272, Israel

SOURCE:

Biochimica et Biophysica Acta (1998), 1368(1), 115-128

CODEN: BBACAQ; ISSN: 0006-3002

Elsevier Science B.V.

DOCUMENT TYPE:

English

Journal

PUBLISHER: LANGUAGE:

The present study is aimed to characterize the interactions between plasmid DNA and cationic, large unilamellar vesicles, 110±20 nm in size, composed of lipids commonly used for transfections including DOTAP/DOPE (mole ratio 1/1), DOTAP/DOPC (mole ratio 1/1), 100 DOTAP, or DC-CHOL/DOPE (mole ratio 1/1). [Abbreviations: DOTAP, N-(1-(2,3dioleoyloxy)propyl)-N,N,N-trimethylammonium chloride; DOPE, 1,2-dioleoyl-sn-glycero-3-phosphatidyl-ethanolamine; DOPC, 1,2-dioleoyl-sn-glycero-3-phosphatidylcholine; DC-CHOL,  $3\beta$ -[N-(N',N'-dimethylaminoethane)carbamoyl] cholesterol]. A novel approach of combining Gouy-Chapman calcns. and fluorescence measurements of the pH at the surface of lipid assemblies by the fluorophore 4-heptadecyl-7-hydroxycoumarin showed that electrostatic parameters played a key role in the instantaneous formation of the DNA-lipid complexes upon addition of different amts. of plasmid DNA to cationic liposomes in 20 mM Hepes buffer (pH 7.4). Addition of large amts. of plasmid DNA leads to neutralization of 60 of the protonated DC-CHOL in DC-CHOL/DOPE (1/1) assemblies and 80 of the DOTAP in lipid assemblies. The characterization of these electrostatic parameters of the complexes suggests better and closer surrounding of plasmid DNA by lipids when DOPE is present. Time-dependent static light-scattering measurements monitored the

CC 63-5 (Pharmaceuticals)

0.2 and 0.8.

Section cross-reference(s): 1, 3

Drug delivery systems TΤ

(cationic lipid unilamellar vesicles; electrostatic and structural properties of complexes involving plasmid DNA and cationic lipids commonly used for gene delivery)

formation of complexes and also showed that these complexes were highly unstable with respect to size at DNA/cationic lipid molar ratios between

IT Gene therapy

#### Plasmids

Transformation, genetic

(electrostatic and structural properties of complexes involving plasmid DNA and cationic lipids commonly used for gene delivery)

ΙT DNA RL: PEP (Physical, engineering or chemical process); PROC (Process) (electrostatic and structural properties of complexes involving plasmid DNA and cationic lipids commonly used for gene delivery)

IT 2462-63-7, DOPE **4235-95-4**, DOPC **132172-61-3**, DOTAP 137056-72-5

RL: PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(electrostatic and structural properties of complexes involving plasmid DNA and cationic lipids commonly used for gene delivery)

IT 4235-95-4, DOPC 132172-61-3, DOTAP

RL: PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(electrostatic and structural properties of complexes involving plasmid DNA and cationic lipids commonly used for gene delivery)

RN 4235-95-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N

O

O

O

(CH2)7

Z

(CH2)7

O

(CH2)7

PAGE 1-B

\_\_\_ Me

RN 132172-61-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me 
$$^{(CH_2)}$$
  $^{7}$   $^{2}$   $^{(CH_2)}$   $^{7}$   $^{7}$   $^{2}$   $^{(CH_2)}$   $^{7}$   $^{7}$   $^{2}$   $^{(CH_2)}$   $^{7}$ 

● C1 ~

PAGE 1-B

\_\_\_ Me

REFERENCE COUNT:

THERE ARE 46 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 52 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

1997:601244 HCAPLUS

DOCUMENT NUMBER:

127:302928

TITLE:

Toxicity and immunomodulatory activity of liposomal vectors formulated with cationic lipids toward immune

effector cells

AUTHOR(S):

Filion, Mario C.; Phillips, Nigel C.

CORPORATE SOURCE:

Faculte de Pharmacie, C.P. 6128 Succ. Centre-Ville,

Universite de Montreal, Montreal, Que., Can.

SOURCE:

Biochimica et Biophysica Acta (1997), 1329(2), 345-356

CODEN: BBACAQ; ISSN: 0006-3002

PUBLISHER: DOCUMENT TYPE: Elsevier Journal English

LANGUAGE: Liposomal vectors formulated with cationic lipids (cationic liposomes) and AB fusogenic dioleoylphosphatidylethanolamine (DOPE) have potential for modulating the immune system by delivering gene or antisense oligonucleotide inside immune cells. The toxicity and the immunoadjuvant activity of cationic liposomes containing nucleic acids toward immune effector cells has not been investigated in detail. In this report, we have evaluated the toxicity of liposomes formulated with various cationic lipids towards murine macrophages and T lymphocytes and the human monocyte-like U937 cell line. The effect of these cationic liposomes on the synthesis of two immunomodulators produced by activated macrophages, nitric oxide (NO) and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), has also been determined We have found that liposomes formulated from DOPE and cationic lipids based on diacyltrimethylammonium propane (dioleoyl-, dimyristoyl-, dipalmitoyl-, disteroyl-: DOTAP, DMTAP, DPTAP, DSTAP) or dimethyldioctadecylammonium bromide (DDAB) are highly toxic in vitro toward phagocytic cells (macrophages and U937 cells), but not towards

non-phagocytic T lymphocytes. The rank order of toxicity was DOPE/DDAB>DOPE/DOTAP>DOPE/DMTAP>DOPE/DPTAP>DOPE/DSTAP. The ED50's for macrophage toxicity were <10 nmol/mL for DOPE/DDAB, 12 nmol/mL for DOPE/DOTAP, 50 nmol/mL for DOPE/DMTAP, 400 nmol/mL for DOPE/DPTAP and >1000 nmol/mL for DOPE/DSTAP. The incorporation of DNA (antisense oligonucleotide or plasmid vector) into the cationic liposomes marginally reduced their toxicity towards macrophages. Although toxicity was observed with cationic lipids alone, it was clearly enhanced by the presence of The replacement of DOPE by dipalmitoylphosphatidylcholine (DPPC) significantly reduced liposome toxicity towards macrophages, and the presence of dipalmitoyIphosphatidylethanolamine-PEG2000 (DPPE-PEG2000: 10 mol%) in the liposomes completely abolished this toxicity. Cationic liposomes, irresp. of their DNA content, down-regulated NO and TNF-  $\!\alpha$ synthesis by lipopolysaccharide (LPS)/interferon-γ  $(IFN-\gamma)$  -activated macrophages. The replacement of DOPE by DPPC, or the addition of DPPE-PEG2000, restored NO and TNF- $\alpha$  synthesis by activated macrophages. Since macrophages constitute the major site of liposome localization after parenteral administration and play an important role in the control of the immune system, cationic liposomes should be used with caution to deliver gene or antisense oligonucleotide to mammalian cells. Cationic lipids show in vitro toxicity toward phagocytic cells and inhibit in vitro and in situ NO and TNF-  $\!\alpha$ production by activated macrophages.

CC 1-4 (Pharmacology)

Section cross-reference(s): 63

IT Drug delivery systems

(liposomes, cationic liposomes; toxicity and immunomodulatory activity of liposomal vectors formulated with cationic lipids toward immune effector cells)

IT Antisense oligonucleotides

RL: BSU (Biological study, unclassified); BIOL (Biological study) (toxicity and immunomodulatory activity of liposomal vectors formulated with cationic lipids toward immune effector cells)

IT **63-89-8**, Dipalmitoylphosphatidylcholine 3700-67-2, Dimethyldioctadecylammonium bromide 5681-36-7, Dipalmitoylphosphatidylethanolamine **72719-83-6** 

113669-21-9 138915-91-0

RL: ADV (Adverse effect, including toxicity); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(toxicity and immunomodulatory activity of liposomal vectors formulated with cationic lipids toward immune effector cells)

IT 63-89-8, Dipalmitoylphosphatidylcholine 72719-83-6 113669-21-9 138915-91-0

TI 7077 (7 days a company of the com

RL: ADV (Adverse effect, including toxicity); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(toxicity and immunomodulatory activity of liposomal vectors formulated with cationic lipids toward immune effector cells)

RN 63-89-8 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+).

RN 72719-83-6 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(1-oxotetradecyl)oxy]- (9CI) (CA INDEX NAME)

RN 113669-21-9 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$^{(CH_2)}$$
  $^{7}$   $^{2}$   $^{(CH_2)}$   $^{7}$   $^{7}$   $^{2}$   $^{(CH_2)}$   $^{7}$   $^{7}$   $^{2}$   $^{(CH_2)}$   $^{7}$ 

PAGE 1-B

\_\_\_ Me

RN 138915-91-0 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(1-oxohexadecyl)oxy]- (9CI) (CA INDEX NAME)

REFERENCE COUNT: 38 THERE ARE 38 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 53 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

1997:247860 HCAPLUS

DOCUMENT NUMBER:

126:229615

TITLE:

Enhanced artificial viral envelopes for cellular

delivery of therapeutic substances

INVENTOR (S):

Conary, Jon T.; Schreier, Hans

PATENT ASSIGNEE(S):

Advanced Therapies, Inc., USA; Conary, Jon T.;

Schreier, Hans

SOURCE:

PCT Int. Appl., 108 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT	NO.	KIND	DATE		Α	PPLI	CATI	ON N	Э.	DATE			
				-	_						<b></b>		
WO 9704	1748	A2	19970213	}	W	0 19:	96-U	S127	50	1996	0801		
WO 9704	748	A3	19970529	•									
W :	AL, AM,	AT, AU	, AZ, BB,	ВG,	BR,	BY,	CA,	CH,	CN,	CU,	CZ,	DE,	DK,
	EE, ES,	FI, GB	, GE, HU,	IL,	IS,	JP,	KE,	KG,	ΚP,	KR,	KΖ,	LK,	LR,
	LS, LT,	LU, LV	, MD, MG,	MK,	MN,	MW,	MX,	NO,	ΝZ,	PL,	PT,	RO,	RU,
	SD, SE												
RW:	KE, LS,	MW, SD	, SZ, UG,	AΤ,	BE,	CH,	DE,	DK,	ES,	FΙ,	FR,	GB,	GR,
	IE, IT,	LU, MC	, NL, PT,	SE,	BF,	ВJ,	CF,	CG					
AU 9666	914	A1	19970226	•	A	U 19	96-6	6914		1996	0801		
PRIORITY APP	LN. INFC	).:			US 1	995-	1738	P	Ρ	1995	0801		
					US 1	995-2	2580	P	P	1995	0821		
					US 1	995-6	6906	13	A2	1996	0731		
					US 1	996-6	6906	13	Α	1996	0731		
					WO 1	996-T	JS12'	750	W	1996	0801		

AΒ This invention provides artificial viral envelopes and other lipid vesicles that encapsulate therapeutic substances, such as expression vectors, targeted to mammalian cells. Polynucleotides may be packed into the envelopes by compressing them beforehand with a short peptide with a predominant pos. charge. The compression step not only facilitates encapsulation, it also increases the number of vesicles containing nucleic acid,

minimizes the amount of free nucleic acid, and may also increase the size and complexity of plasmids that can be encapsulated. The vesicles may be provided with a tissue-targeting component that helps direct it towards certain tissue sites in an animal. The vesicles may also be provided with a fusogenic component that facilitates delivery of the therapeutic substance into the cell. The materials and reagents of this invention are effective, for example, in increasing expression of model proteins in both isolated cells and intact animals, and are expected to be useful for gene therapy.

ICM A61K009-127

ICS C12N015-88
63-5 (Pharmaceuticals)

Drug delivery systems

Gene therapy

Genetic vectors

Plasmids

Protein sequences

Transformation, genetic

cDNA sequences

(enhanced artificial viral envelopes for cellular delivery of therapeutic substances)

IT Phosphatidylcholines, biological studies

Phosphatidylethanolamines, biological studies

Phosphatidylserines

Phospholipids, biological studies

## Polynucleotides

Proteins, general, biological studies

Reporter gene

Sphingomyelins

Toxins

RL: PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(enhanced artificial viral envelopes for cellular delivery of therapeutic substances)

# IT Drug delivery systems

(liposomes; enhanced artificial viral envelopes for cellular delivery of therapeutic substances)

IT 50-67-9D, Serotonin, lipid derivs. 57-88-5, Cholesterol, biological studies 361-09-1, Sodium cholate 2462-63-7, DOPE **26853-31-6**, Popc **128835-92-7**, Lipofectin 137056-72-5

RL: PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(enhanced artificial viral envelopes for cellular delivery of therapeutic substances)

IT 26853-31-6, Popc 128835-92-7, Lipofectin

RL: PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(enhanced artificial viral envelopes for cellular delivery of

(enhanced artificial viral envelopes for cellular delivery of therapeutic substances)

RN 26853-31-6 HCAPLUS

CN 3,5,8-Trioxa-4-phosphahexacos-17-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-9-oxo-7-[[(1-oxohexadecyl)oxy]methyl]-, inner salt, 4-oxide, (7R,17Z)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

Me 
$$(CH_2)_{14}$$
 O O O  $(CH_2)_{7}$  Z  $(CH_2)_{7}$  Me  $(CH_2)_{7}$  Me

RN 128835-92-7 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride, mixt. with 1-[[[(2-aminoethoxy)hydroxyphosphinyl]oxy]methyl]-1,2-ethanediyl di-(9Z)-9-octadecenoate (9CI) (CA INDEX NAME)

CM 1

CRN 104162-48-3 CMF C42 H84 N O2 . Cl

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_8$  O  $(CH_2)_8$  Z  $(CH_2)_7$  Me  $(CH_2)_7$  Me

● cl-

CM 2

CRN 2462-63-7

CMF C41 H78 N O8 P

Double bond geometry as shown.

PAGE 1-A H<sub>2</sub>N 
$$\stackrel{O}{\longrightarrow}$$
  $\stackrel{O}{\longrightarrow}$   $\stackrel{O}{\longrightarrow}$   $\stackrel{C(CH_2)}{\longrightarrow}$   $\stackrel{C(CH_2)}{\longrightarrow}$ 

PAGE 1-B

\_\_Me

L52 ANSWER 54 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

1997:185198 HCAPLUS

DOCUMENT NUMBER:

126:272297

TITLE:

Pulmonary surfactant inhibits cationic

liposome-mediated gene delivery to respiratory

epithelial cells in vitro

AUTHOR(S):

Ducan, James E.; Whitsett, Jeffrey A.; Horowitz, Ann

D.

CORPORATE SOURCE:

Duke University School of Medicine, Durham, NC, 27710,

SOURCE:

Human Gene Therapy (1997), 8(4), 431-438

CODEN: HGTHE3; ISSN: 1043-0342

PUBLISHER:

Journal

Liebert DOCUMENT TYPE: LANGUAGE: English

AΒ Cationic lipid-mediated transfection of the alveolar epithelium in vivo will require exposure of plasmid DNA and cationic lipids to endogenous surfactant lipids and proteins in the alveolar space. Effects of pulmonary surfactant and of surfactant constituents on transfection in vitro of two respiratory epithelial cells lines (MLE-15 and H441) with a plasmid encoding the luciferase reporter gene were studied using two cationic lipid formulations: 1,2-dimyristyloxypropyl-3-dimethylhydroxyethyl ammonium bromide/cholesterol (DMRIE/C) and 1,2-dioleoyl-3-trimethylammonium propane/dioleoyl phosphatidylethanolamine (DOTAP/DOPE). Gene expression, as assessed luciferase activity, decreased as increasing concns. of natural surfactant were added to cationic lipid-DNA complexes. Incorporation of phospholipids DOPC/DOPG or surfactant proteins SP-B or SP-C in the cationic lipid formulation inhibited transfection. A fluorescent lipid mixing assay was used to determine the effects of surfactant proteins SP-B and SP-C on mixing between cationic lipid-DNA complexes and surfactant lipid vesicles. Mixing between DOPC/DOPG vesicles and cationic lipid-DNA complexes in the absence of added proteins amounted to 10-20%. Addition of SP-B or SP-C increased the mixing of DOPC/DOPG vesicles with DOTAP/DOPE-DNA complexes, but not DMRIE/C-DNA complexes. These results demonstrate that pulmonary surfactant lipids and proteins inhibit transfection with cationic lipid-DNA complexes in vitro, and may therefore represent a barrier to gene transfer in the lung.

CC 1-12 (Pharmacology)

Section cross-reference(s): 63

ITDrug delivery systems

(liposomes; pulmonary surfactant and surfactant proteins inhibit cationic liposome-mediated gene delivery to respiratory epithelial cells in vitro)

ΤТ Gene therapy

Plasmid vectors

# Plasmids

Pulmonary surfactant

Transduction, genetic

(pulmonary surfactant and surfactant proteins inhibit cationic liposome-mediated gene delivery to respiratory epithelial cells in vitro)

62700-69-0, Dioleoyl phosphatidylglycerol 68737-67-7, Dioleoyl ΙT phosphatidylcholine

RL: ADV (Adverse effect, including toxicity); BIOL (Biological study) (pulmonary surfactant and surfactant proteins inhibit cationic liposome-mediated gene delivery to respiratory epithelial cells in vitro)

57-88-5, Cholesterol, biological studies 2462-63-7, Dioleoyl ΤТ phosphatidylethanolamine 113669-21-9 153312-64-2

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (pulmonary surfactant and surfactant proteins inhibit cationic liposome-mediated gene delivery to respiratory epithelial cells in vitro)

68737-67-7, Dioleoyl phosphatidylcholine ΙT

RL: ADV (Adverse effect, including toxicity); BIOL (Biological study) (pulmonary surfactant and surfactant proteins inhibit cationic

liposome-mediated gene delivery to respiratory epithelial cells in vitro)

RN 68737-67-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (18Z)-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

PAGE 1-B

\_\_\_Me

IT 113669-21-9 153312-64-2

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (pulmonary surfactant and surfactant proteins inhibit cationic liposome-mediated gene delivery to respiratory epithelial cells in vitro)

RN 113669-21-9 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N O (CH $_2$ ) 7 Z (CH $_2$ ) 7 O (CH $_2$ ) 7 Z (CH $_2$ ) 7

PAGE 1-B

\_\_\_Me

RN153312-64-2 HCAPLUS

1-Propanaminium, N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis(tetradecyloxy)-, CNbromide (9CI) (CA INDEX NAME)

● Br-

REFERENCE COUNT:

34 THERE ARE 34 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L52 ANSWER 55 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

1997:134871 HCAPLUS

DOCUMENT NUMBER:

126:148488

TITLE:

Separation of active complexes from mixtures of polynucleotides associated with transfecting

components

INVENTOR(S):

Skoza, Francis C., Jr.; Xu, Yuhong; Wang, Jinkang

Regents of the University of California, USA

SOURCE:

PCT Int. Appl., 43 pp.

PATENT ASSIGNEE(S):

CODEN: PIXXD2 Patent

DOCUMENT TYPE:

English

LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PAT	CENT :	NO.		KI	ND	DATE			A.	PPLI	CATIO	ON NO	ο.	DATE			
WO	9640	264		 A	 1	1996	1219		- W	 0 19:	 96 - U	 5782	 <b>4</b>	1996	0528		
														CZ,		DK,	EE,
		ES,	FI,	GB,	GE,	HU,	IS,	JP,	KΕ,	KG,	KP,	KR,	ΚZ,	LK,	LR,	LS,	LT,
		LU,	LV,	MD,	MG,	MK,	MN,	MW,	MX,	NO,	NZ,	PL,	PT,	RO,	RU,	SD,	SE,
		SG,	SI														
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		ΙE,	IT,	LU,	MC,	ΝL,	PT,	SE,	BF,	ВJ,	CF,	CG,	CI,	CM,	GΑ,	GN,	ML
US	5972	600		Α		1999	1026		U	3 19:	95-48	3211	0	1995	0607		
ΑU	9660	248		A	1	1996	1230		Αl	J 19:	96-60	0248		1996	0528		
ΑU	7145	26		B	2	2000	0106										
ΕP	8319	23		A	1	1998	0401		E	P 19:	96-91	1783	9	1996	0528		
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JP 1997-500774 19960528
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     JP 2001517061
                      T2
                                         US 1995-482110 A 19950607
PRIORITY APPLN. INFO.:
                                         US 1992-864876 B2 19920403
                                         US 1992-913669 B2 19920714
                                         US 1993-92200 B2 19930714
                                         WO 1996-US7824 W 19960528
    The invention separates defined, active complexes that share a particular
AΒ
    physicochem. characteristic such as d., surface charge or particle size
     from complexes formed by the association of a polynucleotide with a
     transfecting component that increases transfection activity, such as a
     lipid, cationic lipid, liposome, peptide, cationic peptide, dendrimer or
     polycation. In a preferred embodiment, polynucleotide-transfecting
     component complexes are ultracentrifuged to resolve one or more bands
     corresponding to complexes having a specific polynucleotide-transfecting
     component interaction. Polynucleotide complexes having a cationic
     liposome transfecting component resolve into two primary bands
     corresponding to complexes formed either under excess lipid conditions or
     under excess polynucleotide conditions. In an alternate embodiment,
     polynucleotide-transfecting component complexes are resolved using
     cross-flow electrophoresis in identify complexes having specific
     interactions and to sep. them from excess initial components. This
     invention is of relevance to delivery of polynucleotides for gene therapy.
IC
    ICM A61K048-00
     ICS C12Q001-68
     63-5 (Pharmaceuticals)
CC
     Section cross-reference(s): 1, 3
     Drug delivery systems
IT
        (liposomes, cationic; separation of active complexes from mixts. of
        polynucleotides associated with transfecting components)
ΙT
    Polynucleotides
     RL: REM (Removal or disposal); PROC (Process)
        (separation of active complexes from mixts. of polynucleotides associated
with
        transfecting components)
     57-09-0, 1-Hexadecanaminium, N,N,N-trimethyl-, bromide
TΤ
     Cholesterol, biological studies 124-03-8, Cetyldimethylethylammonium
              406-76-8D, 1-Propanaminium, 3-carboxy-2-hydroxy-N,N,N-trimethyl-
    bromide
     , inner salt, lipid esters 541-15-1D, Carnitine, lipid esters
     2462-63-7, DOPE 3700-67-2, Dimethyldioctadecylammonium bromide
     4235-95-4, Dopc 25496-72-4, Monooleoyl glycerol
     104162-48-3, Dotma 124050-77-7, DOGS 144189-73-1,
    Dotap 153312-64-2, Dmrie 168479-03-6, DOSPA

    183283-19-4
    183283-20-7
    186584-03-2
    186584-04-3
    186584-06-5

    186584-08-7
    186584-10-1
    186589-48-0
    186589-50-4
    186589-52-6

     186589-60-6 186589-62-8 186589-64-0 186589-66-2 186589-68-4
     186589-70-8 186589-72-0
    RL: PEP (Physical, engineering or chemical process); THU (Therapeutic
    use); BIOL (Biological study); PROC (Process); USES (Uses)
        (separation of active complexes from mixts. of polynucleotides associated
with
        transfecting components)
     4235-95-4, Dopc 104162-48-3, Dotma 144189-73-1
IT
     , Dotap 153312-64-2, Dmrie 168479-03-6, DOSPA
    RL: PEP (Physical, engineering or chemical process); THU (Therapeutic
     use); BIOL (Biological study); PROC (Process); USES (Uses)
        (separation of active complexes from mixts. of polynucleotides associated
with
        transfecting components)
     4235-95-4 HCAPLUS
```

RN

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (7R,18Z)-(9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+). Double bond geometry as shown.

PAGE 1-A

Me
$$_3$$
+N

O

O

O

(CH $_2$ ) 7

Z

(CH $_2$ ) 7

O

(CH $_2$ ) 7

Z

(CH $_2$ ) 7

PAGE 1-B

\_\_\_Me

Double bond geometry as shown.

• c1 ~

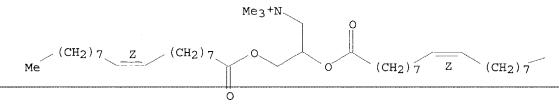
RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4 Double bond geometry as shown.

PAGE 1-A



PAGE 1-B

\_\_\_Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

 $Me^{-}O^{-}SO_{3}^{-}$ 

RN 153312-64-2 HCAPLUS
CN 1-Propanaminium, N-(2-hydroxyethyl)-N,N-dimethyl-2,3-bis(tetradecyloxy)-,
bromide (9CI) (CA INDEX NAME)

● Br-

RN 168479-03-6 HCAPLUS

CN 1-Propanaminium, N-[2-[[2,5-bis[(3-aminopropyl)amino]-1-oxopentyl]amino]ethyl]-N,N-dimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, salt with trifluoroacetic acid (1:1) (9CI) (CA INDEX NAME)

CM 1

CRN 168479-02-5 CMF C54 H107 N6 O5

Double bond geometry as shown.

PAGE 1-A

$$(CH_2)_3$$
 $(CH_2)_3$ 
 $(CH_2)_3$ 

PAGE 1-B

$$\overline{Z}$$
 (CH<sub>2</sub>)  $\overline{f}$  Me

CM 2

CRN 14477-72-6 CMF C2 F3 O2

```
L52 ANSWER 56 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN
                  1997:48717 HCAPLUS
ACCESSION NUMBER:
DOCUMENT NUMBER:
                       126:54891
                      Nucleic acid ligand complexes
TITLE:
INVENTOR(S):
                       Gold, Larry; Schmidt, Paul G.; Janjic, Nebojsa
                       Nexstar Pharmaceuticals, Inc., USA; Gold, Larry;
PATENT ASSIGNEE(S):
                       Schmidt, Paul G.; Janjic, Nebojsa
SOURCE:
                       PCT Int. Appl., 107 pp.
                       CODEN: PIXXD2
DOCUMENT TYPE:
                       Patent
LANGUAGE:
                       English
FAMILY ACC. NUM. COUNT:
                       127
PATENT INFORMATION:
    PATENT NO. KIND DATE
                                       APPLICATION NO. DATE
     ______
                                        ______
                          19961107
                                       WO 1996-US6171 19960502
    WO 9634876 A1
        W: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE,
            ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT,
            LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE,
            SG, SI
        RW: KE, LS, MW, SD, SZ, UG, AT, BE, CH, DE, DK, ES, FI, FR, GB, GR,
            IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA
                                   US 1995-434465 19950504
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    US 6011020
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                                       EP 1996-915463 19960502
        R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, FI
    JP 11504926
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                         19990511
                                        JP 1996-533500
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                         20001114
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    US 6147204
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    US 6465188
                     B1
                          20021015
                                        US 2000-569572 20000510
    US 2003125263 A1
                          20030703
                                       US 2002-261159 20020930
                                      US 1995-434465 A 19950504
PRIORITY APPLN. INFO.:
                                      US 1995-464443 A 19950605
                                      US 1990-536428 B2 19900611
                                      US 1991-714131 A2 19910610
                                      US 1994-234997 A2 19940428
                                      WO 1996-US6171 W 19960502
                                      US 1997-945604
                                                    A3 19971028
                                      US 2000-569572
                                                    A1 20000510
    This invention discloses a method for preparing a therapeutic or diagnostic
AB
    complex comprised of a nucleic acid ligand and a lipophilic compound or
    non-immunogenic, high mol. weight compound by identifying a nucleic acid ligand
    by SELEX (Systematic Evolution of Ligands by EXponential enrichment)
    methodol. and associating the nucleic acid ligand with a lipophilic compound or
    a non-immunogenic, high mol. weight compound The invention further discloses
    complexes comprising one or more nucleic acid ligands in association with a
     lipophilic compound or non-immunogenic, high mol. weight compound
    ICM C07H021-02
IC
     ICS C07H021-04; C12P019-34; C12Q001-68
     1-12 (Pharmacology)
CC
     Section cross-reference(s): 33
    Drug delivery systems
IT
        (liposomes; nucleic acid ligand complexes for diagnostic and
       therapeutic purposes)
    Ligands
ΤT
      Nucleic acids
```

RL: PRP (Properties); THU (Therapeutic use); BIOL (Biological study); USES

(Uses)

(nucleic acid ligand complexes for diagnostic and therapeutic purposes)
IT 2644-64-6, Dipalmitoylphosphatidylcholine 4539-70-2,
Distearoylphosphatidylcholine 18656-38-7,
Dimyristoylphosphatidylcholine 144189-73-1, Dotap

RL: PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(nucleic acid ligand complexes for diagnostic and therapeutic purposes)

IT 2644-64-6, Dipalmitoylphosphatidylcholine 4539-70-2, Distearoylphosphatidylcholine 18656-38-7,

Dimyristoylphosphatidylcholine 144189-73-1, Dotap

RL: PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)

(nucleic acid ligand complexes for diagnostic and therapeutic purposes)

RN 2644-64-6 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 4539-70-2 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxooctadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 18656-38-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphatricosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxotetradecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 144189-73-1 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[[(9Z)-1-oxo-9-octadecenyl]oxy]-, methyl sulfate (9CI) (CA INDEX NAME)

CM 1

CRN 113669-21-9 CMF C42 H80 N O4

Double bond geometry as shown.

PAGE 1-A

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_7$  O  $(CH_2)_7$  Z  $(CH_2)_7$ 

PAGE 1-B

\_\_\_Me

CM 2

CRN 21228-90-0 CMF C H3 O4 S

Me-- 0-- SO3 ~

L52 ANSWER 57 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN ACCESSION NUMBER: 1997:15526 HCAPLUS

DOCUMENT NUMBER:

126:79951

TITLE:

Therapeutic drug delivery systems comprising

gas-filled microspheres

INVENTOR(S):

Unger, Evan C.; Fritz, Thomas A.; Matsunaga, Terry; Ramaswami, Varadarajan; Yellowhair, David; Wu, Guanli

ImaRx Pharmaceutical Corp., USA

SOURCE:

U.S., 48 pp., Cont.-in-part of U.S. Ser. No.

716,889, abandoned.

CODEN: USXXAM

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 21

PATENT INFORMATION:

PATENT ASSIGNEE(S):

PATENT NO.		KIND	DATE		APPLICATION NO. DATE
US 5580575					US 1993-76250 19930611
WO 9109629		A1	19910711		US 1990-569828 19900820 WO 1990-US7500 19901219
W: CA,					
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AT 180170	•	É	19990615	•	AT 1991-902857 19901219 ES 1991-902857 19901219 JP 1991-503276 19901219 US 1991-717084 19910618 WO 1992-US2615 19920331
ES 2131051		Т3	19990716		ES 1991-902857 19901219
JP 3309356		B2	20020729		JP 1991-503276 19901219
JP 05502675		T2	19930513		
US 5228446		A	19930720		US 1991-717084 19910618
WO 9222247		A1	19921223		WO 1992-US2615 19920331
W: AU,	CA,	JP			
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AU 9220020		A1	19930112		AU 1992-20020 19920331
AU 667471		B2	19960328		
JP 06508364		T2	19940922		AU 1992-20020 19920331  JP 1993-500847 19920331  EP 1992-912456 19920331
JP 3456584		B2	20031014		
EP 616508		Al	19940928		EP 1992-912456 19920331
EP 616508		B1	20010718		
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AT 203148		E	20010815		AT 1992-912456 19920331
ES 2159280		Т3	20011001		ES 1992-912456 19920331
US 5348016		Α	19940920		US 1993-88268 19930707
US 5542935		Α	19960806		US 1993-160232 19931130
US 5769080		Α	19980623		US 1994-199462 19940222
CN 1125394		Α	19960626		CN 1994-192404 19940501
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W. AU,	CA,	CN, UF			
					GB, GR, IE, IT, LU, MC, NL, PT, SE
AU 9470948		A1	19950103		AU 1994-70948 19940512
		A1			EP 1994-920019 19940512
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CA 2164843		AA	19941222		CA 1994-2164843 19940519
CA 2164846		AA	19941222		CA 1994-2164846 19940519 WO 1994-US5633 19940519
WO 9428874		A1	19941222		WO 1994-US5633 19940519
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RW: AT,	BE,	CH, DE	, DK, ES,	FR,	GB, GR, IE, IT, LU, MC, NL, PT, SE
AU 9469537		A1	19950103		AU 1994-69537 19940519
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EP 802788
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PRIORITY APPLN. INFO.:
                                                      B2 19891222
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                                                        A2 19900820
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                                       WO 1992-US2615
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                                                        A3 19930212
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                                                        A2 19931130
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                                                        A 19931130
                                       US 1993-163039
                                                        A3 19931206
                                       US 1994-212553
                                                        B2 19940311
                                       WO 1994-US5620
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                                       AU 1994-69537
                                                        A3 19940519
                                       WO 1994-US5633
                                                        W 19940519
                                       US 1994-307305
                                                        A2 19940916
                                                        A 19941129
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                                                        A3 19950309
                                       US 1995-468056
                                                        A3 19950606
                                       US 1995-471250
                                                        A3 19950606
                                       US 1995-482294
                                                        A3 19950607
                                       US 1996-665719
                                                        A3 19960618
                                       US 1996-741598
                                                        A3 19961101
```

AB Therapeutic drug delivery systems comprise gas-filled impermeable

microspheres, e.g. liposomes, in which a drug is encapsulated. Such liposomes are especially useful for controlled delivery of genetic material in gene therapy, and of lipophilic drugs. The therapeutic agent is preferably released from the microspheres locally in a targeted manner, immediately or gradually, by application of ultrasound. Methods of and apparatus for preparing such liposomes and methods for employing such liposomes in

drug delivery applications are also disclosed. For example, gas-filled liposomes are prepared by shaking an aqueous solution containing a lipid and a therapeutic compound in the presence of a gas at a temperature below the gel-liquid crystalline phase transition temperature of the lipid.

IC ICM A61K009-127

NCL 424450000

CC 63-6 (Pharmaceuticals)

## IT Histocompatibility antigens

RL: BSU (Biological study, unclassified); BIOL (Biological study) (HLA-B7, gene for, liposomes containing; therapeutic drug delivery systems comprising gas-filled microspheres)

# IT Antisense oligonucleotides DNA

Gene, animal

RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(liposomes containing; therapeutic drug delivery systems comprising gas-filled microspheres)

## IT Drug delivery systems

(liposomes; therapeutic drug delivery systems comprising gas-filled microspheres)

# IT Drug delivery systems

(microspheres; therapeutic drug delivery systems comprising gas-filled microspheres)

## IT 104162-48-3, DOTMA

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (cationic, DNA-filled liposomes containing; therapeutic drug delivery systems comprising gas-filled microspheres)

TT 63-89-8 124-38-9, Carbon dioxide, biological studies 816-94-4, DSPC 5681-36-7, Dipalmitoylphosphatidylethanolamine 7440-01-9, Neon, biological studies 7440-37-1, Argon, biological studies 7440-59-7, Helium, biological studies 7440-63-3, Xenon, biological studies 7727-37-9, Nitrogen, biological studies 7782-44-7, Oxygen, biological studies 25322-68-3

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (therapeutic drug delivery systems comprising gas-filled microspheres)

### IT 104162-48-3, DOTMA

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (cationic, DNA-filled liposomes containing; therapeutic drug delivery systems comprising gas-filled microspheres)

RN 104162-48-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
  $Z$   $(CH_2)_8$   $Z$   $(CH_2)_7$  Me  $(CH_2)_7$  Me  $Z$ 

<u>C1</u>

IT 63-89-8 816-94-4, DSPC

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (therapeutic drug delivery systems comprising gas-filled microspheres)

RN 63-89-8 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+).

Me 
$$(CH_2)_{14}$$
 O O O O  $(CH_2)_{14}$  Me  $(CH_2)_{14}$  Me  $(CH_2)_{14}$  Me

RN 816-94-4 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxooctadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

L52 ANSWER 58 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER:

1996:535052 HCAPLUS

DOCUMENT NUMBER:

125:230795

TITLE:

Therapeutic delivery systems comprising gaseous

precursor-filled liposomes

INVENTOR(S): Unger, Evan C.; Fritz, Thomas A.; Matsunaga, Terry;

Ramaswami, Varadarajan; Yellowhair, David; Wu, Guanli

PATENT ASSIGNEE(S): ImaRx Pharmaceutical Corp., USA

SOURCE: U.S., 62 pp., Cont.-in-part of U.S. Ser. No. 159, 687.

CODEN: USXXAM

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 21

PATENT INFORMATION:

PATENT NO.	KIND DATE		APPLICATION NO US 1993-160232 US 1990-569828 WO 1990-US7500	DATE			
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W: CA, JP							
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Therapeutic delivery systems comprising gaseous precursor-filled liposomes having encapsulated therein a contrast agent or drug are described. Methods of and apparatus for preparing such liposomes and methods for employing such liposomes in therapeutic delivery applications are also disclosed. Dimpalmitoylpyospyhatidylcholine was suspended in normal saline and then extruded five times through 2  $\mu$  polycarbonate filters at 800 psi. The resulting liposomes were then dried and added to 1 mL normal saline solution containing 2  $\mu g$  of DNA on 7000 bp plasmid and filled with N gas. The presence of the gas within the microspheres resulted in much more efficient capture of the ultrasonic energy and release of DNA.

IC ICM A61M005-00

ICS A61B008-00; A61K009-127

NCL 604190000

CC 63-6 (Pharmaceuticals)

IT Deoxyribonucleic acids

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (complementary, antisense, therapeutic delivery systems comprising gaseous precursor-filled liposomes)

IT Pharmaceutical dosage forms

(liposomes, therapeutic delivery systems comprising gaseous precursor-filled liposomes)

13-89-8, Dipalmitoylphosphatidylcholine 99-20-7, Trehalose 147-94-4, Cytosine arabinoside 151-21-3, Sodium lauryl sulfate, biological studies 1397-89-3, Amphotericin b 2366-52-1, 1-Fluorobutane 2644-64-6, 1,2-Dipalmitoylphosphatidylcholine 4539-70-2, Distearoylphosphatidylcholine 7727-37-9, Nitrogen, biological studies 17966-16-4 25316-40-9, Adriamycin 104162-48-3, DOTMA 181476-36-8

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (therapeutic delivery systems comprising gaseous precursor-filled liposomes)

IT 63-89-8, Dipalmitoylphosphatidylcholine 2644-64-6,

1,2-Dipalmitoylphosphatidylcholine 4539-70-2,
Distearoylphosphatidylcholine 104162-48-3, DOTMA
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
 (therapeutic delivery systems comprising gaseous precursor-filled liposomes)

RN 63-89-8 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide, (7R)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Rotation (+).

RN 2644-64-6 HCAPLUS

CN 3,5,9-Trioxa-4-phosphapentacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxohexadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 4539-70-2 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacosan-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[(1-oxooctadecyl)oxy]-, inner salt, 4-oxide (9CI) (CA INDEX NAME)

RN 104162-48-3 HCAPLUS

Double bond geometry as shown.

Me 
$$(CH_2)_7$$
 Z  $(CH_2)_8$  O  $(CH_2)_8$  Z  $(CH_2)_7$  Me  $(CH_2)_7$  Me

• C1 ~

L52 ANSWER 59 OF 59 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER: 1992:639699 HCAPLUS

DOCUMENT NUMBER: 117:239699

TITLE: Delivery of plasmid DNA into mammalian cell lines

using pH-sensitive liposomes: comparison with

cationic liposomes

AUTHOR(S): Legendre, Jean Yves; Szoka, Francis C., Jr.

CORPORATE SOURCE: Sch. Pharm., Univ. California, San Francisco, CA,

94143-0446; USA

SOURCE: Pharmaceutical Research (1992), 9(10), 1235-42

CODEN: PHREEB; ISSN: 0724-8741

DOCUMENT TYPE: Journal LANGUAGE: English

AB We compare the transfection efficiency of plasmid DNA encoding either luciferase or  $\beta$ -galactosidase encapsulated in pH-sensitive liposomes or non-pH-sensitive liposomes or DNA complexes with cationic liposomes composed of dioleoyloxypropyltrimethylammonium-

dioleoylphosphatidylethanolamine (1:1, weight/weight) (Lipofectin) and delivered

into various mammalian cell lines. Cationic liposomes mediate the highest transient level in all cell lines examined PH-sensitive liposomes, composed of cholesteryl hemisuccinate and dioleoylphosphatidylethanolamine at a 2:1 molar ratio, mediate gene transfer with efficiencies that are 1 to 30% of that obtained with cationic liposomes, while non-pH-sensitive liposomes compns. do not induce any detectable transfection. Cationic liposomes mediate a more rapid uptake of plasmid DNA, to about an 8-fold greater level than that obtained with pH-sensitive liposomes. The higher uptake of DNA mediate by Lipofectin accounts for part of its high transfection efficiency. Treatment of cells with chloroquine, ammonium chloride, or monensin decreases (3-fold) transfection using pH-sensitive liposomes and either has no effect on or enhances cationic liposome-mediated transfection. Therefore plasma membrane fusion is not the only mechanism available to cationic liposomes; in certain cell lines DNA delivery via endocytosis is a possible parallel pathway and could augment the superior transfection efficiency observed with cationic liposomes.

CC 63-5 (Pharmaceuticals)

Section cross-reference(s): 1

## IT Plasmid and Episome

(DNA, cationic and pH-sensitive liposomes for delivery of, transfection efficacy in relation to)

IT Deoxyribonucleic acids

RL: BIOL (Biological study)

(plasmid, cationic and pH-sensitive liposomes for delivery of, transfection efficacy in relation to)  $\label{eq:cation} % \begin{array}{l} \left( \left( \frac{1}{2} \right)^{2} + \frac{1}{2} \left( \frac{1}{2}$ 

IT Pharmaceutical dosage forms

(liposomes, cationic and pH-sensitive, for plasmid DNA delivery)

IT 104162-48-3

RL: BIOL (Biological study)

(liposomes containing, cationic, transfection efficacy of, in gene therapy)

IT 57-88-5, Cholesterol, biological studies 2462-63-7,

Dioleoylphosphatidylethanolamine 68737-67-7,

Dioleoylphosphatidylcholine

RL: BIOL (Biological study)

(liposomes containing, transfection efficacy of, in gene therapy)

IT 104162-48-3

RL: BIOL (Biological study)

(liposomes containing, cationic, transfection efficacy of, in gene therapy)

RN 104162-48-3 HCAPLUS

CN 1-Propanaminium, N,N,N-trimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, chloride (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)$$
 7  $Z$   $(CH_2)$  8  $Z$   $(CH_2)$  7  $Me$   $Me_3+N$ 

● C1 -

IT 68737-67-7, Dioleoylphosphatidylcholine

RL: BIOL (Biological study)

(liposomes containing, transfection efficacy of, in gene therapy)

RN 68737-67-7 HCAPLUS

CN 3,5,9-Trioxa-4-phosphaheptacos-18-en-1-aminium, 4-hydroxy-N,N,N-trimethyl-10-oxo-7-[[(9Z)-1-oxo-9-octadecenyl]oxy]-, inner salt, 4-oxide, (18Z)-(9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-B

\_\_Me

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